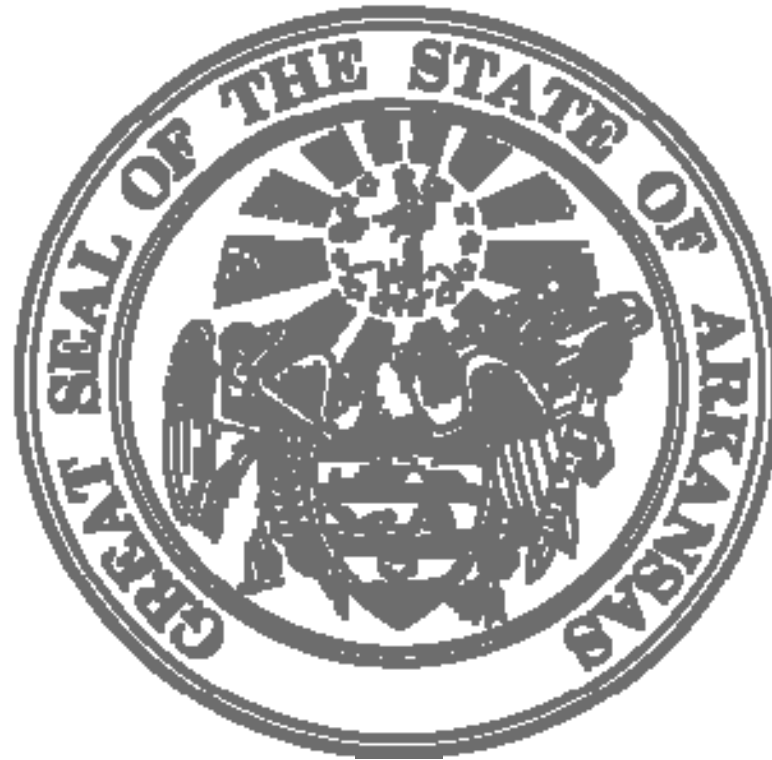


Arkansas Public Higher Education Operating & Capital Recommendations

2009-2011 Biennium



6-A

Volume 1
Universities

Arkansas Department of Higher Education

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**ARKANSAS PUBLIC HIGHER EDUCATION
OPERATING AND CAPITAL RECOMMENDATIONS
2009-11 BIENNIUM**

**VOLUME 1
OVERVIEW AND UNIVERSITIES**

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Institutional Abbreviations

Four-Year Institutions

Arkansas State University (Jonesboro)	ASUJ
Arkansas Tech University (Russellville)	ATU
Henderson State University (Arkadelphia)	HSU
Southern Arkansas University (Magnolia)	SAUM
University of Arkansas (Fayetteville)	UAF
University of Arkansas at Fort Smith	UAFS
University of Arkansas at Little Rock	UALR
University of Arkansas at Monticello	UAM
University of Arkansas at Pine Bluff	UAPB
University of Central Arkansas	UCA

Two-Year Institutions

Arkansas Northeastern College (Blytheville)	ANC
Arkansas State University - Beebe	ASUB
Arkansas State University - Mountain Home	ASUMH
Arkansas State University - Newport	ASUN
Black River Technical College (Pocahontas)	BRTC
Cossatot Community College of the UA (DeQueen)	CCCUA
East Arkansas Community College (Forrest City)	EACC
Mid-South Community College (West Memphis)	MSCC
National Park Community College (Hot Springs)	NPCC
North Arkansas College (Harrison)	NAC
Northwest Arkansas Community College (Bentonville)	NWACC
Ouachita Technical College (Malvern)	OTC
Ozarka College (Melbourne)	OZC
Phillips Community College of the UA (Helena)	PCCUA
Pulaski Technical College (North Little Rock)	PTC
Rich Mountain Community College (Mena)	RMCC
South Arkansas Community College (El Dorado)	SACC
Southeast Arkansas College (Pine Bluff)	SEAC
Southern Arkansas University - Tech (Camden)	SAUT
UA Community College at Batesville	UACCB
UA Community College at Hope	UACCH
UA Community College at Morrilton	UACCM

Non-Formula Institutions / Entities

ASU - System	ASU-SYS
ASU - Technical Center - Marked Tree	ASU-MT
ATU - Ozark Campus	ATU-OZARK
HSU - Southwest Arkansas Technology Learning Center	HSU - SWATLC
SAUT - Environmental Academy	SAUT-ECA
SAUT - Fire Academy	SAUT-FTA
UA - System (Little Rock)	UA-SYS
UA - Archeological Survey (Fayetteville)	UA-AS
UA - Clinton School of Public Service (Little Rock)	UA-CS
UA - Criminal Justice Institute (Little Rock)	UA-CJI
UA - Division of Agriculture (Fayetteville and Little Rock)	UA-DIV AGRI
UA - School of Mathematics, Sciences, and the Arts (Hot Springs)	UA-ASMSA
UALR - Research and Public Service	UALR-RAPS
UAM - College of Technology - Crossett	UAM-CROSSETT
UAM - College of Technology - McGehee	UAM-MCGEHEE
University of Arkansas at Pine Bluff (Non-Formula)	UAPB
University of Arkansas for Medical Sciences	UAMS

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**RECOMMENDATIONS FOR EDUCATIONAL AND GENERAL OPERATIONS
STATE-SUPPORTED INSTITUTIONS OF HIGHER EDUCATION
2009-11 BIENNIUM**

Background

A.C.A. §6-61-223 establishes the process and key components for formula development for funding public institutions of higher education. That language reads as follows:

“(a) The Arkansas Higher Education Coordinating Board will work with the state college and university Presidents Council to review, revise, and develop funding formulas which will, in principle, seek to provide fair and equitable state support to all postsecondary students across the state, regardless of the state institution attended, while at the same time recognizing: (1) The different needs for lower level, upper level, and graduate level instruction at the various institutions; (2) The requirements for specialized equipment, labs and smaller class sizes in some disciplines; and (3) Unique missions, such as agricultural extension services, research, medical sciences, workforce development, and public service; and (4) Growth, economies of scale, and other appropriate factors. (b) The formulas will be developed in consensus with the state college and university president’s council and presented to the Joint Budget Committee for review.”

At its April 25, 2008 meeting, the Arkansas Higher Education Coordinating Board (AHECB) approved the funding models for the two-year colleges, the universities, and the technical centers. These models had been developed in conjunction with the presidents and chancellors after numerous meetings and revisions. The funding models were used to develop the comparative needs of Arkansas institutions of higher education in terms of the average funding levels of institutions in the SREB region.

For the first time, Arkansas’s funding formulas for two-year colleges and universities will be based in part on end-of-term productivity. Working with Governor Beebe’s staff, legislators, presidents and chancellors, the Department of Higher Education has developed a plan for including the end-of-term SSCH in determining institutions’ need for funding. The plan has received the consensus of the presidents and chancellors, and Governor Beebe has publicly advocated including the end-of-term SSCH in the funding for higher education as one measure of productivity. For the 2009-11 biennium, need for funding will be determined using ninety (90) percent of the census date need and ten (10) percent of the end-of-term need.

At this April 2008 meeting the Arkansas Higher Education Coordinating Board approved proposing to the General Assembly two changes to the university funding formula as contained in A.C.A. §6-61-228. These changes were proposed by the the Department of Higher Education to the AHECB after receiving unanimous approval by the presidents and chancellors at their April 1st meeting. The proposed changes do not significantly increase nor decrease the overall recommendation for the universities.

The proposed changes to the university formula are:

Revised Economy of Scale – Determines the additional funds need for small institutions. Nationally a small institution is defined as one with less than 3,000 FTE students. The revised scale is:

FTE Students	% added to funding formula need
0 – 2,000	10%
2,001 – 2,500	5%
2,501 – 3,000	2.1%
3,001 – 3,500	1%

Minority Mission – An amount equal to fifteen (15) percent of the formula generated funding is added to UAPB in recognition of its historical minority/disadvantaged student mission, which is consistent with mission specific funding in other states.

For the purposes of comparison, two sets of tables and State Treasury Appropriation forms are presented for the universities.

ADHE staff reviewed the justification requests submitted by the non-formula entities and prepared preliminary funding recommendations based upon those requests. After making preliminary recommendations, the staff conducted budget meetings with each non-formula entity. After the conclusion of all budget meetings, final recommendations were made in light of the appeals heard during the budget meetings.

The difference between the funding model determined needs of the entities compared to the Fiscal 2009 appropriations was \$192 million, a 24.3 percent increase. The funding gap (the difference between the need and the current appropriation) is part of

a phenomenon that always occurs when there is an extended economic downturn; enrollments increase, state support declines and tuitions increase to cover the loss of state support to fund the recommendations of the AHECB. It should be emphasized that the funding needs of the institutions are the funds needed to bring Arkansas higher education to the **average** funding level of the SREB region. The AHECB operating recommendations include: restoration of the funding appropriations lost for 2008-09 when the revenue forecast was reduced; a five (5) percent increase in General Revenue funding for 2009-10, a three (3) percent increase in General Revenue funding for 2010-11, and additional non-formula funding for economic development purposes. Restoration of the 2008-09 original appropriation requires \$34.8 million. The five (5) percent increase in general revenue funding amounts to \$36.6 million. An additional \$5.6 million in non-formula funding is to fund ADTEC (Arkansas Delta Training and Education Consortium), ADWIRED (Arkansas Delta Workforce Innovations for Regional Development) and the Crossroads Coalition; UALR's Nanotechnology Center, salary equity for Arkansas Archeological Survey (AAS), a partial restoration of services provided by the Criminal Justice Institute (CJI), the Arkansas Research and Education Optical Network (ARE-ON), and sufficient additional funding for other non-formula entities to ensure continuing level funding.

Operating Funding Recommendations for the 2009-2011 Biennium

Operating recommendations (Table 1A), based on the AHECB's approval of changes to the university formula, represent a 10.1% increase for colleges, a 8.9% increase for universities, a 11.4% increase for nonformula entities, and a 7.7% increase for the technical centers for 2009-10. The operating recommendations for 2010-11 represent a 3.1% increase for colleges, a 2.8% increase for universities, a 2.6% increase for the non-formula entities, and a 2.8% increase for the technical centers.

Recommendations for state funding of the educational and general operations of Arkansas public institutions of higher education in the 2009-11 biennium are included in Tables 1A, 1B, 1C, 1D, and 1E.

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Table A. Summary of Operating Needs & Recommendations for the 2009-11 Biennium
 (Based on changes to the University formula adopted by AHECB)


	Fiscal Year 2008-09 Base				2009-10				2010-11			
					AHECB Recommendations			Funding Model Determined Need	AHECB Recommendations			Funding Model Determined Need
	RSA	EETF	WF2000	Total Fiscal Year Base	Total Appropriation	New Funds	Percent Increase		Total Appropriation	New Funds	Percent Increase	
Colleges	\$133,575,751	\$7,000,643	\$19,536,943	\$160,113,337	\$204,416,873	\$176,244,980	\$16,131,644	10.1%	\$209,613,526	\$181,684,668	\$5,439,688	3.1%
Universities	\$385,348,597	\$35,777,687	\$0	\$421,126,284	\$518,026,384	\$458,501,199	\$37,374,915	8.9%	\$533,092,413	\$471,542,448	\$13,041,249	2.8%
Non-Formula Entities	\$177,297,191	\$22,034,299	\$0	\$199,331,490	\$248,332,882	\$222,098,614	\$22,767,124	11.4%	\$252,564,595	\$227,912,035	\$5,813,421	2.6%
Technical Centers	\$7,489,232	\$0	\$2,574,130	\$10,063,362	\$12,089,835	\$10,839,333	\$775,971	7.7%	\$12,337,118	\$11,137,545	\$298,212	2.8%
Total	\$703,710,771	\$64,812,629	\$22,111,073	\$790,634,473	\$982,865,975	\$867,684,126	\$77,049,653	8.9%	\$1,007,607,652	\$892,276,697	\$24,592,571	2.8%

TABLE B. Colleges Operating Needs & Recommendations for the 2009-11 Biennium



Inst	2008-09			2009-10							2010-11						
	General Revenue Base (RSA, EETF & WF2000)	Calendar Year 2007 FTE	FY 2008-09 Dollars Per CY2007 FTE Student	AHECB Recommendations							AHECB Recommendations						
				Total Need	% of Need Met	\$ to restore	Total New Funds	Total Appropriation	% of Need Met	% Increase	Funds per FTE	Total Need	Total New Funds	Total Appropriation	% of Need Met	% Increase	Funds per FTE
ANC	\$9,907,876	1,183	\$8,378	\$10,252,336	96.6%	\$129,132	\$344,460	\$10,252,336	100.0%	3.5%	\$8,670	\$10,474,124	\$221,788	\$10,474,124	100.0%	2.2%	\$8,857
ASUB	\$14,083,118	3,153	\$4,467	\$18,151,797	77.6%	\$295,668	\$1,127,861	\$15,210,978	83.8%	8.0%	\$4,825	\$18,619,937	\$512,252	\$15,723,230	84.4%	3.4%	\$4,987
ASUMH	\$4,199,302	805	\$5,216	\$5,961,386	70.4%	\$199,112	\$516,277	\$4,715,579	79.1%	12.3%	\$5,857	\$6,098,190	\$178,979	\$4,894,558	80.3%	3.8%	\$6,080
ASUN	\$4,072,296	902	\$4,516	\$5,990,685	68.0%	\$408,226	\$713,566	\$4,785,862	79.9%	17.5%	\$5,307	\$6,135,397	\$172,536	\$4,958,398	80.8%	3.6%	\$5,499
BRTC	\$7,881,233	1,554	\$5,072	\$9,479,575	83.1%	\$258,911	\$588,471	\$8,469,704	89.3%	7.5%	\$5,450	\$9,726,763	\$223,627	\$8,693,331	89.4%	2.6%	\$5,594
CCCUA	\$4,425,906	864	\$5,121	\$6,156,111	71.9%	\$208,599	\$517,178	\$4,943,084	80.3%	11.7%	\$5,720	\$6,304,770	\$175,017	\$5,118,101	81.2%	3.5%	\$5,922
EACC	\$6,503,663	1,111	\$5,856	\$7,028,816	92.5%	\$115,773	\$297,220	\$6,800,882	96.8%	4.6%	\$6,124	\$7,204,832	\$161,380	\$6,962,262	96.6%	2.4%	\$6,269
MSCC	\$5,631,408	915	\$6,154	\$6,713,277	83.9%	\$199,867	\$423,132	\$6,054,540	90.2%	7.5%	\$6,617	\$6,869,072	\$153,526	\$6,208,065	90.4%	2.5%	\$6,784
NAC	\$8,851,883	1,523	\$5,812	\$9,817,482	90.2%	\$199,643	\$488,040	\$9,339,923	95.1%	5.5%	\$6,133	\$10,063,270	\$241,794	\$9,581,718	95.2%	2.6%	\$6,291
NPCC	\$10,550,571	1,917	\$5,505	\$11,903,259	88.6%	\$184,260	\$553,510	\$11,104,081	93.3%	5.2%	\$5,793	\$12,197,592	\$287,848	\$11,391,929	93.4%	2.6%	\$5,944
NWACC	\$10,339,901	3,800	\$2,721	\$17,039,391	60.7%	\$1,000,468	\$2,059,232	\$12,399,133	72.8%	19.9%	\$3,263	\$17,488,182	\$544,537	\$12,943,670	74.0%	4.4%	\$3,406
OTC	\$4,439,316	872	\$5,094	\$5,919,130	75.0%	\$201,060	\$465,648	\$4,904,964	82.9%	10.5%	\$5,628	\$6,064,698	\$154,039	\$5,059,002	83.4%	3.1%	\$5,805
OZC	\$4,002,010	768	\$5,210	\$5,005,329	80.0%	\$177,879	\$368,611	\$4,370,621	87.3%	9.2%	\$5,689	\$5,134,104	\$123,046	\$4,493,666	87.5%	2.8%	\$5,850
PCCUA	\$10,162,387	1,325	\$7,672	\$10,673,772	95.2%	\$277,106	\$511,385	\$10,673,772	100.0%	5.0%	\$8,058	\$10,915,079	\$241,307	\$10,915,079	100.0%	2.3%	\$8,240
PTC	\$15,232,599	6,132	\$2,484	\$23,868,363	63.8%	\$2,400,099	\$3,628,900	\$18,861,499	79.0%	23.8%	\$3,076	\$24,605,560	\$686,934	\$19,548,433	79.4%	3.6%	\$3,188
RMCC	\$3,349,889	530	\$6,317	\$3,923,171	85.4%	\$133,132	\$263,380	\$3,613,269	92.1%	7.9%	\$6,813	\$4,012,680	\$97,577	\$3,710,846	92.5%	2.7%	\$6,997
SACC	\$6,859,209	1,032	\$6,649	\$7,799,679	87.9%	\$159,403	\$407,291	\$7,266,500	93.2%	5.9%	\$7,044	\$7,987,265	\$192,969	\$7,459,470	93.4%	2.7%	\$7,231
SAUT	\$5,724,721	1,266	\$4,521	\$8,416,287	68.0%	\$242,239	\$703,811	\$6,428,531	76.4%	12.3%	\$5,077	\$8,631,626	\$242,472	\$6,671,003	77.3%	3.8%	\$5,268
SEAC	\$7,279,838	1,578	\$4,614	\$9,109,836	79.9%	\$235,983	\$604,604	\$7,884,442	86.5%	8.3%	\$4,997	\$9,357,928	\$237,897	\$8,122,339	86.8%	3.0%	\$5,148
UACCB	\$4,697,657	1,043	\$4,505	\$6,799,653	69.1%	\$212,716	\$588,619	\$5,286,276	77.7%	12.5%	\$5,069	\$6,967,415	\$208,469	\$5,494,746	78.9%	3.9%	\$5,269
UACCH	\$6,148,927	865	\$7,112	\$6,456,113	95.2%	\$164,627	\$307,185	\$6,456,113	100.0%	5.0%	\$7,467	\$6,602,948	\$146,835	\$6,602,948	100.0%	2.3%	\$7,637
UACCM	\$5,769,627	1,333	\$4,329	\$7,951,427	72.6%	\$250,455	\$653,263	\$6,422,891	80.8%	11.3%	\$4,819	\$8,152,093	\$234,860	\$6,657,751	81.7%	3.7%	\$4,995
Total	\$160,113,337	34,469	\$4,645	\$204,416,873	78.3%	\$7,654,358	\$16,131,644	\$176,244,980	86.2%	10.1%	\$5,113	\$209,613,526	\$5,439,688	\$181,684,668	88.9%	3.1%	\$5,271

Table C. Universities: Operating Needs & Recommendations for the 2009-11 Biennium
Using Revised University Formula



