

OFFICE OF BUDGET

1509 West Seventh Street, Suite 402 Post Office Box 3278 Little Rock, Arkansas 72203-3278 Phone: (501) 682-1941 Fax: (501) 682-1086 http://www.dfa.arkansas.gov

August 11, 2015

Senator Bruce Maloch, Co-Chair Representative David Meeks, Co-Chair Performance Evaluation & Expenditure Review Committee Arkansas Legislative Council State Capitol Building Little Rock, AR 72201

RE: FY16 Miscellaneous Federal Grant Appropriation Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Miscellaneous Federal Grant Appropriation Request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

Larry W. Walther

Director

LWW:es

Attachment(s)

FY16 REQUEST FOR LEGISLATIVE REVIEW MISCELLANEOUS FEDERAL PROGRAMS ACT (A.C.A. 19-7-101 ET. SEQ.)

NEW PROGRAM

DFA IGS

DFA - Chief Agency Request in

No. of Positions Fiscal Officer compliance with IT Plan

Requested (if any) Approve Disapprove Certification (if applicable)

X

1 Disability Determination for To provide appropriation for a Cooperative Disability Investigations (CDI) Social Security (0311) Program. This program is established to combat fraud by investigating Investigations Program Cooperative Disability Inspector General to support the strategic goal of ensuring integrity of the Social Security programs with zero tolerance for fraud and abuse. The CDI interpreters, or other service providers who facilitate or promote disability questionable statements and activities of claimants, medical providers, the Office of the Attorney General partnering with the federal Office of Unit will also serve as a deterrent to fraud in related Federal and State fraud. It will be a state/federal partnership with our state agency along with \$485,000

Purpose of Grant & Funding Percentages

Amount Requested

Funding Percentages

benefit programs.

_		_		_	
FY20	FY19	FY18	FY17	FY16	
				100	Federal
					State
					Other
0	0	0	0	100	Total

Anticipated Duration of Federal Funds: 06/30/2016

UTILIZATION REPORT FOR

MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION AND PERSONNEL AUTHORIZATION REQUEST A.C.A. §19-7-501 ET SEQ.

Date:	7/1/2015	G	Grant ID	1504ARD	0100		_ ι	egislative Re	eview Date:	
Agency: D	isability Determin	ation for S	Social Securi	Program T	ïtle:	Сооре	erative Dis	sability Inve	stigations F	rogram
Granting C	organization: <u>Socia</u>	Security	Administrati	on Grant #:				4005906	S	
Effective D	ate of Authorizatio	n:	Beginning:	09/01/	2015		_ Er	nding:	06/30/20	016
Purpose of	Grant / Reason fo	r addition	or change: (ir	nclude attachme	ents a	s neces	sary to prov	vide thorough	information)	:
by investiga who facilita Attorney G the Social S	appropriation for a ating questionable s ate or promote disal eneral partnering w Security programs w leral and State bene	statements bility fraud, ith the fed with zero to	and activities It will be a steral Office of iterance for fra	of claimants, ate/federal pa Inspector Gen	medic artners eral t	cal prov ship wi o supp	viders, inte th our state ort the stra	rpreters, or o e agency alor ategic goal of	ther service ng with the (ensuring in	providers Office of the tegrity of
			Pro	ject-Grant	Fund	ing	<u> </u>			
Business A Funds Cen Fund Code Functional	ter Code:	031 2Q0 FSD0 HH	Q 100					tion of Existin Existing Program:		
		00097 300	New ral Funds	Sta Matching		ds		Other hing Funds		roject Total
Regular Sa	laries		68,000			42-41-				68,000
Extra Help										•
Operating	Expenses		400,000							400,000
Personal S	ervices Matching		17,000							17,000
Conference	e &Travel Expense									
Profession	al Fees									
Capital Ou	tlay									
Data Proce	essing									<u> </u>
	Recovery and ent Act of 2009									
Others:										
Total		\$	485,000	\$			\$		\$	485,000
Add										Remove
		Fu	nding Perce	entages				Тур	e of Feder	al Grant
_	Federal	St	ate	Other		Т	otal			
FY 15	%		%		%	100	%		WIA	Ш
FY 16 FY 17	100 %		%		%	100	0 %		Non-WIA	\boxtimes
FY 18	%		%		%		%		ARRA	
FY 19	%		%		%		%			
Anticipated	Duration of Feder	al Funds	09	/30/2017						
							Items reque	ested for inform	ation technolo	
Positions	to be establishe	d: (list ead	ch position se	parately)				ce with Technol ate Technology		domitted to
Danasana		Cost	Commitment					Cla		* Gr 66 & 99 onl
Personnel Area	Position Number	Cost	Commitment Item		Pos	ition T	itle	Coc	l (arade	Maximum*
Add	0123						<u> </u>			Remove
								120		1241X
	ds will not be used and authorized by			ds when such	fund	s expir	e, unless a	appropriated	by the Ger	ieral
Approved	//	. :.	_	\sim	les:					
au	w Bout	elt 9	11/15 ~	231	8-	7-15				
Agency Dir		Date	Offic	e of Budget	D	ate		Office of Pers	onnel Mgmt	Date

