



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF BUDGET**  
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August 11, 2015

Senator Bruce Maloch, Co-Chair  
Representative David Meeks, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY16 Miscellaneous Federal Grant Appropriation Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Miscellaneous Federal Grant Appropriation Request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in cursive script that reads "Larry W. Walther".

Larry W. Walther  
Director

LWW:es

Attachment(s)

FY16 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)

DFA IGS

NEW PROGRAM

State Technology Planning

Agency Request in

compliance with IT Plan

Certification (if applicable)

DFA - Chief

Fiscal Officer

Approve Disapprove

Federal Appropriation

Amount Requested

No. of Positions

Requested (if any)

Approve

Disapprove

Certification (if applicable)

Purpose of Grant &

Funding Percentages

Agency

1 Disability Determination for a Cooperative Disability Investigations (CDI) Social Security (0311) Program. This program is established to combat fraud by investigating questionable statements and activities of claimants, medical providers, interpreters, or other service providers who facilitate or promote disability fraud. It will be a state/federal partnership with our state agency along with the Office of the Attorney General partnering with the federal Office of Inspector General to support the strategic goal of ensuring integrity of the Social Security programs with zero tolerance for fraud and abuse. The CDI Unit will also serve as a deterrent to fraud in related Federal and State benefit programs.

\$485,000

0

X

NA

Funding Percentages

	Federal	State	Other	Total
FY16	100			100
FY17				0
FY18				0
FY19				0
FY20				0

Anticipated Duration of Federal Funds: 06/30/2016

UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.

Date: 7/1/2015Grant ID 1504ARDI00Legislative Review Date:  
Agency: Disability Determination for Social SecurityProgram Title: Cooperative Disability Investigations Program  
Granting Organization: Social Security AdministrationGrant #: 4005906  
Effective Date of Authorization:Beginning: 09/01/2015Ending: 06/30/2016

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
To provide appropriation for a Cooperative Disability Investigations (CDI) Program. This program is established to combat fraud by investigating questionable statements and activities of claimants, medical providers, interpreters, or other service providers who facilitate or promote disability fraud. It will be a state/federal partnership with our state agency along with the Office of the Attorney General partnering with the federal Office of Inspector General to support the strategic goal of ensuring integrity of the Social Security programs with zero tolerance for fraud and abuse. The CDI Unit will also serve as a deterrent to fraud in related Federal and State benefit programs.

Business Area Code:0311  
Funds Center Code:2QQ  
Fund Code:FSD0100  
Functional Area Code:HHS

Continuation of Existing Program:  
Change in Existing Program:  
New Program:

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	68,000			68,000
Extra Help				
Operating Expenses	400,000			400,000
Personal Services Matching	17,000			17,000
Conference &Travel Expense				
Professional Fees				
Capital Outlay				
Data Processing				
American Recovery and Reinvestment Act of 2009				
Others:				
Total	\$ 485,000	\$	\$	\$ 485,000

Add

Remove

Funding Percentages

	Federal	State	Other	Total
FY 15	%	%	%	%
FY 16	100 %	%	%	100 %
FY 17	%	%	%	%
FY 18	%	%	%	%
FY 19	%	%	%	%

Type of Federal Grant

WIA  
Non-WIA  
ARRA

Anticipated Duration of Federal Funds 09/30/2017

DFA IGS State Technology Planning

Date

Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

Personnel Area	Position Number	Cost Center	Commitment Item	Position Title	Class Code	Grade	Line Item Maximum*

Add

Remove

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by  

Agency Director

Date

Office of Budget

Date

Office of Personnel Mgmt

Date

Budget Miscellaneous Federal Grant (R 05/22/2014)

FY16 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)

NEW PROGRAM

<u>Agency</u>	<u>Purpose of Grant &amp; Funding Percentages</u>	<u>Federal Appropriation Amount Requested</u>	<u>No. of Positions Requested (if any)</u>	<u>DFA - Chief</u>		<u>DFA IGS</u>	
				<u>Approve</u>	<u>Disapprove</u>	<u>State Technology Planning Agency Request in compliance with IT Plan</u>	<u>Certification (if applicable)</u>

2. Office of Health Information Technology (0655) AR  
Health Information Technology Interoperable Exchange Expansion Initiative

The agency has received a Federal award from the Office of the National Coordinator authorized under section 3013 of the Health Information Technology for Economic and Clinical Health Act to leverage the existing Operational Health Information Exchange infrastructure to expand the use of interoperable exchange to improve transitions of care and care coordination, thus furthering federal, state, and community health reform efforts. OHIT will engage long-term and post-acute care providers, behavioral health care providers and support services in health information exchange by providing technical assistance, training, education, exchange services, and resources to enable care providers to send, receive, find, and use health information in a manner that is appropriate, secure, timely, and reliable for both senders and receivers.