Inst	2008-09			2009-10							2010-11				
	2008-09 Base (RSA & EETF)	Calendar Year 2007 FTE	2008-09 Dollars Per CY 2007 FTE Student	Funding Level Need as Determined by the Funding Model		AHECB Recommendations					Funding Level Need as Determined by the Funding Model		AHECB Recommendations		
				% of Need	\$ to restore	Total New Funds	Total Appropriation	% of Need Met	% Increase	% of Need	% Increase	Total New Funds	Total Appropriation	% of Need Met	% Increase
ASUJ	\$58,746,809	9,279	\$6,331	\$66,948,180	88%	\$2,062,285	\$3,987,391	\$62,734,200	93.7%	6.8%	\$68,900,921	\$1,526,276	\$64,260,475	93.3%	2.4%
ATU	\$30,516,331	6,079	\$5,020	\$37,888,265	81%	\$1,263,067	\$2,678,927	\$33,195,258	87.6%	8.8%	\$39,095,298	\$935,860	\$34,131,118	87.3%	2.8%
HSU	\$20,394,363	3,321	\$6,141	\$21,874,576	93%	\$562,293	\$1,046,676	\$21,441,039	98.0%	5.1%	\$22,531,100	\$462,266	\$21,903,305	97.2%	2.2%
SAUM	\$16,485,794	2,807	\$5,873	\$19,095,693	86%	\$557,300	\$1,114,517	\$17,600,311	92.2%	6.8%	\$19,728,183	\$416,358	\$18,016,669	91.3%	2.4%
UAF	\$119,743,917	15,986	\$7,491	\$152,062,946	79%	\$5,056,182	\$11,269,024	\$131,012,941	86.2%	9.4%	\$156,364,022	\$3,993,336	\$135,006,277	86.3%	3.0%
UAFS	\$22,795,759	5,093	\$4,476	\$28,077,039	81%	\$946,812	\$2,036,827	\$24,832,586	88.4%	8.9%	\$29,025,099	\$761,449	\$25,594,035	88.2%	3.1%
UALR	\$60,542,053	9,109	\$6,646	\$75,914,473	80%	\$2,455,081	\$5,549,120	\$66,091,173	87.1%	9.2%	\$78,097,241	\$2,062,512	\$68,153,685	87.3%	3.1%
UAM	\$13,893,978	2,241	\$6,200	\$14,551,250	95%	\$378,780	\$657,272	\$14,551,250	100.0%	4.7%	\$14,838,097	\$286,847	\$14,838,097	100.0%	2.0%
UAPB	\$23,317,168	2,846	\$8,193	\$24,197,998	96%	\$423,595	\$880,830	\$24,197,998	100.0%	3.8%	\$24,668,950	\$470,952	\$24,668,950	100.0%	1.9%
UCA	\$54,690,112	11,149	\$4,905	\$77,415,963	71%	\$4,479,851	\$8,154,331	\$62,844,443	81.2%	14.9%	\$79,843,502	\$2,125,394	\$64,969,838	81.4%	3.4%
Total	\$421,126,284	67,910	\$6,201	\$518,026,384	81%	\$18,185,246	\$37,374,915	\$458,501,199	88.5%	8.9%	\$533,092,413	\$13,041,249	\$471,542,448	88.5%	2.8%

Table D. Non-Formula Entities: Operating Needs & Recommendations for the 2009-11 Biennium



Institution/Entity	2008-09		2009-10						2010-11					
	Total Base	General Revenue Request	Need		AHECB Recommendations				General Revenue Request	Need		AHECB Recommendations		
			Fiscal Year 2009-10	% of Need Met	\$ to Restore	Total New Funds	Total Appropriation	% Increase		Fiscal Year 2010-11	Total New Funds	Total Appropriation	% Increase	
ADTEC/ADWIRED		\$3,228,152	\$3,228,152				\$3,228,152	\$3,228,152		\$3,538,812	3,538,812	\$310,660	\$3,538,812	9.6%
AREON		\$730,768	\$730,768				\$730,768	\$730,768		\$783,568	783,568	\$53,000	\$783,768	7.2%
ASU-Sys	\$2,327,652	\$4,757,854	\$2,806,235	83%	\$112,938	\$266,429	\$2,594,081	11.4%	\$4,896,270	\$2,890,422	\$71,669	\$2,665,750	2.8%	
HSU SWATLC	\$210,294	\$216,603	\$219,813	96%	\$3,210	\$6,308	\$216,602	3.0%	\$223,101	\$226,407	\$644	\$217,246	0.3%	
SAUT-ECA	\$395,726	\$512,730	\$425,728	93%	\$19,130	\$28,804	\$424,530	7.3%	\$530,235	\$438,500	\$10,011	\$434,541	2.4%	
SAUT-FTA	\$1,637,382	\$2,544,299	\$1,941,940	84%	\$257,966	\$291,420	\$1,928,802	17.8%	\$2,655,123	\$2,000,199	\$34,510	\$1,963,312	1.8%	
UA-Sys	\$3,596,721	\$3,965,881	\$4,044,136	89%	\$235,922	\$340,764	\$3,937,485	9.5%	\$4,080,127	\$4,165,460	\$96,590	\$4,034,075	2.5%	
UA-AAS	\$2,388,240	\$3,127,752	\$2,846,887	84%	\$190,835	\$351,980	\$2,740,220	14.7%	\$3,221,585	\$2,926,294	\$69,864	\$2,810,084	2.5%	
UA-Division of Agri	\$67,255,390	\$82,477,092	\$81,232,051	83%	\$2,504,497	\$5,717,947	\$72,973,337	8.5%	\$84,878,904	\$83,669,013	\$2,302,089	\$75,275,426	3.2%	
UA-ASMSA	\$8,031,156	\$8,311,710	\$8,311,710	97%	\$0	\$238,549	\$8,269,705	3.0%	\$8,553,561	\$8,561,061	\$158,808	\$8,428,513	1.9%	
UA-Clinton School	\$2,271,657	\$2,490,932	\$2,374,991	96%	\$35,184	\$81,135	\$2,352,792	3.6%	\$2,565,660	\$2,446,241	\$46,347	\$2,399,139	2.0%	
UA-CJI	\$1,804,341	\$2,708,471	\$2,115,133	85%	\$56,662	\$142,723	\$1,947,064	7.9%	\$2,789,725	\$2,215,133	\$49,053	\$1,996,116	2.5%	
UALR-RAPS	\$3,556,157	\$6,915,642	\$5,218,169	68%	\$73,591	\$1,454,845	\$5,011,002	40.9%	\$7,097,369	\$5,374,714	\$169,415	\$5,180,417	1.5%	
UAMS	\$95,974,276	\$121,951,523	\$121,951,523	81%	\$4,447,726	\$9,003,386	\$104,977,662	9.4%	\$128,548,065	\$122,149,072	\$2,413,598	\$107,391,260	2.3%	
UAMS Child Safety	\$674,125	\$694,349	\$1,287,500	52%	\$575,875	\$581,806	\$1,255,931	86.3%	\$715,179	\$1,293,609	\$2,476	\$1,258,408	0.2%	
UAMS - Indigent Care	\$5,557,958	\$5,717,994	\$5,801,668	96%	\$83,674	\$192,661	\$5,750,619	3.5%	\$5,889,534	\$5,975,718	\$14,795	\$5,765,414	0.3%	
UAPB Nonformula	\$3,650,415	\$3,796,476	\$3,796,476	96%	\$36,549	\$109,446	\$3,759,861	3.0%	\$3,872,725	\$3,910,370	\$9,892	\$3,769,753	0.3%	
Total	\$199,331,490	\$254,148,226	\$248,332,882	81%	\$8,633,761	\$22,767,124	\$222,098,614	11.4%	\$264,839,541	\$252,564,595	\$5,813,421	\$227,912,035	2.6%	

Table E. Technical Centers Operating Needs & Recommendations for the 2009-11 Biennium



Institution/Entity	2008-09	2009-10							2010-11					
		Need		AHECB Recommendations					Need		AHECB Recommendations			
	Total Base	Fiscal Year 2009-10	% of Need Met	\$ to Restore FY 2009	Total New Funds	Total Appropriation	% of Need Met	% Increase	Fiscal Year 2010-11	% of Need Met	Total New Funds	Total Appropriation	% of Need Met	% Increase
ASU Tech Center	\$2,979,035	\$3,201,282	93.1%	\$52,901	\$131,361	\$3,110,396	97.2%	4.4%	\$3,269,715	95.1%	\$70,386	\$3,180,781	97.3%	2.3%
ATU-Ozark	\$3,085,661	\$4,515,099	68.3%	\$49,441	\$317,675	\$3,403,336	75.4%	10.3%	\$4,612,446	73.8%	\$146,906	\$3,550,242	77.0%	4.3%
UAM-Crossett	\$1,724,647	\$1,846,752	93.4%	\$32,442	\$74,251	\$1,798,898	97.4%	4.3%	\$1,885,021	95.4%	\$37,687	\$1,836,585	97.4%	2.1%
UAM-McGehee	\$2,274,019	\$2,526,702	90.0%	\$210,709	\$252,683	\$2,526,702	100.0%	11.1%	\$2,569,936	98.3%	\$43,234	\$2,569,936	100.0%	1.7%
Total	\$10,063,362	\$12,089,835	83.2%	\$345,493	\$775,971	\$10,839,333	88.8%	7.7%	\$12,337,118	87.9%	\$298,212	\$11,137,545	90.3%	2.8%

Table C. Universities: Operating Needs & Recommendations for the 2009-11 Biennium

UNIVERSITY FORMULA WITHOUT REVISIONS

Inst	2008-09			2009-10							2010-11				
	2008-09 Base (RSA & EETF)	Calendar Year 2007 FTE	2008-09 Dollars Per CY 2007 FTE Student	Funding Level Need as Determined by the Funding Model		PRELIMINARY AHECB Recommendations					Funding Level Need as Determined by the Funding Model		PRELIMINARY AHECB Recommendations		
				% of Need	\$ to restore	New Funds	Total Appropriation	% of Need Met	% Increase	% of Need Met	% Increase	New Funds	Total Appropriation	% of Need Met	% Increase
ASUJ	\$58,746,809	9,143	\$6,425	\$66,948,180	88%	\$2,062,285	\$3,990,219	\$62,737,028	93.7%	6.8%	\$68,900,921	\$1,527,859	\$64,264,888	93.3%	2.4%
ATU	\$30,516,331	6,245	\$4,887	\$37,888,265	81%	\$1,263,067	\$2,682,077	\$33,198,408	87.6%	8.8%	\$39,095,298	\$937,546	\$34,135,954	87.3%	2.8%
HSU	\$20,394,363	3,329	\$6,127	\$21,690,630	94%	\$562,293	\$1,018,988	\$21,413,351	98.7%	5.0%	\$22,353,546	\$452,823	\$21,866,174	97.8%	2.1%
SAUM	\$16,485,794	2,849	\$5,787	\$19,016,952	87%	\$557,300	\$1,103,544	\$17,589,338	92.5%	6.7%	\$19,543,053	\$405,758	\$17,995,097	92.1%	2.3%
UAF	\$119,743,917	16,478	\$7,267	\$152,062,946	79%	\$5,056,182	\$11,283,154	\$131,027,070	86.2%	9.4%	\$156,364,022	\$4,000,554	\$135,027,624	86.4%	3.1%
UAFS	\$22,795,759	5,220	\$4,367	\$28,077,039	81%	\$946,812	\$2,039,005	\$24,834,764	88.5%	8.9%	\$29,025,099	\$762,614	\$25,597,378	88.2%	3.1%
UALR	\$60,542,053	9,129	\$6,632	\$75,914,473	80%	\$2,455,081	\$5,555,714	\$66,097,767	87.1%	9.2%	\$78,097,241	\$2,065,871	\$68,163,638	87.3%	3.1%
UAM	\$13,893,978	2,253	\$6,168	\$13,973,962	99%	\$378,780	\$657,272	\$14,551,250	104.1%	4.7%	\$14,346,596	\$286,847	\$14,838,097	103.4%	2.0%
UAPB	\$23,317,168	2,839	\$8,213	\$23,317,168	100%	\$423,595	\$880,830	\$24,197,998	103.8%	3.8%	\$23,317,168	\$470,952	\$24,668,950	105.8%	1.9%
UCA	\$54,690,112	11,244	\$4,864	\$77,415,963	71%	\$4,479,851	\$8,164,112	\$62,854,224	81.2%	14.9%	\$79,843,502	\$2,130,424	\$64,984,648	81.4%	3.4%
Total	\$421,126,284	68,728	\$6,127	\$516,305,578	82%	\$18,185,246	\$37,374,915	\$458,501,199	88.8%	8.9%	\$530,886,446	\$13,041,249	\$471,542,448	88.8%	2.8%

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RECOMMENDATIONS FOR STATE FUNDING OF CAPITAL PROJECTS 2009-11 BIENNIUM

Background

From a total of \$357 million appropriated, the 2007 legislative session provided allocations totaling \$259 million for distribution from the General Improvement Fund (GIF) for various capital projects at institutions of higher education. To date, 14 percent or \$37 million, of the allocation has been released to institutions from the GIF for higher education projects. For the 2005-07 biennium, \$16.5 million was distributed for capital projects, which was slightly more than the 2003-05 biennium when \$14 million was distributed for capital projects.

Capital Priorities

Capital priorities for General Improvement Fund projects in 2009-11 remain the same as those established in past biennia by the Arkansas Higher Education Coordinating Board. Those priorities are as follows:

- Technology infrastructure improvements including: installations or upgrades of local area networks (LANS), campus infrastructure to support increased bandwidth, and instructional technology equipment for classrooms and laboratories, as well as distance learning delivery systems.
- Critical maintenance projects where critical needs are defined as those which must be addressed before the end of 2011 and which, if neglected, could result in substantial damage to the structural integrity of the building, or are related to the imminent failure of building systems such as HVAC, electrical and plumbing. In addition, critical maintenance projects include those associated with ADA compliance and/or safety needs.
- Improvements in instructional, research, and clinical equipment as well as library holdings and technology.
- Renovation of existing facilities to address changing program needs.

- New construction of facilities when renovation of an existing building to meet the need is either not cost effective or is not an option, e.g., new space to address enrollment growth.

In light of these priorities, institutions have responded with capital needs for the 2009-11 biennium. ADHE staff has evaluated the requests through site visits and discussions with campus officials and has recommended capital projects that meet the strategic needs of higher education through 2011.

Capital 2009-11 Recommendations

Arkansas higher education now has a current replacement value for its educational and general (E&G) space of \$4.2 billion. When this information is coupled with the fact that statewide, approximately 45 percent of the useful life of campus facilities has been expended, it is not surprising that the capital request for higher education is significant. A total of \$1.3 billion was requested by all institutions for technology infrastructure, renovation, and new construction. Staff used the following criteria when considering project funding recommendations:

- Institutional need for additional E&G square footage
- Condition of facilities (facilities condition index factor, critical maintenance needs)
- Age of facilities
- Debt service (capacity and utilization)
- Enrollment
- SREB category of the institution

As presented in Table 2, the AHECB recommends requests for renovation, new construction, and technology infrastructure of \$83.6 million. In addition, approximately \$18.7 million is recommended for deferred maintenance and \$2.6 million is recommended for equipment and library.

- **Construction, Renovation and Technology Infrastructure Projects**

Institutions requested \$1.3 billion in capital construction/renovation and technology infrastructure projects. Recommendations were made on the basis of institutions' demonstrating a compelling need for the projects.

Of the \$83.6 million recommended, \$44.6 million (53 percent) is for renovation, construction or technology infrastructure projects for four-year institutions; \$25.5 million (31 percent) for two-year institutions; \$12.7 million (15 percent) for non-formula entities; and \$.79 million (1 percent) for technical centers. Current physical plant assets at the four-year institutions represent about 55 percent of total higher education facilities assets, while the two-year institutions and non-formula entities have 23 percent and 21 percent of the total assets respectively. The physical plant assets at the technical centers represent approximately 1 percent of the total assets. A narrative description of each recommended project follows in Table 3.

- **Deferred Maintenance**

Educational and General maintenance needs for all institutions total almost \$1.9 billion. The four-year institutions have a maintenance need of \$1.2 billion (66 percent); the two-year maintenance need is \$270 million (14 percent); and the non-formula and technical centers maintenance needs are \$369 million (19 percent) and \$11 million (1 percent) respectively.

Staff recommends approximately \$18.7 million for deferred maintenance needs. Recommendations are based on one percent of the institution's total maintenance need. The total deferred maintenance and recommendation for each institution can be found in Table 2.

- **Equipment and Library**

Staff recommends approximately \$2.6 million for equipment and library needs. This recommendation is based on \$10/FTE for the four-year and two-year institutions with a minimum recommendation of \$50,000. The minimum for the technical centers and non-formula entities was set at \$25,000.

Summary

The capital recommendation is significant given the projected revenues to support the projects; however, these recommendations reflect the continuing need to maintain the state's investment in higher education facilities and keep pace with the technological advances that are necessary for cutting edge academic programs.