MISCELLANEOUS FEDERAL PROGRAMS ACT FY16 REQUEST FOR LEGISLATIVE REVIEW (A.C.A. 19-7-101 ET. SEQ.)

DFA IGS

State Technology Planning

Agency

NEW PROGRAM

Funding Percentages Purpose of Grant & Federal Appropriation Amount Requested Requested (if any) No. of Positions

Fiscal Officer DFA - Chief

Certification (if applicable) compliance with IT Plan Agency Request in

Z

2. Office of Health Information The agency has received a Federal award from the Office of the National Exchange Expansion Health Information Technology Interperable Technology (0655) AR services, and resources to enable care providers to send, receive, find, and exchange by providing technical assistance, training, education, exchange operational Health Information Exchange infrastructure to expand the use of Coordinator authorized under section 3013 of the Health Information use health information in a manner that is appropriate, secure, timely, and behavioral health care providers and support services in health information efforts. OHIT will engage long-term and post-acute care providers, coordination, thus furthering federal, state, and community health reform interoperable exchange to improve transitions of care and care Technology for Economic and Clinical Health Act to leverage the existing

reliable for both senders and receivers.

Initative

\$2,684,650

0

Approve Disapprove

Funding Percentages

	Federal	State	Other	Total
FY16	75	25		100
FY17	75	25		100
FY18				0
FY19				0
FY20				0

Anticipated Duration of Federal Funds: 07/26/2017

UTILIZATION REPORT FOR MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION AND PERSONNEL AUTHORIZATION REQUEST A.C.A. §19-7-501 ET SEQ.