\$2,684,650

0

X

NA

Funding Percentages

	Federal	State	Other	Total
FY16	75	25		100
FY17	75	25		100
FY18				0
FY19				0
FY20				0

Anticipated Duration of Federal Funds: 07/26/2017



**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 07/28/2015 Grant ID 90IX0009/01-00 Legislative Review Date: \_\_\_\_\_

Agency: Office of Health Information Technology Program Title: AR Health Information Technology Interoperable

Granting Organization: DHS-Office of the National Coordinator Grant #: 90IX0009/01-00

Effective Date of Authorization: Beginning: 07/27/2015 Ending: 06/30/2016

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):

The agency has received a Federal award from the Office of the National Coordinator authorized under section 3013 of the Health Information Technology for Economic and Clinical Health Act to leverage the existing operational Health Information Exchange infrastructure to expand the use of interoperable exchange to improve transitions of care and care coordination, thus furthering federal, state, and community health reform efforts. OHIT will engage long-term and post-acute care providers, behavioral health care providers and support services in health information exchange by providing technical assistance, training, education, exchange services, and resources to enable care providers to send, receive, find, and use health information in a manner that is appropriate, secure, timely, and reliable for both senders and receivers.

**Project-Grant Funding**

Business Area Code: 0655  
Funds Center Code: \_\_\_\_\_  
Fund Code: \_\_\_\_\_  
Functional Area Code: \_\_\_\_\_

Continuation of Existing Program: ☐  
Change in Existing Program: ☐  
New Program: ☒

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	318,343	106,114		424,457
Extra Help				
Operating Expenses	1,100,890	447,349		1,548,239
Personal Services Matching	76,402	25,467		101,869
Conference & Travel Expense	17,850	5,950		23,800
Professional Fees	1,171,165	310,002		1,481,167
Capital Outlay				
Data Processing				
American Recovery and Reinvestment Act of 2009				
Others:				
Total	\$ 2,684,650	\$ 894,882	\$	\$ 3,579,532

Add

Remove

**Funding Percentages**

	Federal	State	Other	Total
FY 15	%	%	%	%
FY 16	75 %	25 %	%	100 %
FY 17	75 %	25 %	%	100 %
FY 18	%	%	%	%
FY 19	%	%	%	%

**Type of Federal Grant**

WIA ☐  
Non-WIA ☒  
ARRA ☐

Anticipated Duration of Federal Funds 07/26/2017

**DFA IGS State Technology Planning** Date

Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

\* Gr 66 & 99 only

Personnel Area	Position Number	Cost Center	Commitment Item	Position Title	Class Code	Grade	Line Item Maximum*

Add

Remove

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by:

Shirley J. [Signature] 07/28/15  
Agency Director Date

[Signature] 8-7-15  
Office of Budget Date

\_\_\_\_\_  
Office of Personnel Mgmt Date

FY16 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)

NEW PROGRAM

Agency

Purpose of Grant & Funding Percentages

Federal Appropriation Amount Requested

No. of Positions Requested (if any)

DFA - Chief Fiscal Officer Approve Disapprove

DFA IGS State Technology Planning Agency Request in compliance with IT Plan Certification (if applicable)

3. Arkansas Geological Survey (0420) - FY16 Historic Rare Books Library Project

The project goal is to preserve historic rare books as well as improved data availability and access to the AGS library materials through inventory and creation of a digital infrastructure for these documents. This project will promote will use of AGS materials by making them openly available through inclusion in the National Digital Catalog as well as the AGS Website. Collections will be inventoried, and the AGS library database will be updated to include the inventory. There are an estimated 250 rare books. These documents will be scanned and provided in digital format via the AGS website. AGS expects to complete all books scans within a one year time frame. Metadata will be created for each rare books as well as the collection as a whole. Within the span of one year, all data will be provided for the public via the agency website.

\$14,3150XNA

Funding Percentages

	Federal	State	Other	Total
FY16	45	55		100
FY17				0
FY18				0
FY19				0
FY20				0

Anticipated Duration of Federal Funds: 06/30/2016

UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.

Date: 07/02/2015 Grant ID AR150310-022 Legislative Review Date: \_\_\_\_\_  
Agency: Arkansas Geological Survey Program Title: FY16 Historic Rare Books Library Project  
Granting Organization: U.S. Geological Survey Grant #: G15AP00098  
Effective Date of Authorization: \_\_\_\_\_ Beginning: 07/01/2015 Ending: 06/30/2016

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):

The project goal is to preserve historic rare books as well as improve data availability and access to the AGS Library materials through inventory and creation of a digital infrastructure for these documents. This project will promote use of AGS materials by making them openly available through inclusion in the National Digital Catalog as well as the AGS Website. Collections will be inventoried, and the AGS Library database will be updated to include the inventory. There are an estimated 250 rare books. These documents will be scanned and provided in digital format via the AGS website. We expect to complete all book scans within a one-year time frame. Metadata will be created for each rare book as well as the collection as a whole. Within the span of one year, all data will be provided for the public via the Agency website.