Project categories and recommendations are summarized as follows:

<u>Project Category</u>	<u>Recommendations</u>
Renovation, Construction and Technology Infrastructure	\$83,550,000
Deferred Maintenance	18,680,500
Equipment and Library	2,594,000
GRAND TOTAL	\$104,824,500

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ASUJ					
Projects:					
Wilson Hall Replacement	Construction	26,496,074	5,000,000	5,000,000	
Business Building	Construction	21,251,307			
New Fine Arts Annex	Construction	5,587,750			
Library Completion (6th Floor)	Construction	2,000,000			
Computer System & Distance Learning Infrastruc	Technology	11,000,000			
New Campus ASU Paragould	Construction	10,000,000			
Lab Science Renovation	Renovation	15,350,740			
Classroom/Administration Building	Construction	22,417,545			
Large Animal Instructional Center	Construction	1,206,631			
Arkansas Discovery Network Museum	Construction	27,100,342			
Hemingway Pfeiffer Educational Ctr	Construction	5,736,490			
Lakeport Plantation Visitor Center	Construction	1,948,396			
Instructional Equipment Improvements	Construction	3,608,504			
ASU Partnership Building	Construction	18,115,176			
Campus Pedestrian Accessible Loop	Other	3,000,000			
Project Total		174,818,955	5,000,000	5,000,000	
Deferred Maintenance		163,846,497	1,600,000	1,600,000	
Equipment & Library			91,000	91,000	
Total		338,665,452	6,691,000	6,691,000	
ATU					
Projects:					
Academic Classroom Facility	Construction	27,365,985	4,000,000	4,000,000	
Energy Conservation Solutions	Other	5,450,000			
Educational and General Buildings	Renovation & Critical Mair	2,694,513			
Project Total		35,510,498	4,000,000	4,000,000	
Deferred Maintenance		82,815,207	825,000	825,000	
Equipment & Library			60,000	60,000	
Total		118,325,705	4,885,000	4,885,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
HSU					
Projects:					
Phase I Campus Renovations	Renovations / Remodeling	11,693,244	3,100,000	3,100,000	
Henderson State University LANS	Technology Infrastructure	752,200			
Land Acquisition	Other	309,000			
Project Total		12,754,444	3,100,000	3,100,000	
Deferred Maintenance		59,914,749	600,000	600,000	
Equipment & Library			50,000	50,000	
Total		72,669,193	3,750,000	3,750,000	
SAUM					
Projects:					
University Agriculture Center	New Construction	7,498,745	3,000,000	3,000,000	
Wilson Upgrades	Renovation	1,371,209			
Overstreet Upgrades	Renovation	2,926,263			
Technology Upgrades	Tech Infrastructure	1,242,873			
Project Total		13,039,090	3,000,000	3,000,000	
Deferred Maintenance		63,553,655	630,000	630,000	
Equipment & Library			50,000	50,000	
Total		76,592,745	3,680,000	3,680,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UAF					
Projects:					
Nanotech1: Nanotechnology Research Center	New Construction	66,325,369	8,000,000	8,000,000	
Mullins Library with Honors College	Reno / Add / New	89,155,769			
Ozark Hall (Historic Business Administration Bldg)	Rest / Reno / Add	27,101,763			
Peabody Hall	Rest / Reno	8,452,686			
Davis Hall	Rest / Reno	5,034,407			
Plant Sciences 2	New / Demo	33,963,832			
Vol Walker Hall	Rest / Reno / Add	29,407,056			
College of Education and Health Professions	New / Demo	23,060,052			
Engineering Hall	Rest / Reno / Add	16,681,508			
Biotech 1: Biotechnology Research Center	New Construction	76,537,427			
The Fieldhouse (Historic Men's Gymnasium)	Rest / Reno	11,265,153			
Project Total		386,985,022	8,000,000	8,000,000	
Deferred Maintenance		404,097,319	4,000,000	4,000,000	
Equipment & Library			165,000	165,000	
Total		791,082,341	12,165,000	12,165,000	
UAFS					
Projects:					
Library Upgrade & Expansion	Renovation	5,293,500	2,500,000	2,500,000	
STEM Building	New Construction	11,769,000			
Fine Arts Building	New Construction	17,172,750			
Project Total		34,235,250	2,500,000	2,500,000	
Deferred Maintenance		50,575,572	500,000	500,000	
Equipment & Library			52,000	52,000	
Total		84,810,822	3,052,000	3,052,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UALR					
Projects:					
Campus Technology Infrastructure	Technology Infrastructure	9,319,848	6,000,000	6,000,000	
Research and Commercialization Building	New Construction	32,000,000			
Critical Maintenance / Sustainability	Critical Maintenance	15,067,443			
Health Science and Communications Bldg	New Construction	23,227,360			
Classroom Technology Upgrades	Technology Infrastructure	1,871,250			
Performing Arts and Ross Hall Renov.	Renovation	15,683,200			
Project Total		97,169,101	6,000,000	6,000,000	
Deferred Maintenance		164,030,931	1,600,000	1,600,000	
Equipment & Library			91,000	91,000	
Total		261,200,032	7,691,000	7,691,000	
UAM					
Projects:					
Forest Resources Complex	New Construction/Ren	2,134,143	2,134,143	2,134,143	
Recable Campus Buildings	Tech. Infrastructure	300,000	300,000	300,000	
New Telephone System	Tech. Infrastructure	250,000	250,000	250,000	
Wireless Access Point and Controller	Tech. Infrastructure	150,000	150,000	150,000	
Renovation of Math and Science Center	Renovation	2,500,000	165,857	165,857	
Renovation of Music Building	Renovation	1,300,000			
District Cooling System Phase III	New Construction	3,005,000			
Campus Roadway and Parking Improvements	New Construction	1,200,000			
Academic Learning Center	New Construction	8,800,000			
Project Total		17,505,000	3,000,000	3,000,000	
Deferred Maintenance		59,709,677	600,000	600,000	
Equipment & Library			50,000	50,000	
Total		77,214,677	3,650,000	3,650,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UAPB					
Projects:					
Campus Renovations & Repair	Critical Maintenance	4,000,000	3,000,000	3,000,000	
Campus Upgrade for AERON	Technology	250,000			
Technology Updates	Technology	270,000			
Library Expansion	Construction	14,500,000			
Diversity Center	Construction	8,500,000			
Health Center	Construction	5,000,000			
Project Total		32,520,000	3,000,000	3,000,000	
Deferred Maintenance		56,176,907	560,000	560,000	
Equipment & Library			50,000	50,000	
Total		88,696,907	3,610,000	3,610,000	
UCA					
Projects:					
Science Classrooms/Labs	New Construction	25,000,000	7,000,000	7,000,000	
Information Technology Improvements	Renovation/Remodeling	5,000,000			
Education Classroom Building	New Construction	15,145,000			
Burdick Classroom Building	Renovation/Remodeling	7,000,000			
Nursing/OT Building	New Construction	14,500,000			
Old Main Hall	Renovation/Remodeling	15,175,000			
Psychology Building	New Construction	9,477,500			
Music and Theatre Building	New Construction	22,750,000			
Doyle Health Science Center	Renovation/Remodeling	15,000,000			
Mashburn Hall	Renovation/Remodeling	13,612,500			
Project Total		142,660,000	7,000,000	7,000,000	
Deferred Maintenance		131,325,157	1,300,000	1,300,000	
Equipment & Library			110,000	110,000	
Total		273,985,157	8,410,000	8,410,000	
Subtotal Four Year					
Projects		\$ 947,197,360	\$ 44,600,000	\$ 44,600,000	\$ -
Deferred Maintenance		\$ 1,236,045,671	\$ 12,215,000	\$ 12,215,000	\$ -
Equipment & Library		\$ -	\$ 769,000	\$ 769,000	\$ -
Total		\$ 2,183,243,031	\$ 57,584,000	\$ 57,584,000	\$ -

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ASU - Marked Tree					
Projects:					
Bldg. Addition-Jonesboro Tech. Ctr.	New Construction	2,000,000	200,000	200,000	
Classrm. Bldg.-Marked Tree	New Construction	2,000,000			
Technical Labs Exp.-Marked Tree	Renovation	1,500,000			
Project Total		5,500,000	200,000	200,000	
Deferred Maintenance		0	0	0	
Equipment & Library			50,000	50,000	
Total		5,500,000	250,000	250,000	
ATU - Ozark					
Projects:					
Electrical System Upgrade	Critical Maint.	140,500	140,500	140,500	
Library Software Upgrade	Technology	49,898	49,500	49,500	
Project Total		190,398	190,000	190,000	
Deferred Maintenance		6,785,586	65,000	65,000	
Equipment & Library			50,000	50,000	
Total		6,975,984	305,000	305,000	
UAM-Crossett					
Projects:					
Workforce/Collegiate Center UAM CTC	New Construction	2,150,000	200,000	200,000	
Project Total		2,150,000	200,000	200,000	
Deferred Maintenance		3,046,505	30,000	30,000	
Equipment & Library		0	50,000	50,000	
Total		5,196,505	280,000	280,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UAM-McGehee					
Projects:					
General Education Building UAM CTM	New Construction	4,250,000	200,000	200,000	
Project Total		4,250,000	200,000	200,000	
Deferred Maintenance		1,153,191	10,000	10,000	
Equipment & Library		0	50,000	50,000	
Total		5,403,191	260,000	260,000	
Subtotal Technical Institutes					
Projects		\$ 12,090,398	\$ 790,000	\$ 790,000	\$ -
Deferred Maintenance		\$ 10,985,282	\$ 105,000	\$ 105,000	\$ -
Equipment & Library		\$ -	\$ 200,000	\$ 200,000	\$ -
Total		\$ 23,075,680	\$ 1,095,000	\$ 1,095,000	\$ -

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ASU-SYS					
Projects:					
Administration Building - System Office	Construction	5,486,350	30,000	30,000	
Project Total		5,486,350	30,000	30,000	
Deferred Maintenance		0	0	0	
Equipment & Library			25,000	25,000	
Total		5,486,350	55,000	55,000	
SAUT - Environmental Academy					
Projects:					
EA Instructional Addition	New Addition	250,000	50,000	50,000	
Project Total		250,000	50,000	50,000	
Deferred Maintenance		551,144	5,000	5,000	
Equipment & Library			25,000	25,000	
Total		801,144	80,000	80,000	
SAUT - Fire Academy					
Projects:					
Fire Academy Model Fire Station	New Construction	785,710	500,000	500,000	
FA - Administration Bld Addition	Renovation	202,983			
FA - Heat Bld Residential - Camden	Renovation	200,000			
FA - Access Camden Site	New Construction	500,000			
FA - Confined Space Simulators	New Construction	200,000			
FA - Driving Course	New Construction	3,000,000			
FA - Aircraft Rescue Firefighting	New Construction	25,000			
FA - Heat Bld Commercial	New Construction	4,000,000			
FA - Heat Bld Residential -	New Construction	300,000			
FA - Classroom Bld Renovation - Lincoln	Renovation	110,943			
FA - Classroom Bld Renovation - Jonesboro	Renovation	110,943			
Project Total		9,435,579	500,000	500,000	
Deferred Maintenance		2,572,004	25,000	25,000	
Equipment & Library			25,000	25,000	
Total		12,007,583	550,000	550,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UA - Div. Of Agriculture					
Projects:					
Biotechnology & Plant Genomics Bldg	New Construction	12,000,000	4,500,000	4,500,000	
CES Headquarters Facility, Phase II	New Construction	7,800,000			
Technology Upgrade	Technology	1,623,500			
Project Total		21,423,500	4,500,000	4,500,000	
Deferred Maintenance		60,233,168	600,000	600,000	
Equipment & Library			100,000	100,000	
Total		81,656,668	5,200,000	5,200,000	
UA - Clinton School					
Projects:					
Auditorium	New construction	4,000,000	100,000	100,000	
Project Total		4,000,000	100,000	100,000	
Deferred Maintenance		0	0	0	
Equipment & Library			25,000	25,000	
Total		4,000,000	125,000	125,000	
UA - Criminal Justice Institute					
Projects:					
CJI Building	Construction	2,750,000	100,000	100,000	
Forensic Lab/Classroom Equipment	Equipment	82,500			
Equipment Upgrades	Equipment	217,000			
Project Total		3,049,500	100,000	100,000	
Deferred Maintenance		0	0	0	
Equipment & Library			50,000	50,000	
Total		3,049,500	150,000	150,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UA-AAS					
Projects:					
Project Total		0			
Deferred Maintenance		2,043,396	20,000	20,000	
Equipment & Library			25,000	25,000	
Total		2,043,396	45,000	45,000	
UA-ASMSA					
Projects:					
Multipurpose Building	Construction	6,000,000	350,000	350,000	
Residence Life Building Renovations	Renovation	3,450,000			
Instructional Technology	Technology	350,000			
Lighting Fixture Upgrade	Energy Conservation	120,000			
Project Total		9,920,000	350,000	350,000	
Deferred Maintenance		0	0	0	
Equipment & Library			50,000	50,000	
Total		9,920,000	400,000	400,000	
UA-SYS					
Projects:					
Technology Upgrade	Technology	30,000	30,000	30,000	
UA System Office Expansion	New Construction	973,750			
Project Total		1,003,750	30,000	30,000	
Deferred Maintenance		1,543,910	15,000	15,000	
Equipment & Library			25,000	25,000	
Total		2,547,660	70,000	70,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UAMS					
Projects:					
Gross Anatomy Lab	Renovation	2,337,203	2,337,203	2,337,203	
Clinical Skills Center / Sim Lab	Renovation	2,826,157	2,826,157	2,826,157	
Technology Upgrades-	Technology Infrastructure	706,800	706,800	706,800	
Ed II Building Infrastructure/Facilities	Renovation	10,961,884	1,129,840	1,129,840	
Weight Loss and Metabolic Control	Renovation	2,600,000			
Expansion-UAMS BioVentures Bldg	Expansion Construction	584,000			
Ed South Building for Regional Programs	Renovation	815,000			
Hospital Two-Pipe System	Critical Maintenance	4,009,061			
Optical Topography System	Equipment	535,000			
UAMS Child Care Center	New Construction/Equip	4,261,200			
GME Resident Lounges and Call Rooms	Renovation	750,000			
New Academic Administrative Info Sys.	Technology Infrastructure	2,300,000			
UAMS NEAR US	Technology Infrastructure	1,627,000			
UAMS Clinical Research Center	Renovation	10,063,250			
Biomedical Research Building Labs	Finish Shelled Space	3,554,330			
Ottenheimer Cancer Educ Center	Equipment	75,000			
Expansion-Nursing Learning Resource Center	Equipment	1,604,000			
4th Floor-Walker Tower/Cancer Institute	Renovation	5,575,000			
Finish Out WPR Cancer Institute Bldg	Equipment	156,200			
Psychiatric Research Inst./CMHC	Renovation	4,825,129			
Kidney Institute	New Construction/Equip	18,160,808			
Project Total		78,327,022	7,000,000	7,000,000	
Deferred Maintenance		301,948,784	3,000,000	3,000,000	
Equipment & Library			100,000	100,000	
Total		380,275,806	10,100,000	10,100,000	
Subtotal Non-Formula					
Projects		\$ 132,895,701	\$ 12,660,000	\$ 12,660,000	\$ -
Deferred Maintenance		\$ 368,892,406	\$ 3,665,000	\$ 3,665,000	\$ -
Equipment & Library		\$ -	\$ 450,000	\$ 450,000	\$ -
Total		\$ 501,788,107	\$ 16,775,000	\$ 16,775,000	\$ -

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ANC					
Projects:					
Nursing & Allied Health Building	New Construction	3,100,000	1,000,000	1,000,000	
"B" and "C" Buildings	Renovation	500,000			
Workforce Training Building	New Construction	6,000,000			
Center for Science and Technology	New Construction	11,000,000			
General Classroom Building	New Construction	3,500,000			
Project Total		24,100,000	1,000,000	1,000,000	
Deferred Maintenance		7,425,345	75,000	75,000	
Equipment & Library			50,000	50,000	
Total		31,525,345	1,125,000	1,125,000	
ASUB					
Projects:					
England Center	Renovation	2,531,000	1,750,000	1,750,000	
ASU-Searcy Main Building	Renovation	1,727,000			
Performing Arts Center	New Construction	23,978,000			
Project Total		28,236,000	1,750,000	1,750,000	
Deferred Maintenance		31,874,710	320,000	320,000	
Equipment & Library			75,000	75,000	
Total		60,110,710	2,145,000	2,145,000	
ASUMH					
Projects:					
Vada Sheid Community Dev. Center	New Construction	10,692,744	1,000,000	1,000,000	
Project Total		10,692,744	1,000,000	1,000,000	
Deferred Maintenance		3,470,011	35,000	35,000	
Equipment & Library			50,000	50,000	
Total		14,162,755	1,085,000	1,085,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ASUN					
Projects:					
Classroom Building-Newport	New Construction	2,500,000	1,000,000	1,000,000	
Project Total		2,500,000	1,000,000	1,000,000	
Deferred Maintenance		6,156,559	60,000	60,000	
Equipment & Library			50,000	50,000	
Total		8,656,559	1,110,000	1,110,000	
BRTC					
Projects:					
Health Sciences Addition	New Construction	1,000,000	1,000,000	1,000,000	
Project Total		1,000,000	1,000,000	1,000,000	
Deferred Maintenance		11,381,384	115,000	115,000	
Equipment & Library			50,000	50,000	
Total		12,381,384	1,165,000	1,165,000	
CCCUA					
Projects:					
Technology Infrastructure Upgrade	Technology	178,000	178,000	178,000	
Technology Equipment	Technology	197,500	197,500	197,500	
Interior Renovation - Building "C"	Renovation	111,400	111,400	111,400	
Roof Replacement - Building "D"	Renovation	38,140	38,140	38,140	
Health Careers Center	New Construction	7,029,800	474,960	474,960	
Wet Lab/Classrooms - Fire Training	New Construction	611,300			
Smoke Maze - Fire Training	New Construction	70,000			
Project Total		8,236,140	1,000,000	1,000,000	
Deferred Maintenance		9,825,668	100,000	100,000	
Equipment & Library			50,000	50,000	
Total		18,061,808	1,150,000	1,150,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
EACC					
Projects:					
Workforce Training Center	New Construction	2,708,000	1,000,000	1,000,000	
Student Activities Center	New Construction	1,294,000			
Renovations of Allied Health Facility	Renovation	890,500			
Renovations of Classroom Bldg 2	Renovation	365,500			
Maintenance Building Expansion	New Construction/Renova	153,000			
Project Total		5,411,000	1,000,000	1,000,000	
Deferred Maintenance		5,588,067	55,000	55,000	
Equipment & Library			50,000	50,000	
Total		10,999,067	1,105,000	1,105,000	
MSCC					
New classroom building	New Construction	6,000,000	1,000,000	1,000,000	
Project Total		6,000,000	1,000,000	1,000,000	
Deferred Maintenance		11,331,955	112,500	112,500	
Equipment & Library			50,000	50,000	
Total		17,331,955	1,162,500	1,162,500	
NAC					
Projects:					
Campus Center	New Construction	8,330,000	1,000,000	1,000,000	
Classroom Renovation - Center C.	Renovation	955,300			
Project Total		9,285,300	1,000,000	1,000,000	
Deferred Maintenance		15,334,053	155,000	155,000	
Equipment & Library			50,000	50,000	
Total		24,619,353	1,205,000	1,205,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
NPCC					
Projects:					
Infrastructure Improvements	Technology Upgrades	1,169,000	1,000,000	1,000,000	
Classroom Technology	Technology Upgrades	606,500			
Renovation of Existing Health	Renovation	850,000			
Project Total		2,625,500	1,000,000	1,000,000	
Deferred Maintenance		15,377,520	155,000	155,000	
Equipment & Library			50,000	50,000	
Total		18,003,020	1,205,000	1,205,000	
NWACC					
Projects:					
Global Business Development Center	New Construction	997,320	997,320	997,320	
Critical Maintenance	Energy Conservation	1,320,043	1,252,680	1,252,680	
Technology Infrastructure	Technology Infrastructures	516,750			
Narti/Highlands Oncology Remodel	Renovation	1,000,000			
University Center	New Construction	6,862,500			
Project Total		10,696,613	2,250,000	2,250,000	
Deferred Maintenance		9,748,182	100,000	100,000	
Equipment & Library			75,000	75,000	
Total		20,444,795	2,425,000	2,425,000	
OTC					
Projects:					
Critical Maintenance	Renovation/Remodeling	261,350	261,350	261,350	
Child Development Laboratory Center	New Construction	846,200	738,650	738,650	
Safe Assembly and Student Center	New Construction	2,289,000			
Project Total		3,396,550	1,000,000	1,000,000	
Deferred Maintenance		9,497,075	95,000	95,000	
Equipment & Library			50,000	50,000	
Total		12,893,625	1,145,000	1,145,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
OZC					
Projects:					
Stone County Classroom/Student Center	New Construction	715,500	715,500	715,500	
Miller Complex Renovation	Renovation	197,950	197,950	197,950	
Administration Building Renovation	Renovation	282,700	86,550	86,550	
Project Total		1,196,150	1,000,000	1,000,000	
Deferred Maintenance		8,114,172	80,000	80,000	
Equipment & Library			50,000	50,000	
Total		9,310,322	1,130,000	1,130,000	
PCCUA					
Projects:					
Classrooms and Auditorium-Stuttgart	Construction	4,000,000	1,000,000	1,000,000	
Compress Video Classrooms (4)	Technology	320,000			
New roofs for Five (5) Buildings	Renovation	575,000			
Technology Infrastructure Improvements	Technology	275,000			
Fine Arts Center Building-Chillers	Renovation	250,000			
Ohio Street Properties	Renovation	250,000			
Elevators for Classroom & Library	Renovation	180,000			
Addition to Motor Pool Building	Renovation	40,000			
Project Total		5,890,000	1,000,000	1,000,000	
Deferred Maintenance		39,225,956	390,000	390,000	
Equipment & Library			50,000	50,000	
Total		45,115,956	1,440,000	1,440,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
PTC					
Projects:					
PTC Arkansas Culinary School	New Construction	15,700,000	2,500,000	2,500,000	
Renovation of Science Building for Labs	Renovation	4,050,000			
Renovation of Classroom Bldg & Offices	Renovation	8,600,000			
Fine Arts Building	New Construction	12,725,000			
Classroom & Laboratory Building SCCC	New Construction	7,449,000			
Physical Education Building	New Construction	5,953,900			
Project Total		54,477,900	2,500,000	2,500,000	
Deferred Maintenance		14,227,158	140,000	140,000	
Equipment & Library			75,000	75,000	
Total		68,705,058	2,715,000	2,715,000	
RMCC					
Projects:					
Learning Commons / Auditorium	New Construction	3,400,000	1,000,000	1,000,000	
Instructional Technology	Renovations	300,000			
Science Labs / Greenhouse	New Construction/Renova	125,500			
Instructional / Vo-Tec Facility	New Construction	3,703,250			
Project Total		7,528,750	1,000,000	1,000,000	
Deferred Maintenance		2,841,907	30,000	30,000	
Equipment & Library			50,000	50,000	
Total		10,370,657	1,080,000	1,080,000	
SACC					
Projects:					
Health & Natural Sciences Building	New Construction	4,157,500	1,000,000	1,000,000	
Technology Infrastructure Upgrade	Technology	1,032,924			
Renovation of Classroom Building	Renovation	1,013,200			
Project Total		6,203,624	1,000,000	1,000,000	
Deferred Maintenance		11,650,940	116,500	116,500	
Equipment & Library			50,000	50,000	
Total		17,854,564	1,166,500	1,166,500	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
SAUT					
Projects:					
Career and Workforce Development Center	New Construction	9,552,500	1,000,000	1,000,000	
Project Total		9,552,500	1,000,000	1,000,000	
Deferred Maintenance		26,061,148	260,000	260,000	
Equipment & Library			50,000	50,000	
Total		35,613,648	1,310,000	1,310,000	
SEAC					
Projects:					
Founders Hall Renovation	Renovation	517,300	517,300	517,300	
Sewer and Plumbing Repair	Infrastructures	1,000,000	482,700	482,700	
Childcare Clinical Facility	Renovation	300,000			
Security	Other	750,000			
Project Total		2,567,300	1,000,000	1,000,000	
Deferred Maintenance		7,154,378	71,500	71,500	
Equipment & Library			50,000	50,000	
Total		9,721,678	1,121,500	1,121,500	
UACCB					
Projects:					
Nursing and Allied Health Facility	Construction	3,996,000	1,000,000	1,000,000	
Old Nursing Building	Renovation	1,679,100			
Underground Utility Renovation	Renovation	75,000			
Main Classroom/Administration Building	Renovation	756,250			
Business and Industry Building	Construction	2,195,100			
General Instruction Classroom Building	Construction	2,513,700			
Workforce and Community Education Center	Construction	1,498,000			
Land Acquisition	Other	300,500			
Project Total		13,013,650	1,000,000	1,000,000	
Deferred Maintenance		5,102,200	50,000	50,000	
Equipment & Library			50,000	50,000	
Total		18,115,850	1,100,000	1,100,000	