Date:	07/28/2015		Grant ID	90IX0009/	01-00)		Legislative F	Revi	ew Date:	
Agency: O	Agency: Office of Health Information Technology Program Title: AR Health Information Technology Interoperable										
Granting O	rganization: <u>DHS-</u>	Office of	the National	C Grant #:				90IX0009/0	01-0	00	
Effective D	ate of Authorization	n:	Beginning:	07/27	/2015	;	. E	inding:		06/30	0/2016_
Purpose of	Grant / Reason fo	r addition	or change: (ii	nclude attachm	ents a	s necess	sary to pro	ovide thorough	h inf	ormation):	
Health Info Exchange in furthering f behavioral education,	has received a Fermation Technolog infrastructure to expected and of dederal, state, and of health care provide exchange services, at is appropriate, se	y for Econormond the uncommunity rs and sup and resou	omic and Clinionse of interoperor health reform opport services incesting to enable	cal Health Act rable exchang efforts. OHIT in health infor- care provider	to leve to in will emation or to see	erage to inprove engage in excha end, rec	he existing transition long-tern nge by proceive, find ceive, find	ng operationa ns of care and n and post-ac roviding tech	l He d car cute nica	alth Infor e coordin care prov	mation ation, thus iders,
Business A	rea Code:	06		oject-Grant	Fund	ing					
Funds Cent Fund Code	ter Code:							ation of Exist in Existing P gram:			
			New	Sta	ite	-		Other		Р	roject
D		Fede	ral Funds	Matchin	g Fund	ds	Mat	ching Funds			Гotal
Regular Sa Extra Help	laries		318,343		1	.06,114					424,457
Operating	Fynenses		4 400 000								
			1,100,890		7	47,349					1,548,239
	Personal Services Matching Conference &Travel Expense		76,402		25,467						101,869
Professional Fees			17,850							23,800	
Capital Out			1,171,165		3	10,002	Debate-No-Fr				1,481,167
Data Proce											
	Recovery and ent Act of 2009						25				
Others:											
Total		\$	2,684,650	\$	8	94,882	\$			\$	3,579,532
Add	Federal		nding Perce	entages Other		To	otal	Ту	pe o	of Federa	Remove
FY 15	%		%	Other	%		%			WIA	
FY 16 FY 17	75 %	25	%		%	100			N	on-WIA	\bowtie
FY 18	75 % %	25	%		%	100	%			ARRA	[]
FY 19	%		%		%		%			711101	
Anticipated	Duration of Feder	al Funds	07	/26/2017		_					
Positions	to be establishe	d: (list ea	ch position se	parately)			Items required in compliant	State Technolous Jested for informance with Technology Tate Technology	natio ology	n technolog Plans as su	
Personnel	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Cost	Commitment	1	Bass 1	Opp and	52	Cla	acc		* Gr 66 & 99 only Line Item
Area	Position Number	Center	Item		Pos	ition Tit	tle	Co		Grade	Maximum*
					4010000						
Add											Remove
	Is will not be used and authorized by by:			is when such	funds	s expire	e, unless	appropriate	d by	the Gen	eral
Chule	·)	7/00//-		RO	0-	7-15					
Agency Dire	0.11	07/28/15 Date	Offic	e of Budget	- 153	ate	-	Office of Per	SOD	nel Mamt	Date

MISCELLANEOUS FEDERAL PROGRAMS ACT FY16 REQUEST FOR LEGISLATIVE REVIEW (A.C.A. 19-7-101 ET. SEQ.)

DFA IGS

Arkansas Geological Agency

Survey (0420) - FY16

Library Project Historic Rare Books **NEW PROGRAM**

Funding Percentages

Purpose of Grant &

Federal Appropriation Amount Requested

\$14,315

0

Requested (if any) No. of Positions

Fiscal Officer DFA - Chief

State Technology Planning Agency Request in

Approve Disapprove Certification (if applicable) compliance with IT Plan

Z

provided for the public via the agency website. books. These documents will be scanned and provided in digital format via be updated to include the inventory. There are an estimated 250 rate Website. Collections will be inventoried, and the AGS library database will creation of a digital infrastructure for these documents. This project will availability and access to the AGS library materials through inventory and The project goal is to preserve historic rare books as well as improved data the collection as a whole. Within the span of one year, all data will be year time frame. Metadata will be created for each rare books as well as the AGS website. AGS expects to complete all books scans within a one through inclusion in the National Digital Catalog as well as the AGS promote will use of AGS materials by making them openly available

Funding Percentages

_		_			
FY20	FY19	FY18	FY17	FY16	
				45	Federal
				55	State
					Other
0	0	0	0	100	Total
-	_	_	_	_	