Project-Grant Funding

Business Area Code: 0420  
Funds Center Code: 210  
Fund Code: FAL0700  
Functional Area Code: COMM

Continuation of Existing Program: ☐  
Change in Existing Program: ☐  
New Program: ☒

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries		14,278		14,278
Extra Help	7,962			7,962
Operating Expenses	4,589			4,589
Personal Services Matching	1,764	3,163		4,927
Conference & Travel Expense				
Professional Fees				
Capital Outlay				
Data Processing				
American Recovery and Reinvestment Act of 2009				
Others:				
Total	\$ 14,315	\$ 17,440	\$	\$ 31,755

Add

Remove

Funding Percentages

Type of Federal Grant

	Federal	State	Other	Total
FY 15	%	%	%	%
FY 16	45 %	55 %	%	100 %
FY 17	%	%	%	%
FY 18	%	%	%	%
FY 19	%	%	%	%

WIA ☐  
Non-WIA ☒  
ARRA ☐

Anticipated Duration of Federal Funds 06/30/2016

DFA IGS State Technology Planning Date

Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

\* Gr 66 & 99 only

Personnel Area	Position Number	Cost Center	Commitment Item	Position Title	Class Code	Grade	Line Item Maximum*

Add

Remove

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by:

Bekki White 7/24/15  
Agency Director Date

[Signature] 8-7-15  
Office of Budget Date

Office of Personnel Mgmt Date

(A.C.A. 19-7-101 ET. SEQ.)

## DFA IGS

State Technology Planning  
Agency Request in  
compliance with IT Plan  
Certification (if applicable)

NA

	Federal	State	Other	Total
1. Federal income tax	100			100
2. State income tax		100		100
3. Other taxes			100	100
4. Total taxes	100	100	100	300

FY16	100		100
FY17	100		100
FY18	100		100
FY19	100		100
FY20	100		100

4.



**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 08/07/2015 Grant ID 1504 AR DIOG Legislative Review Date: \_\_\_\_\_

Agency: 0053 Office of the Attorney General Program Title: Cooperative Disability Investigations (CDI) Unit

Granting Organization: Social Security Admin OIG Grant #: 4 00 5906

Effective Date of Authorization: \_\_\_\_\_ Beginning: 09/01/2015 Ending: 6/30/2016

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):

The mission of the Cooperative Disability Investigations (CDI) Program is to combat fraud by investigating questionable statements and activities of claimants, medical providers, interpreters, or other service providers who facilitate or promote disability fraud. the investigative evidence helps the Disability Determination Services make timely and accurate disability determinations. the Social Security Administration, Office of the Inspector General (SSA OIG) also uses the evidence to determine whether other remedies (i.e., criminal prosecution and/or civil/administrative action) are warranted. The work of the CDI Unit supports the strategic goal of ensuring integrity of the Social Security programs with zero tolerance for fraud and abuse. The CDI Unit also serves to deter fraud in related Federal and State benefit programs.

**Project-Grant Funding**

Business Area Code: 0053  
Funds Center Code: \_\_\_\_\_  
Fund Code: FAY1100  
Functional Area Code: CNST

Continuation of Existing Program: ☐  
Change in Existing Program: ☐  
New Program: ☒

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	216,672	0	0	216,672
Extra Help	0	0	0	0
Operating Expenses	30,657	0	0	30,657
Personal Services Matching	63,156	0	0	63,156
Conference & Travel Expense	39,000	0	0	39,000
Professional Fees	0	0	0	0
Capital Outlay	0	0	0	0
Data Processing	0	0	0	0
American Recovery and Reinvestment Act of 2009	0	0	0	0
Others: Indirect Costs (CI 46)	41,974	0	0	41,974
Total	\$ 391,459	\$ 0	\$ 0	\$ 391,459

Add

Remove

**Funding Percentages**

	Federal	State	Other	Total
FY 16	100 %	%	%	100 %
FY 17	100 %	%	%	100 %
FY 18	100 %	%	%	100 %
FY 19	100 %	%	%	100 %
FY 20	100 %	%	%	100 %

**Type of Federal Grant**

WIA ☐  
Non-WIA ☒  
ARRA ☐

Anticipated Duration of Federal Funds 09/01/2015 - 12/31/2019

**DFA IGS State Technology Planning** **Date**

Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

\* Gr 66 & 99 only

Personnel Area	Position Number	Cost Center	Commitment Item	Position Title	Class Code	Grade	Line Item Maximum*
	NEW	NEW	501:00:00	Investigator II	Q070U		\$ 64,640
	NEW	NEW	501:00:00	Investigator II	Q070U		\$ 64,640
	NEW	NEW	501:00:00	Analyst	Q046U		\$ 55,568

Add

Remove

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by:

Indira Sena 8/11/15 James Munday 8/11/15 Kay Barshiff 08-11-15  
Agency Director Date Office of Budget Date Office of Personnel Mgmt Date