Table 2: Capital Requests / Recommendations for the 2009-11 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UACCH					
Projects:					
Administration/Classroom Building	Renovation	1,950,000	1,000,000	1,000,000	
Technology Infrastructure	Technology	788,000			
Project Total		2,738,000	1,000,000	1,000,000	
Deferred Maintenance		5,746,600	55,000	55,000	
Equipment & Library			50,000	50,000	
Total		8,484,600	1,105,000	1,105,000	
UACCM					
Projects:					
Health, Physical Educ. & Recreation Bldg.	New Construction	5,287,800	1,000,000	1,000,000	
Professional Studies Complex	New Construction	5,545,800			
Science Building	New Construction	4,388,800			
Education Building	New Construction	4,325,800			
Leadership Dev. (Workforce) Institute	New Construction	2,070,800			
Project Total		21,619,000	1,000,000	1,000,000	
Deferred Maintenance		12,612,980	125,000	125,000	
Equipment & Library			50,000	50,000	
Total		34,231,980	1,175,000	1,175,000	
Subtotal Two-Year					
Projects		\$ 236,966,721	\$ 25,500,000	\$ 25,500,000	\$ -
Deferred Maintenance		\$ 269,747,968	\$ 2,695,500	\$ 2,695,500	
Equipment & Library			\$ 1,175,000	\$ 1,175,000	\$ -
Total		\$ 506,714,689	\$ 29,370,500	\$ 29,370,500	\$ -
Grand Total					
Projects		\$ 1,329,150,180	\$ 83,550,000	\$ 83,550,000	\$ -
Deferred Maintenance		\$ 1,885,671,327	\$ 18,680,500	\$ 18,680,500	
Equipment & Library			\$ 2,594,000	\$ 2,594,000	\$ -
Total		\$ 3,214,821,507	\$ 104,824,500	\$ 104,824,500	\$ -

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**Table 3. Narrative Descriptions of
Capital Projects for Renovation and New Construction for the 2009-11 Biennium**

Arkansas State University

Wilson Hall Replacement. Arkansas State University requests \$26,496,074 for the construction of a 120,000 square foot facility consisting of high technology classrooms, teaching laboratories and instructional spaces. This facility will house the departments of English and Philosophy, History, Political Science, Languages, Criminology, Sociology, Social Work and Geography. Each of these spaces will utilize state of the art equipment and furnishings in optimal room sizes and configurations to enhance the methodology faculty and students use to communicate information, solve problems, and interact in time and space. This project has been requested since 1987-89 biennium, originally as a renovation of Wilson Hall and recently as a replacement building (since seismic statutes make renovation of Wilson Hall unfeasible). Each biennium, the project has received a recommendation for funding but funds have never been allocated. ADHE recommended \$20,000,000 in Category A in the 2007-09 biennium, but funding has not been allocated to date.

Recommendation: \$5,000,000 (Category A)

Arkansas Tech University

Academic Classroom Facility. Arkansas Tech University requests \$27,365,985 for the construction of a 99,000 square foot Academic Classroom Facility. The building will be used for lecture classes and will be equipped with state-of-the-art technology and instructional communications systems. This project has a long history of requests and recommendations over the years, but it has received minimal funding. ADHE recommended \$15,000,000 in Category A in the 2007-09 biennium; \$500,000 in funding has been released this biennium for this project.

Recommendation: \$4,000,000 (Category A)

Henderson State University

Phase I Campus Renovations. Henderson State University requests \$11,693,244 to renovate approximately 53,000 square feet of education and general space. Buildings affected by this renovation will be the Garrison Center, Art Annex, Proctor Hall and various other education and general buildings. This is the first request for this project.

Recommendation: \$3,100,000 (Category A)

Southern Arkansas University-Magnolia

University Agriculture Center. Southern Arkansas University-Magnolia requests \$7,498,745 to construct a 37,000 square foot University Agriculture Center. This facility will provide classrooms, a lecture hall, faculty offices, a computer lab and other labs for forestry, soils, horticulture and animal science. This is the third request for this project.

Recommendation: \$3,000,000 (Category A)

University of Arkansas-Fayetteville

Nanotech 1: Nanotechnology Research Center. The University of Arkansas-Fayetteville requests \$66,325,369 to construct a 125,000 square foot research facility. The Nanotechnology Research Center will include clean and non-clean laboratories, offices, and support functions for the College of Engineering, Arts and Sciences, and Agriculture. The building will house a state of the art imaging facility for high-resolution electron microscopy, manipulation, and fabrication of materials at the atomic level. ADHE recommended \$32,000,000 for this project in the 2007-09 biennium; \$4,000,000 in funding has been released this biennium for this project.

Recommendation: \$8,000,000 (Category A)

University of Arkansas at Fort Smith

Library Upgrade and Expansion. The University of Arkansas-Fort Smith requests \$5,293,500 to renovate and expand Boreham Library. This expansion will double the existing square footage of the Library making it approximately 58,000 square feet. The expansion is necessary to accommodate not only the growing number of patrons and expanded collections, but also requirements generated by new technologies and programs such as viewing and listening rooms, computer work stations and robust wireless service, expansion of media services, space for classes needing continuous library access, and instructional labs. This is the second request for this project.

Recommendation: \$2,500,000 (Category A)

University of Arkansas at Little Rock

Campus Technology Infrastructure. The University of Arkansas at Little Rock requests \$9,319,848 to purchase and maintain campus technology infrastructure. This includes upgrades to the campus network hardware, security, wiring, wireless, business continuity planning, video server, campus card system and administrative system hardware. This is the second request for this project.

Recommendation: \$6,000,000 (Category A)

University of Arkansas at Monticello

Forest Resources Complex. The University of Arkansas at Monticello requests \$2,134,143 to construct a 30,000 square foot annex to the Forest Resource Complex. This annex will include state-of-the-art classrooms, research laboratories, and meeting facilities. The new addition will provide the capacity and infrastructure needed for undergraduate, graduate, and continuing education programs. This project has a long history of requests and recommendations over the years, but it has received minimal funding. ADHE recommended \$4,600,000 in Category A in the 2007-09 biennium; \$2,000,000 in funding has been released this biennium for this project.

Recommendation: \$2,134,143 (Category A)

Recable Campus Buildings. The University of Arkansas at Monticello requests \$300,000 to provide new cable in several campus buildings. This is necessary to improve campus computing speed, implement a new telephone system and to provide wireless access to various buildings. This is the first request for this project.

Recommendation: \$300,000 (Category A)

New Telephone System. The University of Arkansas at Monticello requests \$250,000 to install a new telephone system. The new system is necessary because the current system is over 20 years old and operates with circuit line cards that have no capacity to upgrade to multi-line telephones from single lines. Replacement parts are difficult to find which makes the current system difficult and expensive to maintain. This is the first request for this project.

Recommendation: \$250,000 (Category A)

Wireless Access Point and Controller. The University of Arkansas at Monticello requests \$150,000 to expand wireless access on the Monticello campus. Currently, the University has a limited number of wireless access points. The goal of this project is to expand wireless access for the convenience of students and reduce dependency on desktop computers located in labs. This is the first request for this project.

Recommendation: \$150,000 (Category A)

Renovations of Math and Science Center. The University of Arkansas at Monticello requests \$2,500,000 to renovate approximately 49,000 square feet in the Math and Science Center. The renovation will include the addition of insulation, floor coverings and HVAC upgrades. The present facility has minimal thermal insulation. The exterior envelope needs to be insulated at least to minimum standards and the HVAC and ventilation systems need to be upgraded and redesigned in many

areas. Other renovations would include alterations necessary for compliance with the Americans with Disabilities Act and renovations to increase energy efficiency. This is the second request for this project.

Recommendation: \$165,857 (Category A)

University of Arkansas at Pine Bluff

Campus Renovations and Repair. The University of Arkansas at Pine Bluff requests \$4,000,000 for campus wide renovations and repairs including ADA compliance, repair and replacement of roofing systems, environmental control systems, and subterranean drainage and foundation repairs. These are major critical maintenance issues that threaten to shut down the campus if not addressed. This project has a long history of requests and recommendations over the years, but it has received minimal funding. ADHE recommended \$8,000,000 in Category A in the 2007-09 biennium; \$500,000 in funding has been released this biennium for this project.

Recommendation: \$3,000,000 (Category A)

University of Central Arkansas

Science Classrooms/Labs. The University of Central Arkansas requests \$25,000,000 for the construction of an 80,000 square foot Science building. The new facility will contain many science labs which are desperately needed due to the fact that current science labs are scheduled in excess of sixty hours per week, including evening hours and weekends. This is the second request for this project.

Recommendation: \$7,000,000 (Category A)

Arkansas State University – Marked Tree

Building Addition-Jonesboro Technical Center. Arkansas State University-Marked Tree requests \$2,000,000 for a building expansion at the Jonesboro Technical Center. This expansion of 15,000 square feet will include additional classrooms, computer laboratories, faculty offices, and restrooms. This is the first request for this project.

Recommendation: \$200,000 (Category A)

Arkansas Tech University – Ozark

Electrical Systems Upgrade. Arkansas Tech University-Ozark requests \$140,500 to replace existing electrical system. The primary need for the upgrade is to alleviate safety concerns in the Welding Technology department. Currently the welding

students are alternating the use of welders in the lab setting to balance the use of electricity. The requested upgrade will also allow for more time in the lab per student and flexibility in scheduling. This is the first request for this project.

Recommendation: \$140,500 (Category A)

Library Software Upgrade. Arkansas Tech University-Ozark requests \$49,898 to upgrade library software. The upgrade will migrate the Ozark Campus library collections to the Arkansas Tech online catalog. It will align the library holdings and operations between the Ozark and Russellville campuses; and upgrade the library resources for students, faculty and staff. This is the first request for this project.

Recommendation: \$49,500 (Category A)

University of Arkansas at Monticello – Crossett

Workforce/Collegiate Center. The University of Arkansas at Monticello-Crossett requests \$2,150,000 to construct a 14,000 square foot Workforce/Collegiate Center. This facility would provide much needed space for the following: the Arkansas Workforce Training Center of Ashley County, workforce development training and conferences, the Adult Education Program, and collegiate courses. This is the fourth request for this project.

Recommendation: \$200,000 (Category A)

University of Arkansas at Monticello – McGehee

General Education Building. The University of Arkansas at Monticello-McGehee requests \$4,250,000 to construct a 26,000 square foot General Education building. This facility will provide much needed space for academic programs, technical training, and continuing education. This project has been requested since the 2003-05 biennium and has received minimal funding.

Recommendation: \$200,000 (Category A)

Arkansas State University – System Office

Administration Building. Arkansas State University – System Office requests \$5,486,350 to construct a 22,000 square feet Administration Building. This facility will incorporate all System Administrative functions into one building. Currently, System functions are located in three separate locations. This is the first request for this project.

Recommendation: \$30,000 (Category A)

Southern Arkansas University Tech – Environmental Academy

Environmental Academy Instructional Addition. The Environmental Academy requests \$250,000 to extend the study area in the Environmental Academy Building. In conjunction with the extension, the Academy is requesting to cover the existing walkway from the back double doors to the parking lot and place a canopy over the door coming into the EA Conference Room. The renovation will include a 112' x 8' walkway awning to classroom doors from parking lot and a cover for the entrance to the Academy Conference Room. This is the first request for this project.

Recommendation: \$50,000 (Category A)

Southern Arkansas University Tech – Fire Academy

Fire Academy Model Fire Station. The Fire Academy requests \$785,710 to construct a 5,000 square foot model fire station. The purpose of this project is to house fire fighters on campus in a realistic setting. It will consist of sleeping quarters for 10-20 students, shower and bathroom areas, living area, kitchen area and a four-engine apparatus bay. This facility will also house students who are participating in weekend classes. This is the first request for this project.

Recommendation: \$500,000 (Category A)

University of Arkansas – Division Of Agriculture

Biotechnology & Plant Genomics Building – Arkansas Research

and Extension Center. The University of Arkansas – Division of Agriculture requests \$12,000,000 to construct a 30,000 square foot laboratory and office building. The proposed laboratory and office building is designed to meet the increased demand for state-of-the-art agricultural molecular genomic research in plants and crops important to Arkansas' farm economy. This facility will provide scientists' with modern laboratories for plant molecular genetics, entomology, physiology, pathology, molecular breeding, plant tissue culture, genetic marker development and biological and agricultural engineering. This is the first request for this project.

Recommendation: \$4,500,000 (Category A)

University of Arkansas - Clinton School of Public Service

Auditorium. The Clinton School requests \$4,000,000 to construct an 8,000 square foot auditorium. The multipurpose auditorium will accommodate the school's lecture series, public events, conferences and other school functions. This is the first request for this project.

Recommendation: \$100,000 (Category A)

University of Arkansas - Criminal Justice Institute

Criminal Justice Institute Building. The Criminal Justice Institute requests \$2,750,000 to construct a 30,000 square foot Criminal Justice Institute Building, including classrooms, office space, conference rooms, computer server room, computer laboratory, fingerprint recovery area, mock crime scene area, and a 10,000 square foot forensic education and training laboratory. This project has a long history of requests and recommendations over the years, but it has received minimal funding. ADHE recommended \$1,000,000 in Category A in the 2007-09 biennium, but no funding has been allocated this biennium.

Recommendation: \$100,000 (Category A)

University of Arkansas - Arkansas School for Math, Science and Art

Multipurpose Building. The Arkansas School of Math, Science and Art requests \$6,000,000 to construct a 24,000 square foot multipurpose building. This facility will be used for assemblies, physical education classes, performing arts, science fair, special meetings, intramurals, etc. Having no large open-space facility, the school is required to rent space from other entities to meet the mandatory need for various activities. This is the third request for this project.

Recommendation: \$350,000 (Category A)

University of Arkansas – System Office

Technology Upgrade. The University of Arkansas System Office requests \$30,000 to make technological upgrades. These upgrades include adding an additional T1, replacing servers, and increasing system emergency restoration capabilities. This is the second request for this project.

Recommendation: \$30,000 (Category A)

University of Arkansas for Medical Sciences

Gross Anatomy Laboratory. The University of Arkansas for Medical Sciences requests \$2,337,203 to expand existing laboratory space. Approximately 24,000 square feet of lab space will be added to accommodate the increase in the UAMS College of Medicine class size from 160 to 200 students. This planned increase in class size includes the students who will be sent to the Northwest medical campus in Fayetteville for their third and fourth years, and this program can not be started until the gross anatomy laboratory is expanded. This is the first request for this project.

Recommendation: \$2,337,203 (Category A)

Clinical Skills Center/Simulation Laboratory. The University of Arkansas for Medical Sciences requests \$2,826,157 to construct a Center for Clinical Skills Education (CCSE) and also a Simulation Laboratory. The CCSE will provide a risk free, hands-on clinical and hospital environment that educates and assesses health care professionals in their practical clinical skills. The CCSE Simulation Center will consist of four medical education theatres (MET) that can be transformed into any number of different health care settings, OR, ED, patient room, recovery room, trauma room, transport area, etc. This is the first request for this project.