Anticipated Duration of Federal Funds: 06/30/2016

FOR

MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION

			AND PERSOI A.C	C.A. §19-7-			REQUEST			
Date:	07/02/2015	-	Grant ID	AR1503	10-022	2	_	Legislative R	eview Date	<u> </u>
Agency: A	Arkansas Geologic	cal Surve	у	Progran	n Title:	FY16	Historic I	Rare Books	Library Pro	oject
Granting (Organization: U.S.	Geologic	al Survey	Grant	#:			G15AP000	98	
Effective [Date of Authorization	on:	Beginning	: 07/0	01/201	5	Е	nding:	06/30/2	2016
Purpose o	of Grant / Reason fo	or addition	or change: (A-10/2			-			
The project through in making the inventoried These doc within a or	ct goal is to preserve eventory and creatio em openly available d, and the AGS Libra uments will be scan ne-year time frame. or, all data will be pr	e historic r n of a digi through in ary databa ned and p Metadata	are books as tal infrastruct nclusion in the se will be upo rovided in dig will be create	well as improure for these National Did lated to incluital format vied for each ra	ove data docum gital Cal ide the ia the A are book	a availa ents. Ti talog as invento GS web	bility and a his project well as th ry. There osite. We e	access to the will promote ne AGS Websi are an estima expect to com	AGS Library use of AGS te. Collection ted 250 rare plete all boo	materials materials by ons will be e books. ok scans
			Pi	oject-Gran	nt Func	lina				
Funds Cen Fund Code		04: 21 FALC COI	20 0 0700	ojece didi	ic i unic	anig		ition of Existi in Existing Pro gram:		::
			New ral Funds	4	State ing Fun	ds	Mato	Other ching Funds		Project Total
Regular Sa	alaries					14,278	3			14,278
Extra Help)		7,962	2						7,962
	Expenses		4,589)						4,589
	Services Matching		1,764			3,163	3			4,927
	e &Travel Expense		***************************************							
Profession	100 (100 (
Capital Ou	itlay									
Data Proce	essing Recovery and			-						
	ent Act of 2009									
Others:										
Total		\$	14,315	\$		17,440	\$		\$	31,755
Add										Remove
		E.,	nding Perc	ontagos				Turn	e of Feder	al Crant
	Federal		tate	Other	•	To	otal	тур	e oi reaei	ai Grant
FY 15	%		%		%		%		WIA	
FY 16 FY 17	45 %	55	%		%	100	%		Non-WIA	\boxtimes
FY 18	%		%		%		%		ARRA	
FY 19	%		%		%		%			
Anticipated	Duration of Feder	al Funds	06/3	0100610		_				Date
Positions	to be established	d: (list ead	ch position se	eparately)			Items reque	tate Technolog ested for informa ce with Technolog ate Technology P	ition technolog gy Plans as su	gy must be
-										* Gr 66 & 99 only
Personnel Area	Position Number	Cost Center	Commitmen Item	t	Posi	ition Tit	tle	Clas	I Grane	Line Item Maximum*
Add										Remove
	ds will not be used and authorized by			ds when suc	h funds	s expire	e, unless a	ppropriated	by the Gen	eral
Approved Bo k k	by:	11/11	115) net	2	7-15				
Agency Dire	ector	Date	Offic	ce of Budget		ate	-	Office of Perso	nnel Mgmt	Date

FY16 REQUEST FOR LEGISLATIVE REVIEW MISCELLANEOUS FEDERAL PROGRAMS ACT (A.C.A. 19-7-101 ET. SEQ.)

CHANGE IN EXISTING PROGRAM

Office of the Attorney

Agency

Purpose of Grant & Funding Percentages

General (0053) Cooperative Disability Investigations Unit

DFA IGS

Federal Appropriation Amount Requested Requested (if any) No. of Positions Approve Disapprove Certification (if applicable) Fiscal Officer DFA - Chief State Technology Planning compliance with IT Plan Agency Request in

\$391,459

K

The mission of the Cooperative Disability Investigations (CDI) Program is to combat fraud by investigating questionable statements and activities of claimants, medical providers, interpreters, or other service providers who facilitate or promote disability fraud. The investigative evidence helps the Disability Determination Services make timely and accurate disability determinations. The Social Security Administration, Office of the Inspector General (SSA OIG) also uses the evidence to determine whether other remedies (i.e., Criminal prosecution and/or civil/administrative action) are warranted. The work of the CDI unit supports the strategic goal of ensuring integrity of the Social Security programs with zero tolerance for fraud and abuse. The CDI unit also serves to deter fraud in related Federal and State

Funding Percentages

FY20 100	FY19 100	FY18 100	FY17 100	FY16 100	Federal State
					Other
100	100	100	100	100	lotal

Anticipated Duration of Federal Funds: 12/31/2019

UTILIZATION REPORT FOR

MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION AND PERSONNEL AUTHORIZATION REQUEST A.C.A. §19-7-501 ET SEQ.