Recommendation: \$2,826,157 (Category A)

Technology Upgrades. The University of Arkansas for Medical Science requests \$706,800 for technology upgrades. This request for funding will allow UAMS to continue to upgrade existing computer and teaching labs, classrooms and the Library with the proper equipment as planned during fiscal years 2009-11. These funds will ensure that UAMS will meet the increased student population on campus in the coming years. This is the second request for this project.

Recommendation: \$706,800 (Category A)

Education II Building Renovation and Repair. The University of Arkansas for Medical Science requests \$10,961,884 for renovations and repairs to the Education II Building. The Education II Building includes the UAMS Library, classrooms and administrative space. Renovations will be made to the Library and classrooms to modernize them for today's students and teachers. This project also includes upgrades to the HVAC, elevator infrastructure and other deferred maintenance items. This is the third request for this project.

Recommendation: \$1,129,840 (Category A)

Arkansas Northeastern College

Nursing and Allied Health Building. Arkansas Northeastern College requests \$3,100,000 to construct a 21,000 square foot Nursing and Allied Health Building. The new building will house the specialty facilities accommodative of nursing and other allied health related programs. Extensive instructional support equipment and computer hardware will be available in the facility. This is the third request for this project.

Recommendation: \$1,000,000 (Category A)

Arkansas State University – Beebe

England Center. Arkansas State University-Beebe requests \$2,531,000 to renovate approximately 19,000 square feet of classroom space. This project entails removing old science labs and renovating the space for new academic use which will require extensive work to remove lab stations/equipment and utility lines. Other building needs include new floor coverings,

ceiling tiles and grids, lighting, painting, remodeling of the restrooms, and a general dress up and remodeling of faculty offices, classrooms, and hallways/lobbies. Existing mechanical, electrical, and roof systems will not need replacing but probably will require some work. ADHE recommended \$1,500,000 in Category A in the 2007-09 biennium but no funds were allocated.

Recommendations: \$1,750,000 (Category A)

Arkansas State University – Mountain Home

Vada Sheid Community Development Center. Arkansas State University-Mountain Home requests \$10,692,744 to construct a 65,000 square foot Community Development Center. The project will house the following areas: Business/Industry and Workforce/Continuing Education; Arts and Performing Arts facilities; an outdoor amphitheater; and a center for general community education. This project has a long history of requests and recommendations over the years, but it has received minimal funding. ADHE recommended \$2,500,000 in Category A in the 2007-09 biennium; \$250,714 in funding has been released this biennium.

Recommendation: \$1,000,000 (Category A)

Arkansas State University – Newport

Classroom Building. Arkansas State University-Newport requests \$2,500,000 to construct an 18,000 square foot classroom building. The new facility will include instructional classrooms, computer laboratories, faculty offices, and restrooms. The facility will provide easy access to the library, counseling center, and other student services housed at the campus. This is the second request for this project.

Recommendation: \$1,000,000 (Category A)

Black River Technical College

Health Sciences Addition. Black River Technical College requests \$1,000,000 to expand the Health Science building. The expansion of approximately 9,000 square feet will affect all the Health Sciences Programs including Registered Nursing (RN), Practical Nursing (PN), Respiratory Therapy (RT), Certified Nursing Assistant (CNA), Phlebotomy, and Medical Lab Assistant. This is the first request for this project.

Recommendation: \$1,000,000 (Category A)

Cossatot Community College-University of Arkansas

Technology Infrastructure Upgrade. Cossatot Community College-University of Arkansas requests \$178,000 to upgrade the technology infrastructure. This entails increasing bandwidth and implementing wireless access points throughout the campus. This will allow any classroom to be used as a technology lab and will allow students anywhere/anytime access to the Internet for online learning solutions and distance education courses. ADHE recommended \$181,000 in the 2007-09 biennium, but no funds have been allocated.

Recommendation: \$178,000 (Category A)

Technology Equipment. Cossatot Community College-University of Arkansas requests \$197,500 to replace computers in seven computer labs and provide five computers for instructor's use in the classroom. Projectors, mounting racks, and viewing screens will also be installed in academic classrooms. ADHE recommended \$225,000 in the 2007-09 biennium, but no funds have been allocated.

Recommendation: \$197,500 (Category A)

Interior Renovation-Building C. Cossatot Community College-University of Arkansas requests \$111,400 to conduct interior renovations to Building C. This project entails replacing the hallway lighting, ceiling grid and ceiling tile, and recovering the walls while incorporating into the changes a display area for student artwork. The floor covering will also be replaced and new exterior doors will be added for increased energy efficiency and updated appearance. ADHE recommended \$133,000 in the 2007-09 biennium, but no funds have been allocated.

Recommendation: \$111,400 (Category A)

Building D Roof Replacement/Renovation. Cossatot Community College-University of Arkansas requests \$38,140 to replace the roof on Building D. The new roof will be a pitched, standing seam metal roof. Renovation to the building includes interior upgrades, such as new flooring and fixtures in the restroom, and new floor covering in the classroom area. ADHE recommended \$934,000 in the 2007-09 biennium for various roof replacements at the college, but no funds have been allocated.

Recommendation: \$38,140 (Category A)

Health Careers Center. Cossatot Community College-University of Arkansas requests \$7,029,800 to construct a 28,000 square foot Health Careers Center. This facility will house classrooms and laboratories for the instruction of nursing, surgical technology, art, drawing, and the humanities as well as a 1,200 seat auditorium for presentation of the arts, cultural events, and community activities. This is the fifth request for this project.

Recommendation: \$474,960 (Category A)

East Arkansas Community College

Workforce Training Center. East Arkansas Community College requests \$2,708,000 to construct a 20,000 square foot Workforce Training Center. This facility will provide much needed space for workforce training, focusing on vocational and technical programs. EACC, as a member of the University Center and ADTEC Consortium of Arkansas Delta institutions is intent on becoming one of the area leaders in providing programs and assistance to its constituents for the training of our future workforce. Programs in the areas of Advanced Manufacturing, Online Manufacturing, Bio-fuel Technology, Information Technology and other ADTEC focused programs will be the focal point of this training and the driving force behind the need for this facility. This is the third request for this project.

Recommendation: \$1,000,000 (Category A)

Mid-South Community College

Classroom Building. Mid-South Community College requests \$6,000,000 to construct a 30,000 square foot general purpose classroom building. This facility will house 12 classrooms and faculty offices. The additional space is needed due to the increasing number of students transitioning into the University Center and the classroom space released to accommodate the Arkansas Workforce Center. This is the first request for this project.

Recommendation: \$1,000,000 (Category A)

National Park Community College

Infrastructure Improvements. National Park Community College requests \$1,169,000 to upgrade the infrastructure in order to serve the needs of students in the areas of testing, on campus classes, and web based classes. This project consists of replacing the existing dual T-1 internet connection with a T-3 connection, increasing the bandwidth of the LAN by replacing the current network switches with high performance switches throughout the campus, providing secure wireless access to campus students by adding two (2) Wireless Access Control Servers, six (6) access control modules, and forty (40) access points, consolidating the network servers that are scattered across campus with server array technology, and providing centralized backup power for mission critical servers by installing three (3) units and installing a new phone system. ADHE recommended \$629,000 in the 2007-09 biennium, but no funds have been allocated.

Recommendation: \$1,000,000 (Category A)

North Arkansas College

Campus Center. North Arkansas College requests \$8,330,000 to construct a 46,000 square foot Campus Center. The initial design of the proposed Campus Center includes a large student center with side meeting rooms for student groups, a student information center, general study areas, various offices for student service operations, a board room, a small food service area and a learning assistance center. This is the fourth request for this project.

Recommendation: \$1,000,000 (Category A)

Northwest Arkansas Community College

Global Business Development Center. Northwest Arkansas Community College requests \$997,320 to construct a 40,000 square foot Global Business Development Center. The new facility will meet needs in the programmatic areas of business, computer information and the culinary arts. This is the first request for this project.

Recommendation: \$997,320 (Category A)

Critical Maintenance. Northwest Arkansas Community College requests \$1,320,043 to make various energy conservation improvements across campus. This includes replacing the cooling tower, installing an energy recovery system, replacing a HVAC unit in the library and various other upgrades to improve energy efficiency. This is the first request for this project.

Recommendation: \$1,252,680 (Category A)

Ouachita Technical College

Critical Maintenance. Ouachita Technical College requests \$261,350 for critical maintenance projects across campus. A flat roof of 7,400 square feet will be replaced with a pitched roof to keep classrooms dry. Foundation problems and dated electrical systems are other issues that will be addressed with these funds. This is the first request for this project.

Recommendation: \$261,350 (Category A)

Child Development Laboratory Center. Ouachita Technical College requests \$846,200 to construct a 7,300 square foot Early Childhood Development Laboratory Center. This laboratory center will serve a dual purpose of housing children 0 – 3 years of age for students, faculty, and staff and will provide a laboratory setting where early childhood students can go watch age appropriate children as they play and work with each other, interact with those children at appropriate times, and then to learn from their experiences and bring the information back to the academic classroom. This is the first request for this project.

Recommendation: \$738,650 (Category A)

Ozarka College

Stone County Classroom/Student Center. Ozarka College requests \$715,500 to construct a 9,200 square foot facility for classrooms and a student center. This additional classroom, laboratory, and office space will be on the Mountain View campus to accommodate increased enrollment. The nursing program will be housed in this new facility. This is the first request for this project.

Recommendation: \$715,550 (Category A)

Miller Complex Renovation. Ozarka College requests \$197,950 to repair the roof on the Miller Complex. The Miller Complex consists of general classrooms, auditorium, computer lab and offices, which are in danger of water damage and/or student injury if the roof is not repaired. ADHE recommended \$250,000 in the 2007-09 biennium, but no funds have been allocated.

Recommendation: \$197,950 (Category A)

Administration Building Renovation. Ozarka College also requests \$282,700 to renovate the exterior of the Administration Building so that it more closely resembles other buildings on campus. A new entrance to the Administration Building will be constructed to achieve this. ADHE recommended \$290,000 in the 2007-09 biennium, but no funds have been allocated.

Recommendation: \$86,550 (Category A)

Phillips Community College of the University of Arkansas

Classroom and Auditorium-Stuttgart. Phillips Community College of the University of Arkansas requests \$4,000,000 to construct an 18,000 square foot facility for classrooms and an auditorium on the Stuttgart campus. The new facility will consist of at least four classrooms with offices nearby, restrooms, and an auditorium to seat at least 150 people. The auditorium will be utilized by allowing more than 50 students to meet at one time and also by providing a place where business and industry can hold meetings for up to 150 people. ADHE recommended \$1,135,000 in the 2007-09 biennium; \$100,000 has been released this biennium.

Recommendation: \$1,000,000 (Category A)

Pulaski Technical College

Culinary School. Pulaski Technical College requests \$15,700,000 to construct a 40,000 square foot facility to house the Culinary School. The vision of the Culinary School is to provide the best culinary, hospitality, and wine studies in Arkansas and the region. The new facility will have ten classrooms, five of those with kitchens; four storerooms for equipment and cooking

materials; ten offices for faculty and staff. There will also be areas for a student lounge, computer labs, library, conference rooms and a large lecture hall. This is the first request for this project.

Recommendation: \$2,500,000 (Category A)

Rich Mountain Community College

Learning Commons/Auditorium. Rich Mountain Community College requests \$3,400,000 to construct a 55,000 square foot facility to provide classroom space and an auditorium. The auditorium will have 1600 seats providing the opportunity for the College and the Community to interact more and expanding the concept of the College as an integral part of the community. This is the second request for this project.

Recommendation: \$1,000,000 (Category A)

South Arkansas Community College

Health and Natural Sciences Building. South Arkansas Community College requests \$4,157,500 to construct a 45,000 square foot science building. The new facility will house the College's Allied Health programs and science labs which are now located in the Ben Whitfield Classroom Building. The Ben Whitfield Classroom Building is no longer adequate for all the programs since most of the College's growth has been in health related fields. ADHE recommended \$2,500,000 in Category A in the 2007-09 biennium, but funding has not been allocated to date.

Recommendation: \$1,000,000 (Category A)

Southeast Arkansas College

Founders Hall Renovation. Southeast Arkansas College requests \$517,300 to renovate Founders Hall, which houses the Nursing and Allied Health programs and the library. The construction of the new library and classroom building will allow the College to use all of Founders Hall to expand both the Nursing and Allied Health programs. This is the second request for this project.

Recommendation: \$517,300 (Category A)

Sewer and Plumbing Repair. Southeast Arkansas College requests \$1,000,000 to replace underground water and sewer lines that are approximately 50 years old. The life expectancy will soon be reached for these systems. This is the first request for this project.

Recommendation: \$482,700 (Category A)

Southern Arkansas University Tech

Career and Workforce Development Center. Southern Arkansas University Tech requests \$9,552,500 to construct an 85,000 square foot Career Center/Multi-Purpose Building to house administrative and service areas for secondary and post-secondary career programs. Instructional classrooms and laboratory areas for career programs such as Automotive Technology, Auto-body Repair Technology, Diesel Technology, Small Engine Repair Technology, Welding and Metal Trades Technology, and Heating and Air Conditioning Technology will also be located in this new facility. A large multi-purpose room that will seat a maximum 1,500 people for events such as graduation ceremonies for SAU Tech, the Arkansas Fire Training Academy, and the Arkansas Law Enforcement Training Academy will also be included in this facility. ADHE recommended \$2,500,000 in Category A in the 2007-09 biennium, but no funds have been released to date.

Recommendation: \$1,000,000 (Category A)

University of Arkansas Community College at Batesville

Nursing and Allied Health Facility. The University of Arkansas Community College at Batesville requests \$3,996,000 to construct a 22,000 square foot facility to house instructional classrooms, technical labs, faculty and staff offices, and lecture halls needed to support the Allied Health program. ADHE recommended \$2,500,000 in Category A in the 2007-09 biennium, but no funds have been released to date.

Recommendation: \$1,000,000 (Category A)

University of Arkansas Community College at Hope

Administration/Classroom Building. The University of Arkansas Community College at Hope requests \$1,950,000 to renovate approximately 32,000 square feet of space. The renovation to the Administration/Classroom building will involve replacing the existing roof with a pitched metal roof, replacing a 43 year old electrical system, and remodeling to both the interior and exterior. The existing foundation and concrete slab will also be stabilized with the renovation. This is the second request for this project.

Recommendation: \$1,000,000 (Category A)

University of Arkansas Community College at Morrilton

Health, Physical Education and Recreation Building. The University of Arkansas Community College at Morrilton requests \$5,287,800 to construct a 33,700 square foot facility to house the health, physical education and recreation activities of the college. The proposed facility will have classrooms, dressing rooms, aerobics/dance room, activity rooms, fitness center (machines), racquetball courts, and a gymnasium. This is the fifth request for this project.

Recommendation: \$1,000,000 (Category A)

Table 4: DEFINITIONS OF EXPENDITURE CATEGORIES

Academic Support. This category includes funds expended primarily to provide support for an institution's primary mission--teaching, research, and public service. Academic support is comprised of the following sub-categories:

Libraries. Includes salaries and operating expenses of separately organized libraries and media centers that are the responsibility of the librarian.

Museums and Galleries. Includes expenditures for activities that provide for the collection, preservation, and exhibition of historical materials, art objects, scientific displays, etc.

Organized Activities Related to Educational Departments. Includes expenditures for activities that provide support services to the three primary programs: teaching, research, and public service. These activities usually provide an opportunity for students to gain practical experience. Examples of organized activities are campus radio stations or farms.

Other Academic Support. Includes educational media services, academic computing, academic administration (deans and their support costs), and faculty development.

Equipment Replacement. Funds are provided for the replacement of worn or obsolete equipment. This is not an expenditure category in accounting terms since the expenditures will occur in other categories where equipment is used.

Institutional Support. This category includes administrative costs for institutional management such as the president's office, fiscal and personnel management, chief academic and student service officers, administrative computing, and other central institutional services that cannot be allocated to a specific department or activity.

Instruction. This category includes expenditures for all activities that are part of an institution's instructional program. Expenditures for credit and non-credit courses, for academic, vocational, and technical instruction, for remedial and tutorial instruction, and for any regular, special, and extension sessions are included. This category includes the following sub-categories:

Teaching Salaries. Includes only that portion of faculty salaries devoted to the teaching of credit-equivalency courses.

Departmental Operating Expenses. Includes salaries, wages, equipment, and operating expenses of instructional departments exclusive of teaching salaries.

Off-Campus Credit. Includes all credit courses offered anywhere other than in the facilities or on the grounds of a four-year institution, branch campus, or technical college. Included are expenditures for community college courses offered anywhere outside the district in which the community college is located.

Non-Credit Instruction. Encompasses courses which are not part of a degree or certificate program, such as community service courses.

Plant Maintenance and Operation. This category includes all costs associated with operating the campus buildings including utilities, and routine repair and maintenance of buildings.

Public Service. This category includes expenditures for non-instructional services beneficial to individuals and groups external to the institution. Examples are workshops, administration of non-credit courses, concerts and other cultural events intended primarily for the public, and cooperative extension services.

Research. This category includes all expenditures for activities specifically and separately organized to produce research outcomes. Included are expenditures for institutes, research centers, and program and project research.

Scholarships and Fellowships. This category includes expenditures in the form of outright grants to students selected by the institution and financed from unrestricted funds in the form of tuition remission. Performance or athletic scholarships are not included.

Student Services. This category includes expenditures for the offices of admissions, registrar, deans of men and women, financial aid, and counseling. Student service activities are those that contribute to the student's emotional and physical well-being and to their intellectual, cultural, and social development outside the context of formal academic instruction.

Transfers. Included are funds that are transferred from the unrestricted current funds group to any of the restricted fund groups. Transfers are classified in the following three categories:

Auxiliary Transfers. This item applies to the four-year institutions and their two-year branches. An auxiliary enterprise is an entity that exists to furnish goods or services to students, faculty, or staff, and charges a fee directly related to, although not necessarily equal to, the cost of the goods or services. Most auxiliaries are expected to be self-supporting. These include dormitories, bookstores, food services, and health services. Student organizations, publications, and college unions may require a subsidy from the unrestricted educational and general budget.

Mandatory Transfers. This category includes transfers to restricted fund groups, excluding auxiliaries, arising out of legal agreements related to the financing of educational facilities, and grant agreements with the federal government or other external sources.

Non-Mandatory Transfers. This category includes transfers from the unrestricted current funds group to any restricted fund group to serve a variety of objectives unique to an institution. The most common non-mandatory transfers are to auxiliaries, to the plant reserve fund, and other transfers to loan funds, quasi-endowment funds, or pre-payments of debt principal.

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Table 5. Annual Full-Time Equivalent Enrollment (FTE) for FY2007-08

Four-Year Universities		Two-Year Colleges			
2007-08 FTE		2007-08 FTE		2007-08 FTE	
ASUJ	9,279	ANC	1,183	OTC	872
ATU	6,079	ASUB	3,153	OZC	768
HSU	3,321	ASUMH	805	PCCUA	1,325
SAUM	2,807	ASUN	902	PTC	6,132
UAF	15,986	BRTC	1,554	RMCC	530
UAFS	5,093	CCCUA	864	SACC	1,032
UALR	9,109	EACC	1,111	SAUT	1,266
UAM	2,241	MSCC	915	SEAC	1,578
UAPB	2,846	NAC	1,523	UACCB	1,043
UCA	11,149	NPCC	1,917	UACCH	865
		NWACC	3,800	UACCM	1,333
Subtotal	67,910				34,469
Grand Total					102,379

Note: For funding purposes, FTE calculated as of July 1, 2008.

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**TABLE 6
2008-2009 Full-time Annualized Fall Tuition and Mandatory Fees**

TWO-YEAR INSTITUTION RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2008-09 SREB AVERAGE TUITION & FEES	2008-09 ANNUAL TUITION	2008-09 ANNUAL FEES	2008-09 TUITION & FEES
LOCAL TAXES (IN-DISTRICT)				
ANC	2,015	1,500	220	1,720
CCCUA	2,015	1,350	270	1,620
EACC	2,015	1,650	240	1,890
MSCC	2,015	1,650	300	1,950
NAC	2,015	1,650	150	1,800
NPCC	2,015	1,800	250	2,050
NWACC	2,015	1,898	513	2,411
PCCUA	2,015	1,650	380	2,030
RMCC	2,015	1,530	90	1,620
SACC	2,015	1,980	190	2,170
UACCB	2,015	1,560	575	2,135
UACCH	2,015	1,620	246	1,866
UACCM	2,015	2,010	510	2,520
AVERAGE ANNUAL	2,015	1,681	303	1,983
LOCAL TAXES (OUT-OF-DISTRICT/IN-STATE)				
ANC	2,385	1,800	220	2,020
ASUMH	2,385	2,310	450	2,760
CCCUA	2,385	1,650	270	1,920
EACC	2,385	1,890	240	2,130
MSCC	2,385	1,980	300	2,280
NAC	2,385	2,310	150	2,460
NPCC	2,385	2,100	250	2,350
NWACC	2,385	2,948	513	3,461
PCCUA	2,385	1,920	380	2,300
RMCC	2,385	2,070	90	2,160
SACC	2,385	2,220	190	2,410
UACCB	2,385	1,890	575	2,465
UACCH	2,385	1,770	246	2,016
UACCM	2,385	2,220	510	2,730
AVERAGE ANNUAL	2,385	2,077	313	2,390
NO LOCAL TAXES (IN-STATE)				
ASUB	2,385	2,340	330	2,670
ASUN	2,385	2,250	150	2,400
BRTC	2,385	2,100	90	2,190
OTC	2,385	1,680	450	2,130
OZC	2,385	2,100	470	2,570
PTC	2,385	2,310	350	2,660
SAUT	2,385	2,400	630	3,030
SEAC	2,385	2,040	280	2,320
AVERAGE ANNUAL	2,385	2,098	366	2,496

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

* ADHE staff has estimated the 2006-07 SREB tuition fee amounts using a four year average increase of the median SREB annual tuition fee rates.

TABLE 6
2008-2009 Full-time Annualized Fall Tuition and Mandatory Fees

TWO-YEAR INSTITUTION NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2008-09 SREB AVERAGE TUITION & FEES	2008-09 ANNUAL TUITION	2008-09 ANNUAL FEES	2008-09 TUITION & FEES
LOCAL TAXES				
ASUMH	7,420	3,960	450	4,410
ANC	7,420	3,300	220	3,520
CCCUA	7,420	4,950	270	5,220
EACC	7,420	2,310	240	2,550
MSCC	7,420	3,630	300	3,930
NAC	7,420	4,440	150	4,590
NPCC	7,420	4,020	250	4,270
NWACC	7,420	4,140	513	4,653
PCCUA	7,420	3,060	380	3,440
RMCC	7,420	5,100	90	5,190
SACC	7,420	4,050	190	4,240
UACCB	7,420	3,600	575	4,175
UACCH	7,420	3,450	246	3,696
UACCM	7,420	3,210	510	3,720
AVERAGE ANNUAL	7,420	3,801	313	4,115
NO LOCAL TAXES				
ASUB	7,420	4,020	330	4,350
ASUN	7,420	3,780	150	3,930
BRTC	7,420	5,460	90	5,550
OTC	7,420	3,360	450	3,810
OZC	7,420	5,190	470	5,660
PTC	7,420	3,840	350	4,190
SAUT	7,420	3,630	630	4,260
SEAC	7,420	4,080	280	4,360
AVERAGE ANNUAL	7,420	3,952	366	4,514

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

* ADHE staff has estimated the 2006-07 SREB tuition fee amounts using a four year average increase of the median SREB annual tuition fee rates.

TABLE 6
2008-2009 Full-time Annualized Fall Tuition and Mandatory Fees
FOUR-YEAR INSTITUTION UNDERGRADUATE RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2008-09 SREB AVERAGE TUITION & FEES	2008-09 ANNUAL TUITION	2008-09 ANNUAL FEES	2008-09 TUITION & FEES
UAF	6,512	5,010	1,389	6,399
LEVEL 1 GROUP AVERAGE	6,512	5,010	1,389	6,399
ASUJ	5,635	4,890	1,480	6,370
UALR	5,635	4,890	1,231	6,121
UCA	5,635	5,100	1,405	6,505
LEVEL 3 GROUP AVERAGE	5,635	4,960	1,372	6,332
ATU	5,471	4,830	600	5,430
HSU	5,471	4,920	1,104	6,024
LEVEL 4 GROUP AVERAGE	5,471	4,875	852	5,727
SAUM	5,011	4,800	846	5,646
UAM	5,011	3,420	1,180	4,600
LEVEL 5 GROUP AVERAGE	5,011	4,110	1,013	5,123
UAPB	4,542	3,450	1,226	4,676
UAFS	4,542	3,300	1,110	4,410
LEVEL 6 GROUP AVERAGE	4,542	3,375	1,168	4,543

FOUR-YEAR INSTITUTION UNDERGRADUATE NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2008-09 SREB AVERAGE TUITION & FEES	2008-09 ANNUAL TUITION	2008-09 ANNUAL FEES	2008-09 TUITION & FEES
UAF	19,483	13,887	1,389	15,276
LEVEL 1 GROUP AVERAGE	19,483	13,887	1,389	15,276
ASUJ	15,500	12,810	1,480	14,290
UALR	15,500	13,073	1,231	14,303
UCA	15,500	10,200	1,405	11,605
LEVEL 3 GROUP AVERAGE	15,500	12,028	1,372	13,399
ATU	14,975	9,660	600	10,260
HSU	14,975	9,840	1,104	10,944
LEVEL 4 GROUP AVERAGE	14,975	9,750	852	10,602
SAUM	12,337	7,260	846	8,106
UAM	12,337	7,590	1,180	8,770
LEVEL 5 GROUP AVERAGE	12,337	7,425	1,013	8,438
UAPB	13,071	8,010	1,226	9,236
UAFS	13,071	8,490	1,110	9,600
LEVEL 6 GROUP AVERAGE	13,071	8,250	1,168	9,418

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

* ADHE staff has estimated the 2006-07 SREB tuition fee amounts using a four year average increase of the median SREB annual tuition fee rates.

TABLE 6
2008-2009 Full-time Annualized Fall Tuition and Mandatory Fees
FOUR-YEAR INSTITUTION GRADUATE RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2008-09 SREB AVERAGE TUITION & FEES	2008-09 ANNUAL TUITION	2008-09 ANNUAL FEES	2008-09 TUITION & FEES
UAF	7,914	7,072	1,069	8,141
LEVEL 1 GROUP AVERAGE	7,914	7,072	1,069	8,141
ASUJ	6,171	4,992	1,198	6,190
UALR	6,171	5,496	984	6,480
UCA	6,171	5,040	1,143	6,183
LEVEL 3 GROUP AVERAGE	6,171	5,176	1,108	6,284
ATU	5,335	4,416	536	4,952
HSU	5,335	4,896	1,003	5,899
LEVEL 4 GROUP AVERAGE	5,335	4,656	770	5,426
SAUM	5,103	4,752	674	5,426
UAM	5,103	3,960	936	4,896
LEVEL 5 GROUP AVERAGE	5,103	4,356	805	5,161
UAPB	5,353	3,528	1,016	4,544
LEVEL 6 GROUP AVERAGE	5,353	3,528	1,016	4,544

FOUR-YEAR INSTITUTION GRADUATE NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2008-09 SREB AVERAGE TUITION & FEES	2008-09 ANNUAL TUITION	2008-09 ANNUAL FEES	2008-09 TUITION & FEES
UAF	19,249	16,731	1,069	17,800
LEVEL 1 GROUP AVERAGE	19,249	16,731	1,069	17,800
ASUJ	14,277	12,720	1,198	13,918
UALR	14,277	12,312	984	13,296
UCA	14,277	10,080	1,143	11,223
LEVEL 3 GROUP AVERAGE	14,277	11,704	1,108	12,812
ATU	13,842	8,832	536	9,368
HSU	13,842	9,792	1,003	10,795
LEVEL 4 GROUP AVERAGE	13,842	9,312	770	10,082
SAUM	11,914	6,984	674	7,658
UAM	11,914	8,736	936	9,672
LEVEL 5 GROUP AVERAGE	11,914	7,860	805	8,665
UAPB	12,333	8,160	1,016	9,176
LEVEL 6 GROUP AVERAGE	12,333	8,160	1,016	9,176

* ADHE staff has estimated the 2006-07 SREB tuition fee amounts using a four year average increase of the median SREB annual tuition fee rates.

TABLE 6

2008-2009 Full-time Annualized Fall Tuition and Mandatory Fees

PROFESSIONAL UNDERGRADUATE

INSTITUTION	ADHE ESTIMATE OF 2008-09 SREB AVERAGE TUITION & FEES	2008-09 ANNUAL TUITION	2008-09 ANNUAL FEES	2008-09 TUITION & FEES
RESIDENT				
UAMS - HRP	N/A	5,400	218	5,618
UAMS- NURSING	N/A	4,752	218	4,970
NONRESIDENT				
UAMS - HRP	N/A	13,080	218	13,298
UAMS- NURSING	N/A	11,856	218	12,074

PROFESSIONAL GRADUATE

INSTITUTION	ADHE ESTIMATE OF 2008-09 SREB AVERAGE TUITION & FEES	2008-09 ANNUAL TUITION	2008-09 ANNUAL FEES	2008-09 TUITION & FEES
RESIDENT				
UAF-LAW	14,421	7,423	1,146	8,569
UALR-LAW	14,421	7,589	1,247	8,835
UAMS-MEDICINE	18,444	16,464	743	17,207
UAMS-PHARMACY	15,722	9,640	218	9,858
UAMS-GRADUATE	N/A	5,620	218	5,838
NONRESIDENT				
UAF-LAW	27,629	15,957	1,146	17,103
UALR-LAW	27,629	16,650	1,247	17,897
UAMS-MEDICINE	41,869	32,928	743	33,671
UAMS-PHARMACY	28,679	19,280	218	19,498
UAMS-GRADUATE	N/A	12,060	218	12,278

* ADHE staff has estimated the 2006-07 SREB tuition fee amounts using a four year average increase of the median SREB annual tuition fee rates.

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Arkansas State University – System Administration

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

I. Introduction:

The Arkansas State University System will create better educated citizens prepared for a global and technological society by providing quality undergraduate and graduate education, useful research, and dedicated public service.

Arkansas State University System (ASU System) serves a changing global society by supporting the Board of Trustees and providing administrative functions support to all the ASU campuses. This mission is accomplished at ASU's four campuses, seven degree-centers, one technical center, and by partnering with six higher education institutions. Collectively, these institutions share a common vision and a fundamental commitment by providing high-quality educational opportunities at locations our customers demand, thereby enhancing the lives of the citizens of the State of Arkansas, the nation, and the world.

All campuses within the Arkansas State University System collectively have an annual operating budget of \$188 million. While each campus functions autonomously in its day-to-day operations, System Administration coordinates various operations of the University by providing services that are more efficiently and effectively carried-out on a university-wide basis. In addition to the President's Office, administrative functions of system administration include fiscal management, legal counsel services, university advancement, governmental relations, benefits and risk management, system data management, information technology, internal audit and budget management.

II. System Mission Statement:

The mission of the Arkansas State University System is to contribute to the educational, cultural, and economic advancement of Arkansas by providing quality general undergraduate education and specialized programs leading to certificate, associate, baccalaureate, masters, professional, and doctoral degrees; by encouraging the pursuit of research, scholarly inquiry, and creative activity; and by bringing these intellectual resources together to develop the economy of the state and the education of its citizens throughout their lives.

Arkansas State University – System Administration

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Each component of the Arkansas State University System will be characterized by:

- A supportive learning environment; personal development, leadership, and service opportunities; and facilities, technologies and support necessary to meet the needs of students, faculty, and staff;
- Racial, ethnic, gender and cultural diversity in the faculty, staff, and student body, supported by practices and programs that embody the ideals of an open, democratic, and global society;
- Instructional technologies, student support services, and on-line and distance education to advance the purposes of teaching and learning;
- A commitment to sharing human, physical, information, and other resources among system components, and with state agencies, schools and higher education institutions, to expand and enhance programs and services available to the citizens of Arkansas.

II. System Goals:

Provide higher education services to all who desire it, create new knowledge, and serve the global community.

III. Programs and Program Definitions:

Program 1: Campus Support Services

Program Definition: To provide support in various ways for all campuses within the Arkansas State University System.

Goal 1: Expansion of the Fiscal Resource Management function

Arkansas State University – System Administration

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Objective: To expand the Fiscal Resource Management function to include all campuses within the ASU-System.

Strategies:

- Fully fund the office of the Vice President for System Operations;
- Provide partial funding for an ASU-System budget officer;
- Provide personnel and financial resources to support the continued growth of the ASU-System, and;
- Provide sound financial guidance to all campuses within the ASU-System to allow consistency and continuously strive to be fiscally responsible.

Goal 2: Expand and Extend Internal Audit

Objective: To expand and extend Internal Audit to all campuses in the ASU-System to provide independent, objective analyses of the University's operations.

Strategies:

- The Internal Audit Department currently has a staff of 1½ auditors and an operating budget of \$152,747. Additional staff auditor positions and the associated operational expenses are needed to obtain this strategy. This request is made to maintain ongoing, routine internal audit coverage as new campuses are added to the Arkansas State University System.
- The Internal Audit Department was established in 1980 to assist the management of Arkansas State University in achieving its overall objectives of staying on the cutting edge of institutional accountability and productivity in higher education, increasing quality in its management and

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

financial systems, and improving management and financial systems, and improving the state's investment in higher education and service to its constituencies. The goal of the Internal Audit Department is to provide independent, objective analyses of the University's financial management operations, and proactively assist the University in addressing potential problems before they become material.

Goal 3: Expand and Extend Benefits and Risk Management

Objective: To expand and extend benefits and risk management to all campuses in the ASU System to provide cost effective and consistent management of the University's benefits packages as well as risk management for the University.

Strategies:

- Fund and implement staff positions to provide consistent management of the benefits and risks for the university.
- Provide personnel and financial resources to support the continued growth of the ASU-System.

Goal 4: Expand and Extend Advancement Services

Objective: To expand and extend advancement services to all campuses in the ASU System to provide cost effective and consistent management of the University's advancement services.

Strategies:

- Fund and implement staff positions to provide consistent management of advancement services.
- Provide personnel and financial resources to support the continued growth of the ASU-System.

Arkansas State University – System Administration

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Goal 5: Provide operating support for an ASU Systems new building.

Objective: To provide a more permanent facility to house the System operations.

Strategies:

- Request General Improvement funds to build a new facility;
- Fully fund the operating cost of a new facility.

Arkansas State University - Jonesboro

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

I. Introduction:

Arkansas State University-Jonesboro's strategic plan is aligned with requests for legislative appropriations for the biennium ending June 30, 2011, and has been prepared in accordance with Act 221 of 2001. The requested revenue appropriations are those necessary to maintain existing programs at a quality level and to bring the university's funding to a level that will support actual enrollment. The programs offered by the university are designed to meet the needs of the state, the region, and the nation. They require an adequate level of funding to provide quality educational experiences that the taxpayers of Arkansas need and deserve. Educating young people for a global knowledge-based economy, as well as retraining and lifelong learning for the already employed citizens of Arkansas and providing the impetus and support for technology-based economic development, are the key to diversifying and improving our state's economy. Both the innovative and more traditional programs offered by Arkansas State University-Jonesboro have been developed in cooperation with the Arkansas State Board of Higher Education and are continuously under review both internally and externally. Internal reviews are conducted by departmental, college, and institutional committees of faculty, administrative staff, and students. External reviews are performed by the Arkansas Department of Higher Education, regional and national accrediting agencies, as well as professional associations. This continuous review process ensures consistent quality and vitality in the programs and activities of the university to better serve the state.

II. Institutional Mission Statement:

Arkansas State University educates leaders, enhances intellectual growth and enriches lives. (ASU= e³)

III. Institutional Goals:

Arkansas State University aspires to be an academic leader recognized for innovation and quality in teaching and learning, international standing in strategic research areas, and commitment to outreach and service to the Delta and beyond.

Arkansas State University - Jonesboro

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

IV. Programs and Program Definitions:

PROGRAM 1: STRENGTHEN INSTRUCTION

Program Definition: Enhance and improve the quality of instruction.

Goal 1: Maintain a High Quality Level in Existing University Facilities

Objective: To provide for on-going renewal of aging building infrastructure.

Strategies:

- Undertake renovation and system replacement projects to remedy the following typical deferred maintenance/capital renewal needs:
 - As buildings age, the exterior envelope deteriorates even with the best routine maintenance to the point that major roof replacements and upgrades to exterior walls or foundations are required.
 - Mechanical, electrical, and plumbing systems designed 30 plus years ago do not meet current standards for indoor air quality and major components reach a stage of obsolescence beyond their useful life requiring replacement to function reliably.
 - Elevators, fire alarms, and fire protection systems installed 30 plus years ago do not meet current code standards and become functionally obsolete with age, necessitating major component upgrades and/or redesign and replacement.
 - Classrooms and labs designed 30 plus years ago do not meet HVAC and electrical requirements for current technology. Lighting types and lumen levels, interior finishes, and furnishings do not meet the needs and expectations of today's teaching and learning environment and detract from the ultimate success of students and faculty in their academic and research pursuits.

The above strategies will have the effect of contributing positively to increased enrollment, retention, and graduation rates of both undergraduate and graduate students, as well as the recruitment and retention of high quality faculty.