Date: _	08/07/2015	G	rant ID /	604 AR DIOG	Legislativ	e Review	Date:			
Agency: 0053 Office of the Attorney General Program Title: Cooperative Disability Investigatons (CDI) Unit										
Granting O	rganization: Social	Security .	Admin OIG	Grant #:	4009	5906)			
Effective Date of Authorization: Beginning: 09/01/2015 Ending: 6/30/2016										
Purpose of	Grant / Reason for	addition o	or change: (in	clude attachments as neces	ssary to provide thore	ugh inform	nation):			
statements disability fra determination determine v CDI Unit su	and activities of cla aud. the investigati ons. the Social Sec whether other reme pports the strategic	imants, me ve evidenc urity Admii dies (i.e., c goal of en	edical provider e helps the Di nistration, Offi riminal prosec suring integrif	(CDI) Program is to comes, interpreters, or other seasility Determination Selece of the Inspector Genecution and/or civil/adminity of the Social Security ped Federal and State beneal	ervice providers whe vices make timely a ral (SSA OIG) also u strative action) are v rograms with zero t	o facilitate and accura ses the ev warranted	e or promete disabilities to the disabilities to the disabilities to the disabilities of the disabilities	note lity o ork of the		
	6 8		Pro	ject-Grant Funding						
Business Ar Funds Cent		005	3		Continuation of E	xistina Pro	ogram.			
Fund Code:		FAY11	100		Change in Existin					
Functional A	Area Code:	CN	ST		New Program:			\boxtimes		
			lew al Funds	State Matching Funds	Other Matching Fun	ds		ject tal		
Regular Sal	laries		216,672		o	0		216,672		
Extra Help			0		o	0		0		
Operating 8	Expenses		30,657		0	0		30,657		
Personal Se	ervices Matching		63,156		o	0		63,156		
Conference	&Travel Expense		39,000		0	0		39,000		
Professiona	al Fees		0	, 1	0	0		0		
Capital Out	tlay		0		0	0		0		
Data Proce	ssing		0	8	0	0		0		
	Recovery and ent Act of 2009		0		0	0		0		
Others: Inc	direct Costs (CI 46)		41,974		0	0		41,974		
Total		\$	391,459		0 \$	0 \$		391,459		
Add								Remove		
	'	<u>6458</u>	20 12				F. J	C		
	Federal		nding Perce ate	and the state of t	Total	Type of	rederai	Grant		
FY 16	100 %	30	%	% 10			WIA			
FY 17	100 %		%	% 10	4 C C C C C C C C C C C C C C C C C C C	Nor	ı-WIA	\boxtimes		
FY 18	100 %		%	% 10						
FY 19 FY 20	100 % 100 %		%	% 10 % 10			ARRA			
_	Market Association of the Control of	nez v	00/04/20	15 12/21/2010						
Anticipated	d Duration of Feder	al Funds	09/01/20	15 - 12/31/2019	DFA IGS State Tec	nnology Pla	nning	Date		
Items requested for information technology must be in compliance with Technology Planning Positions to be established: (list each position separately) Positions to be established: (list each position separately)										
		x 1.0 (2.1 (2.1 (2.1 (2.1 (2.1 (2.1 (2.1 (2.1		1		CI.	*	Gr 66 & 99 only		
Personnel Area	Position Number	Cost Center	Commitment Item	Position ⁻	Title	Class Code	Grade	Line Item Maximum*		
	NEW	NEW	501:00:00	Investigat	or II	Q070U		\$ 64,640		
	NEW	NEW	501:00:00	Investigat	or II	Q070U		\$ 64,640		
	NEW	NEW	501:00:00	Analys	st	Q046U		\$ 55,568		
Add				1	-			Remove		

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.