Goal 2: Increase the personal safety of every student, faculty, and staff member on the campus.

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Objective: To incorporate the use of personal safety devices issued to any student, faculty, or staff upon request. The device utilizes a transmitter which is carried by a person and if emergency aid is needed a signal can be sent to campus police.

Strategies:

- Enables a person using the personal safety device to alert campus police in an emergency. When activated, a person's photo and location projects onto a computer screen in the police dispatch center and in police vehicles. In addition, the person's medical and/or personal information contained in the database will be displayed.
- Enable police to track and monitor movements of a victim in the event of an emergency.
- Replace the static and sparsely placed emergency phones now utilized on campus.
- Establish an emergency plan of communications for athletic events.

Goal 3: Increase access to academic programs and services through technology.

Objective: To expand the university's impact on learning and training by providing electronic access to programs and services to those citizens beyond physical reach of the campus and often beyond reach of any Arkansas campus.

Strategies:

- The university purchases and implements hardware and software applications to make available on-line access to services:
 - Admissions application process.
 - Advisement and Registration available on-line.
 - Web portals developed to communicate with students and deliver specific, targeted services.
 - Podcasts, blogs, and RSS (Really Simple Syndication is a lightweight XML format designed for sharing headlines and other Web content) to reach students beyond our physical boundaries.
 - Increase the number of courses available to citizens beyond the campus through courses delivered

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

online, by media appropriate to the individual student (CD, DVD, VHS), as well as media preferred by young people (mp3 and podcasts) and positioning ourselves to deliver rich content via smart phones within one to two years.

- The university will provide server space for students to store materials and create websites.
- The university continues to seek an appropriate e-portfolio solution for students.
- Expand access to online scholarly databases in major research areas. The university will expand its holding of online databases.
- Provide digitization of research materials for collaborative research projects with scholars on campus and at other institutions.
- Expand server space to accommodate peer-reviewed journals inherited or begun by faculty members.
- Provide web space at ASU to maintain sites of professional organizations.
- Expand the cache of projectors and laptops for faculty presentations in various fora, including presentations to local and regional civic and business organizations as well as public schools.
- Increase the number of on-line courses and academic programs available to students.

Goal 4: Enhance funding for Library Resources.

Objective: To acquire and/or license academic resources that expand the amount of relevant and necessary materials available for use by students, faculty, and researchers, thereby increasing opportunities for success.

Strategies:

- Enable the Library to acquire additional resources to support research and student learning as well as cover annual cost increases due to inflation.
- Develop and implement new methods of accessing academic resources that enhance the effective use of those resources.
- Expand Library instruction programs to increase the skill level of the university community in the areas of research strategies, online searching, and identifying relevant resources.

Arkansas State University - Jonesboro

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Goal 5: Globalize the University

Objective: Recruit more effectively well-qualified students from around the world to enhance the quality of the learning environment at the university. Encourage faculty and students to participate in academic experiences outside of the United States.

Strategy:

- Develop a comprehensive plan for marketing the university throughout the world and implement this plan aggressively. Create an International Center for English (ICE) to enable international students to gain necessary English competency to enter and succeed in academic programs (undergraduate and graduate) at the university. Devise and employ strategies (e.g., bridge classes) to help international students to transition into the academic community. Establish a support network to assist faculty and students engage successfully and with minimum risk in the wide array of academic experiences outside of the United States.

Goal 6: Create a Student Solutions Center

Objective: Provide a centralized service center in the Student Union to provide cross-functional assistance to prospective and current students. Potential service areas represented might include the Registrar's office, Financial Aid and Scholarships, Residence Life, Career Services (for work-study placements), Student Accounts, etc.

Strategy:

- The Student Solutions Center (SSC) staff will assist students who have questions and/or issues that need resolving in a one-stop setting. The SSC staff will be cross-trained in several areas and will serve students on-site at the center instead of sending students to various offices to obtain the services/information needed. The SSC will also provide evening service hours to students taking evening classes

Arkansas State University - Jonesboro

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Goal 7: Improve the Quality of Teachers for Arkansas

Objective: Enhance student learning and student access to education through continued commitment to professional development schools, development of faculty expertise in technology-rich delivery systems, university outreach to underperforming public schools in our region of service, and professional faculty development.

Strategies:

- To achieve the above objective the College of Education has partnered and will continue our partnership with seven public elementary schools. Partnership schools strengthen the education for students and create opportunities for the university and K-12 schools to have authentic and exemplary practices coupled with continuous inquiry, research and reflection. Our continued membership in the Holmes Group, a national consortium of colleges of education, strengthens our abilities to meet academic needs of our faculty and students. The COE desires to expand our partnerships to mid-level and secondary schools.
- The College of Education continues to lead in technology related delivery systems. The professional education programs use an electronic portfolio for students known as Live Text. The college continues to use compressed and internet video and increasingly web-assisted and web-based courses to develop courses to place bound students. The college is developing the university's first web/assisted based degree (MSE-Special Education). The college needs to expand and enlarge our ability to delivery more courses and programs on-line.
- The College of Education is delivering academic outreach support services to a variety of schools in northeast Arkansas and the Delta. There is a need to enlarge our outreach in math/science and reading/literacy in our service area and to provide continuing support, particularly in support of math/science and other STEM initiatives. Additional personnel will improve the results in these critical academic areas.
 - The two Math and Science consortia, run under the auspices of the Center for Education Excellence, have six full-time professional educators in the areas of math and science working with school districts and teachers to enhance and enrich teaching and learning in these underperforming schools.
 - Grants have provided a number of opportunities to provide access for students to higher education through programs for students and teachers such as the Advanced Placement Institute, which just

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completed its third year in existence, the Educational Renewal Zones program, which address the needs of schools labeled as distressed by the state of Arkansas, and the Childhood Services program, which delivers services to pre-kindergarten programs and workers state-wide.

Goal 8: Provide New and Expanding Health Professions Programming to Meet Workforce Needs in the Delta and the State of Arkansas.

Objective: Provide programs and the technological infrastructure to meet the documented and projected needs of a changing health professions workforce. The University is focusing on increasing the numbers and educational levels of health practitioners in the Delta/Arkansas region.

Strategy:

- Create partnerships that provide accessible degree programs at associate, baccalaureate and graduate levels and create post-professional opportunities for practicing clinicians.

Goal 9: Enhance Funding levels for Degree Centers to accommodate Enrollment Growth, Enrollment Geographical Shifts, Advancing Technological Needs, and Requests for Additional Educational Programs.

Objective: To obtain adequate funding per full-time equivalent (FTE) student proportional to the university's growth, to offer baccalaureate and graduate degree programs at ASU Degree Centers established on two-year campuses, to expand those programs to meet the needs of presently unserved students, and to acquire and maintain appropriate technology to properly deliver and enhance instruction at off-campus locations.

Strategies:

- Arkansas State University-Jonesboro continues to show enrollment growth. With more adequate funding, the university will renew and expand efforts to meet the educational needs of the citizens of the region and state.
- ASU-J's enrollment growth for the past eight years can be attributed in large part to the four-year and graduate programs offered in ASU degree centers established in cooperation with five two-year institutions in the state.

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Centers were established in order that ASU-J might provide higher education opportunities for a large portion of the state's population. ASU-J also has agreements with two four-year institutions to provide graduate degrees and two additional off-campus ASU-J facilities which have significantly impacted enrollment statistics.

ASU-J seeks to expand this successful operation and grow the degree centers and other off-campus sites.

- ASU-J has been a leader in the state and the region in the use of internet protocol video (IPV) technology for the past twelve years. This technology is used for the delivery of quality instruction, advisement of students, distance interviews for graduating students and potential ASU-J employees, and professional development of faculty at degree centers and distant sites. It is imperative that, as technology changes, ASU-J has the ability to upgrade our IPV sites to reflect those changes. ASU-J requires adequate targeted funding to maintain this technology and its services to the students, communities, and fellow institutions in Arkansas, the region, and the nation.

Goal 10: Enhancement of the College of Engineering

Objective: Expand and upgrade the College of Engineering with the infrastructure enhancement which will provide international competitiveness and regional relevance to engineering and technology at ASU-J.

Strategies:

- Arkansas State University- Jonesboro is seeking funds to provide the necessary infrastructure for the College of Engineering to contribute to regional economic development. Development of named degrees in Civil Engineering, Mechanical Engineering, and Electrical Engineering in addition to the current general Engineering degree will provide stronger academic training to serve regional needs and a basis for international competitiveness.
- Implementation of more experiential learning and service learning in the engineering curriculum is needed to improve competitiveness and relevance. Considerable enhancement of teaching labs and modern equipment and instrumentation is needed to support these curriculum innovations.

Goal 11: To enhance the unique and innovative Heritage Studies Ph.D. Program

Objective: To effectively integrate the research activities of the Heritage Studies Ph.D. Program into academic

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instruction and community service provided by and through Arkansas State University – Jonesboro

Strategies:

- Encourage and support faculty who direct dissertations and chair portfolio committees
- Provide practicum experiences involving doctoral students in practical and innovative heritage-related career development opportunities
- Provide support for Delta Heritage Initiatives in the identification, preservation, development, and promotion of heritage sites that enrich the historic and cultural diversity of Arkansas and the Lower Mississippi River Valley Region
- Stimulate and support innovative interdisciplinary research that studies the heritages represented at Arkansas State University – Jonesboro and the communities that the university serves

Goal 12: Create a Digital Media Learning Environment and Research Center

Objectives:

- To extend a contemporary digital media environment for students studying journalism, radio-television, new media and/or digital media and design.
- To create a center in which faculty and students can explore innovations to improve content and delivery of news to local communities.

Strategies:

- Optimize the conversion of video production facilities to digital/high definition technology.
- Pursue alternative delivery methods for public content, as well as private feeds to other educational institutions, media outlets using, e.g., cell phone video and specialized IP delivery, streaming audio and video and podcasting.
- Develop a cross-platform multimedia newsroom to encourage collaboration news products by students in print, broadcast and online journalism.
- Complete KASU's conversion to digital technology and expansion of service via Internet streaming.

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

- Establish a multimedia, cross-platform training program for professionals, teachers, and others wanting to expand and enhance their knowledge of digital media hardware and software.

Goal 13: Create a Diverse University Environment

Objective: To attract and retain a diversified student body.

Strategies:

- Work with community organizations to identify and assist ASU in attracting Arkansas' brightest students of color.
- Work with local industries to provide internships for participants in the Arkansas Commitment program and for participants in other minority recruiting programs seeking to keep the "brightest" in Arkansas.
- Supplement these programs with existing efforts to recruit first-generation college students and students from economically disadvantaged backgrounds
- Develop recruiting activities, techniques, and materials that specially and successfully target minority students
- Develop and implement a mentoring program for minority students who are most at risk of dropping out. For example, African American males have a six-year graduation rate of 15 percent. A mentoring program implemented as part of the FYRE program for all first-and second-year African American males would work to improve the graduation rate. The program will be residence hall based with African American male peer counselors living in the residence halls serving as "Big Brothers" to first- and second-year African American male students. The peer mentors will meet weekly with the students to guide them through and around pitfalls while leading them toward success. The mentors also will make sure the students are becoming involved in positive things on campus and check on their academic progress/performance throughout the semester to assist in retention efforts.
- Integrate diversity and global perspectives into the academic curriculum and into programs and services for the university community.
- Develop capstone courses focusing on diversity and multiculturalism.

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

- Incorporate a focus on diversity and multiculturalism in general education and first year experience courses as appropriate.
- Implement a system of responsibility, accountability, and recognition for advancing a multiculturally diverse campus community

Goal 14: Diversify Faculty and Staff

Objective: To attract and retain a diverse faculty and staff at Arkansas State University-Jonesboro.

Strategies:

- To fully implement Arkansas State University-Jonesboro's five-year diversity plan; and to continue the university's Affirmative Action Policy.
- As positions become available, every college, department, and administrative unit will extend personal contacts to qualified minority candidates to assure them of Arkansas State University's commitment to diversity.
- To identify potential minority faculty from among our graduate students and temporary faculty, and provide professional development opportunities for junior faculty.
- To direct resources toward recruitment efforts to attract and retain qualified minority faculty in highly competitive disciplines, and qualified minority staff in highly competitive functions and services.

Goal 15: Increase Student Success

Objective: To improve retention and graduation rates of ASU-J students.

Strategies:

- Enhance the Restart@State intervention program for students placed on academic suspension. The program has helped 60 percent of "high academic risk" students to get on track to academic success.

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

- Continue development of the “College Choices” intervention program for students placed on academic probation at the conclusion of their first enrollment period. This program’s objective is to steer students back on track before they go on academic suspension.
- Expand the Early Alert Program to identify and assist “at risk” students regardless of classification. Current intervention measures concentrate on intrusive advising for first-year students to improvements in study skills, time management, and other academic skills.
- Increase the focus on, and availability of, learning assistance activities and programs
 - Develop a **Center for Student Success** that provides multifaceted approaches to learning assistance
 - Supplemental Instruction (SI)
 - Peer Tutoring
 - Learning Diagnostics Lab
 - Video Study Sessions
 - Group and Problem-Based Learning
 - Transitional Instruction (developmental/remedial) and “Bridge Programs “ for at-risk students
- Develop a comprehensive academic advising system that promotes student success
 - Increase academic advisor mentoring and training opportunities through the development of a **Master Advisor Program** for faculty
 - Emphasize the importance of first year advising
 - Develop career programming to target undecided majors
 - Recognize and reward advising as teaching
 - Implement an intrusive advising and intervention program
 - Conduct regular assessments of advising practices
- Develop programs and services that address the needs of non-traditional and under-represented students
 - Increase availability of web-based courses and academic programs
 - Increase evening and weekend course availability with a rotation schedule that allows for completion of academic programs in a timely manner

Arkansas State University - Jonesboro

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Goal 16: Improve the quality of instruction and learning in developmental education.

Objective: To provide graduate level programming in developmental education disciplines to enhance instruction and learning in higher education institutions for under prepared students. (Note: Nationwide, there are few programs of study that focus on preparing higher education faculty for instruction of developmental course work. This program will prepare faculty for teaching in two- and four-year institutions within the state and region.

Strategies:

- Offer graduate certificate and specialist training in the teaching of developmental education disciplines.
- Develop learning laboratories for enhancing the learning outcomes of under prepared students which will be used in conjunction with graduate programming.
- Encourage and support graduate and faculty research in developmental education.

Goal 18: Expand the effectiveness of the Future Arkansas Leaders Scholarship Program

Objective: This program seeks to expand financial resources to Future Arkansas Leaders (FAL). The FAL program is designed to award more scholarships to deserving students in Arkansas. The program currently is only funded for 20 scholarships to be offered to students just graduating from high school. Expansion of this program would greatly enhance the educational opportunities of students in Arkansas.

Strategy:

- Offer 50 scholarships per year to students just graduating from high school.

Goal 19: Increase Numbers and Quality of Graduate Students

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Objective: As ASU-J continues to move toward doctoral status as a university, masters and doctoral programs will be competing for the brightest students across the nation and abroad. Active recruitment programs and competitive graduate assistantships are essential ways ASU-J can attract the most able students who will work with faculty on the research projects which are a part of the developing programs.

Strategies:

- Develop a Graduate Student Recruitment Scholarship. Many universities include a tuition waiver with their awards to graduate assistantships. While ASU-J has been waiving out-of-state tuition for graduate assistants for a number of years, the necessity of paying in-state tuition, combined with the fact that ASU-J stipend rates are not highly competitive, means that some very fine students who would otherwise choose to attend ASU-J and work with our fine faculty cannot afford to do so. Other universities are offering higher stipends.
- Support administrative activities of the new Director of the Molecular Biosciences program to facilitate regional and national recruitment through interactions with regional undergraduate institutions, presentations at national meetings, national advertisements, and effective and compelling web pages.
- Develop innovative programs that incorporate cross-disciplinary and entrepreneurial experiences and internships for students.

Goal 20: Create new Professional Science Masters (PSM) Programs Along with other Applied Masters Programs.

Objective: The Professional Science Masters (PSM) is a two-year post-graduate degree for science and mathematics majors who are interested in a wider variety of career options than provided by current graduate programs in the sciences and mathematics. Program graduates have advanced training tailored to area and regional needs and are ready to contribute to the economic growth of businesses and industries within the region.

Strategy:

- A PSM degree offers training in industry-specific skills – including business basics, project management, intellectual property, regulatory affairs, clinical trials management, and/or finance – along with advanced work in the student's discipline. These programs are developed in concert with industry and are designed to

Arkansas State University - Jonesboro

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

dovetail into present and future professional career opportunities. Programs consist of two years of training in an emerging or interdisciplinary area. Many include internships and "cross-training" in business and communications.

Goal 21: Expand Scholarships for 2-year Students who Transition to ASU-J

Objective: To expand the financial resources to fund transfer scholarships for two-year students to attend either ASU-J or one of the degree centers at Beebe or Mountain Home.

Strategy:

- Provide tuition and fees up to 15 hours for a total of four semesters for 160 new transfer students per year. Two-year cycle money is needed for two years and then money will recycle itself every year.

Goal 22: Increase the Recruitment and Retention of Classified Employees

Objective: To improve the recruitment and retention of ASU-J classified staff through the funding of the Career Ladder Incentive Program.

Strategies:

- Since the merit program for classified employees was discontinued, the pay plan improvement has been the only source of pay raises for classified employees.
- The lack of positions in many job categories has limited the university's ability to promote employees where the responsibilities have clearly exceeded the current job classifications.
- The Career Ladder Incentive Program will provide ASU-J the ability to give lump sum bonus payments up to 8% of annual salary to employees who have completed competency-based criteria; however, the program was not funded permanently.
- The Career Ladder Incentive Program will provide ASU-J with the ability to promote to a higher grade within a series once competency-based criteria have been satisfied.

Goal 23: Improve the recruitment and retention of ASU-J faculty and non-classified staff

Arkansas State University - Jonesboro

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Objective: To improve the recruitment and retention of ASU-J faculty and non-classified staff through more competitive salaries and professional development opportunities.

Strategies:

- Improve entry-level and existing chronically low salaries compared to regional market levels.
- Provide increased opportunities for relevant faculty and staff development.
- Recruit highly qualified faculty having multiple research applications to be used to further develop interdisciplinary areas of study.
- Institute mentoring programs and tenure/promotion workshops.

Goal 24: Increase Americans with Disabilities Act Compliance and Support

Objective: To make all academic and student support services accessible and supportive of students, faculty, and staff with disabilities.

Strategies:

- The enrollment of students with disabilities at ASU-J has increased significantly. Approximately 400 students with disabilities continue to make constant contact with the Office of Disability Services through one or more of our organized programs.
- Consequently, the demands placed upon the Office of Disability Services and this university to comply with the requirements and intent of Section 504 of the Rehabilitation Act of 1973 and the Americans with Disabilities Act are greatly exacerbated.
- It is imperative that programs are implemented and that students feel comfortable in requesting and utilizing required accommodations. In many instances, a lack of access could negatively impact retention rates.

Goal 25: Enhance Funding for First Year Student Housing

Objective: To develop and implement a First Year Residential program and maximize the opportunity for successful

Arkansas State University - Jonesboro

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

retention of first year students.

Strategies:

- Reconfigure residential space and common area space into classrooms and advising centers accessible inside residence halls.
- Employ 60 Resident Assistants and Peer Mentors trained in student development. These paraprofessionals will live on the floors with the First Year students providing guidance and serving as role models.
- Provide funds to support faculty outreach programs designed to bring faculty members into the students' residence halls for educational, occupational, and service programs.
- Design and construct three "faculty in residence" apartments inside the residence halls. Faculty members will reside in these apartments. These faculty members will interact with residents formally and informally cultivating mentoring relationships.

Goal 26: Expand Experiential Learning in the Curricula

Objective: To enhance the quality of significant learning and discipline-specific skills development through the implementation of experiential and service learning experiences throughout the curricula.

Strategy:

- Fund 200 undergraduate research aide positions to be distributed across all disciplines, which will be supervised by faculty who engage in discipline-specific research and service activities designed to improve skills development and relevant learning within the discipline.

Goal 27: Expand innovative professional development opportunities for teachers in K-12 focusing on Arkansas history.

Objective: Assist teachers in the region in meeting legislatively mandated standards for professional development and in-service training.

Strategies:

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

- Utilize ASU's Delta Heritage SITES (System Initiatives for Teaching and Economic Support) to offer educational opportunities that focus on aspects of Arkansas history that have national significance.
- Expand on offerings at the Hemingway-Pfeiffer Museum and Educational Center at Piggott. During the summer 2006, more than 300 teachers participated in staff development workshops approved for credit through the Arkansas Department of Education. These teachers have requested that additional sessions be offered in the future.
- Develop classes similar to those offered at the Piggott Heritage SITE at the Southern Tenant Farmers Museum in Tyronza and the Lakeport Plantation at Lake Village. Both Heritage SITES are scheduled to open during FY 07.
- Develop a broad range of professional development opportunities that are in line with curriculum frameworks and incorporate fresh ideas and new approaches that will enhance teaching and learning.

PROGRAM 2: RESEARCH

Program Definition: Make improvements and expand the current research initiatives.

Goal 1: Increase Research Supportive Infrastructure

Objective: Facilitate fund raising efforts, equipment acquisition and maintenance, scholarly development and compliance with federal guidelines.

Strategies:

- Institute workshops in grant writing
- Assist with identifying funding opportunities for scholarly activities and support equipment
- Institute and oversee institutional committees to ensure compliance with federal guidelines for biosafety, animal care and use, time and effort reporting, radiation safety, chemical safety and export controls.
- Support maintenance contracts on key instrumentation and infrastructure investments to ensure research competitiveness.
- Support travel to locations for exhibits, presentations or symposia participation

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Goal 2: Increase Expertise in Specific Research Clusters

Objective: Gain national and international prominence in multiple areas of expertise in faculty and staff scholarly activities.

Strategies:

- Enhance existing research clusters in Environmental Science and Toxicology; Laser Sensors and Applications; Learning-Centered Research, Evaluation and Implementation; and Heritage and Cultural Studies, through strategic faculty hires, enabling start up packages, seed grants, and graduate student support.
- Enhance emerging targeted research clusters in Plant Biotechnology, Food Science, and Technology
- Identity Management Solutions through multiple faculty hires, enabling start up packages, seed grants, and graduate student support.
- Enhance library access to scholarly publications—online and in print.

Goal 3: Achieve knowledge-based economic development in northeast Arkansas.

Objective: Enhance opportunities for faculty and staff in colleges and administrative departments to become entrepreneurial and to increase intellectual property-driven activities.

Strategies:

- File patent and copyright applications based on disclosures of inventions from faculty and staff.
- Pursue opportunities to license technology and copyrights to outside companies.
- Enable development of new companies from within the university community to capitalize on internal inventions and copyrights.
- Develop a technology incubator and a research park.

Goal 4: Enhance and Integrate the Arkansas Biosciences Institute Initiative funded from the Tobacco Settlement

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Proceeds.

Objective: To effectively utilize the research and academic activities of the Arkansas Biosciences Institute (ABI) within Arkansas State University-Jonesboro, the community, region, and state.

Strategies:

- Provide support for the teaching and service activities of the ABI faculty.
- Develop, in conjunction with other ABI partners, a joint program of electronic journal access.
- Increase outreach activities using the proven interest in the plant biotechnology research conducted within ABI to increase interest in STEM disciplines.
 - Conduct summer camps for middle level – high school students.
 - Expand the Biotech in a Box program to be able to train teachers and reach larger number of middle to high school students in northeastern Arkansas.
 - Provide a program of paid research internships for under-represented undergraduate students to create awareness of career opportunities.
- Provide mentoring programs to enhance research skills of non-ABI faculty through interactions with ABI researchers and staff.
- Provide support for the technology transfer and economic development activities of ABI faculty and staff.
- Create monthly seminar series bringing in nationally known speakers on a wide array of topics.
- Create monthly forums for community and press on appropriate issues of public concern. Develop a traveling ABI speakers' series to communicate ABI research activities to other institutions and constituencies throughout the state.
- Provide maintenance and utility support to the ABI facility. Recent utility increases have significantly impacted the ABI budget.

Goal 5: Establish an Institute for Environmental Sustainability

Objective: To establish Arkansas State University-Jonesboro as a Research Institute bridging Agriculture, Industry, and

Arkansas State University - Jonesboro

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

the Environment

Strategies:

- Research in the Institute will center on global issues of environmental sustainability in agricultural deltas.
- Integrating agriculture in the Arkansas Delta with research programs in the environmental sciences, faculty and students will work in cross-disciplinary research teams whose research centers on issues of environmental sustainability in the region, nationally, and internationally.
- Coordinate programs such as the federally funded Mississippi Embayment Ground Water Resources Study and the Delta Water Center and the on-going plant-based research of the Arkansas State University-Arkansas Biosciences Institute will lead to the development of a nationally and internationally renowned center of excellence.
- The Institute will foster a climate of collaboration and serve as a virtual research institute for research efforts in assessing the impact of agriculture (both historical and current) on the environment.
- Additional faculty hires would be targeted to the study of emerging issues such as phytoremediation that leverages the biotechnology work of the Arkansas Biosciences Institute with the environmental assessment expertise of Arkansas State University faculty. An additional focus will be an expansion of the Ecotoxicology Research Facility to include the issues associated with nanotechnology thus bridging environmental research with emerging industrial and medical sectors.
- The Institute will serve students and the community through internships, fellowships, and public forums/conferences designed to disseminate research and Institute developed technologies to the stakeholders of the region.

Goal 6: Establish a Forensics Laboratory

Objective: To leverage the research strengths of Arkansas State University faculty to establish a satellite forensics laboratory for the State of Arkansas

Strategies:

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- In collaboration with the Arkansas State Crime Laboratory, identify areas in which faculty expertise and facilities at Arkansas State University-Jonesboro could be leveraged to assist the state laboratory in processing evidence.
- Current and new analytical instrumentation at Arkansas State University-Jonesboro will be linked to state laboratory needs by providing a centralized location for a satellite testing facility to be housed at the Arkansas State University-Jonesboro campus.
- Research and teaching within the Forensic Science program will be leveraged into the Arkansas State University-Jonesboro Forensics Laboratory by providing training opportunities for students.
- The establishment of a Forensics Laboratory at Arkansas State University-Jonesboro will lead to the development of new employment opportunities for highly trained students.
- The Forensics Laboratory at Arkansas State University-Jonesboro will be an analytical facility available to law enforcement agencies throughout the state.

Goal 7: Enhance Agricultural Research and Education to Serve Eastern Arkansas

Objective: Expand support of the ASU-J Farm Complex to enable implementation of new research initiatives.

Strategy:

- The ASU-J Farm Complex requires extensive facilities upgrades in order to support new and expanded research initiatives, including expanded collaborations with the UA Division of Agriculture, USDA, EPA, and other state, regional, and national collaborators.

Goal 8: Expand Research in the Mississippi River Delta Region

Objective: Integrate research needs and opportunities in the Delta with the ASU Heritage Studies Ph.D. program.

Strategies:

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- The Arkansas Delta is the most impoverished region in the state and one of the poorest regions in the nation, yet it has a rich and complex natural and cultural heritage that must be documented.
- Little research has been done to understand this region's comparative relationship with other river deltas in the world, other regions in the South, or the rest of Arkansas. Such comparative studies will continue as a focus of the Heritage Studies Ph.D. program.
- Much of the heritage of the Delta resides in oral tradition and must be systematically gathered and transcribed for use in further research and for the benefit of future generations. Already, the development of this region in the early 20th century is being lost as this generation passes.

PROGRAM 3: COMMUNITY SERVICE

Program Definition: Continue to closely align our campus services and academic programs with our community's needs.

Goal 1: Provide Student Affairs Internships.

Objective: Provide support for student education and professional development by funding Student Affairs internships. Students in professional programs such as Counseling Ed.S. and College Student Personnel Services M.S. requires internship experience prior to graduation.

Strategies:

- Enable Student Affairs departments to attract and support promising future Student Affairs professionals through paid internship positions funded through part-time employment appropriations.
- Enable departments to expand professional services to the university community in a cost efficient manner.

Goal 2: Provide Academic and Professional Internships and Service Learning Opportunities.

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Objective: Develop resources for internships and service learning opportunities for students to work within the community and Delta region.

Strategies:

- Partner students through internships or service learning opportunities with civic or cultural organizations (i.e. Spanish majors working at the Hispanic Community Center)
- Enable departments to expand professional services to the university, local and regional community, and government in a cost efficient manner (i.e. Center for Survey Research, Political Science Department)
- Partner students with local industry for research or professional internships.

Goal 3: Provide Opportunities for the Public and Alumni to Stay On Campus.

Objective: Renovate the Kays Home into an attractive, state of the art bed and breakfast, similar to the Captain Henderson House (www.hsu.edu/Captain-Henderson-House) at HSU and Carnall Hall (www.innatcarnallhall.com) at the University of Arkansas, Fayetteville.

Strategies:

- The facility would provide an on campus place for alums and others to stay while visiting the area.
- Each suite could be supported by, and named for, a major benefactor.

Goal 4: Provide Public Facilities Operational and Maintenance Support

Objective: To obtain operational support that will enable ASU-J to provide cultural and community enrichment opportunities to Jonesboro and Northeast Arkansas residents.

Strategies:

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

- ASU-J supports community enrichment opportunities through public access to university facilities including, but not limited to the Fowler Center, the Dean B. Ellis Library, the ASU Museum, and the Center for Economic Development, the Equine Center, the football stadium, the Convocation Center, Regional Programs, and other campus facilities.
- Funding for public use of these facilities would allow ASU-J to continue to offer access to our state taxpayers at a minimal charge.

Goal 5: Develop an Inter-modal Mass Transit, Pedestrian Access, and Parking System

Objective: To make ASU-J accessible and navigable by vehicular, pedestrian, and bimodal transportation methods.

Strategies:

- Construction of a tri-level parking garage located on the Southwest portion of campus will provide additional parking spaces to support growth in this region of campus; e.g., the Biosciences Research facility, a new College of Business building and the new Recreational/Athletic fields. Funds are needed for operational costs and bond debt payments.
- Development of a shuttle service to facilitate parking in locations on the periphery of the campus core. Individuals parking in the out-lying areas would have access to a shuttle which would transport them from vehicles to the campus core at appointed schedules during the day. This will have a double benefit of freeing up not only premium parking places, but lessening the vehicular traffic on campus, thus increasing safety. Funds are needed for operational costs and debt payments.

Goal 6: Expand programs that contribute to community economic development

Objective: Establish Delta Heritage SITES (System Initiatives for Teaching and Economic Support) to serve as an economic catalyst and educational laboratory in the Arkansas Delta region.

Strategies:

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

- ASU has developed the Hemingway-Pfeiffer Museum and Educational Center at Piggott and is in the process of restoring and opening the Southern Tenant Farmers Museum in Tyronza and the Lakeport Plantation in Lake Village.
- Each of these SITES tells a story of national significance and has the potential to attract tourists and preservation professionals from around the country establishing heritage tourism as an economic engine for the communities.
- Based on current tourism expenditures in the region, each SITE is projected to generate \$1.2 million in direct tourism expenditures annually in each community.
- Evidence of the potential for these sites to stimulate economic development has been demonstrated in Piggott, where the community added 18 new tourism-related businesses within the first two years after opening the Hemingway-Pfeiffer Museum.
- These Heritage SITES, in conjunction with the ASU Museum, the Department of Arkansas Heritage, the Arkansas Parks and Tourism Department and other partners will provide preservation, heritage tourism and museum development assistance, and services to other communities within their respective regions.
- ASU Athletics will continue to facilitate community activities that enhance economic development.

Goal 7: Enhance Economic Development throughout the Delta.

Objective: Position the Delta Center for Economic Development as the entity to regionalize or bring together entities throughout the Delta to coordinate and facilitate continued Economic development for the area.

Strategies:

- Support the Delta Economic Development Center to adequately meet ADHE's requirement that economic development activities are a key component of the role, scope, and mission of academic institutions throughout the state.
- Facilitate the acquisition of and participation in the development of funding enhancement of the infrastructure of the Delta Center for Economic Development in order to expand/grow economic development activities

Arkansas State University - Jonesboro

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

throughout the state.

Goal 8: Enhance the Position and Image of the College of Business

Objective: Enhance the College of Business with new facilities that will support student growth and retention, recruitment and retention of qualified faculty, and the image of the College regionally, nationally, and internationally.

Strategies:

- Pursue additional EDA funds of \$1.5-\$1.8 million to combine with previously awarded EDA funds of \$1.8 million.
- Leverage the \$3.3-\$4.6 million of federal funds to acquire additional state, federal, and private funds to construct a modern, state-of-the-art facility to house the College of Business and the Delta Center for Economic Development.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	60,395,733		61,076,869		63,336,501		71,706,034		65,328,281		73,797,191		66,926,225	
2 CASH	141,013,060		237,174,683		247,174,683		250,000,000		250,000,000		250,000,000		250,000,000	
3 ARKANSAS BIOSCIENCES INSTITUTE	2,980,098		3,496,605		3,496,605		3,496,605		3,496,605		3,496,605		3,496,605	
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$204,388,891	1,683	\$301,748,157	1,684	\$314,007,789	2,165	\$325,202,639	1,976	\$318,824,886	1,912	\$327,293,796	1,976	\$320,422,830	1,912
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	592,366	0%	158,258	0%			0	0%		0%	0	0%		0%
13 GENERAL REVENUE	53,527,481	26%	55,152,499	18%			65,784,072	20%	59,406,319	19%	67,875,229	21%	61,004,263	19%
14 EDUCATIONAL EXCELLENCE TRUST FUND	6,174,962	3%	5,921,962	2%			5,921,962	2%	5,921,962	2%	5,921,962	2%	5,921,962	2%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	141,013,060	69%	247,174,683	79%			250,000,000	77%	250,000,000	78%	250,000,000	76%	250,000,000	78%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS	3,045,936	1%	3,496,605	1%			3,496,605	1%	3,496,605	1%	3,496,605	1%	3,496,605	1%
20 OTHER FUNDS	193,344	0%	2,408	0%				0%		0%		0%		0%
21 TOTAL INCOME	\$204,547,149	100%	\$311,906,415	100%			\$325,202,639	100%	\$318,824,886	100%	\$327,293,796	100%	\$320,422,830	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$158,258)		(\$10,158,258)				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$8,560,207
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$7,156,567
INVENTORIES	\$881,892
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	n/a
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$0
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$6,537,916
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$6,116,168)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

ARKANSAS STATE UNIVERSITY-JONESBORO
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	41,661,584	45,156,899	54,188,279	56,355,810
2 RESEARCH	2,103,637	272,505	599,756	623,746
3 PUBLIC SERVICE	2,398,217	1,148,305	1,194,237	1,242,007
4 ACADEMIC SUPPORT	14,717,214	14,518,222	15,970,044	16,608,846
5 STUDENT SERVICES	7,303,547	5,402,209	5,618,297	5,730,663
6 INSTITUTIONAL SUPPORT	11,814,344	17,902,808	17,099,008	17,421,200
7 PHYSICAL PLANT M&O	12,167,097	11,776,522	12,483,113	13,004,268
8 SCHOLARSHIPS & FELLOWSHIPS	15,322,717	17,029,302	18,295,670	19,027,497
9				
10				
11				
12				
13 MANDATORY TRANSFERS	2,549,550	4,424,382		
14 AUXILIARY TRANSFERS	1,078,234	1,085,400		
15 NON-MANDATORY TRANSFERS	8,390,961			
16 TOTAL UNREST. E&G EXP.	\$119,507,102	\$118,716,554	\$125,448,404	\$130,014,036
17 NET LOCAL INCOME	59,740,599	59,819,106	62,714,204	65,753,561
18 PRIOR YEAR BALANCE***		150,639		
STATE FUNDS:				
19 GENERAL REVENUE	53,527,481	52,824,847	56,812,238	58,338,513
20 EDUCATIONAL EXCELLENCE	6,174,962	5,921,962	5,921,962	5,921,962
21 WORKFORCE 2000		0		
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	64,060			
24 TOTAL SOURCES OF INCOME	\$119,507,102	\$118,716,554	\$125,448,404	\$130,014,036

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* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in .

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** Other State Funds:

M & R Transfers	\$	13,192
Tuition Adjustment		50,868
NSF Grants		0
	\$	<u>64,060</u>

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

ARKANSAS STATE UNIVERSITY-SYSTEM
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 NON-CLASSIFIED SALARIES	1,229,058	1,447,888	2,403,315	1,561,825	2,475,314	1,608,679
2 CLASSIFIED SALARIES	51,018	79,750	382,177	82,143	393,644	84,607
3 STAFF BENEFITS	282,861	435,739	792,434	469,844	814,561	483,939
4 EXTRA HELP	4,728	0	47,510	0	48,935	0
5 MAINTENANCE & OPERATIONS	633,548	510,012	1,128,381	610,012	1,158,112	620,512
6 CAPITAL OUTLAY	14,775	0	150,000	0	154,400	0
7 TRAVEL	137,772	54,500	58,635	74,500	60,394	76,339
8 DEBT SERVICE	0	0	0	0	0	0
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	586					
16 TOTAL UNREST. E&G EXP.	\$2,354,346	\$2,527,889	\$4,962,452	\$2,798,323	\$5,105,360	\$2,874,077
17 NET LOCAL INCOME	605,186	200,237	204,598	204,242	209,090	208,327
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	1,749,160	2,327,652	4,757,854	2,594,081	4,896,270	2,665,750
20 EDUCATIONAL EXCELLENCE		0				
21 WORKFORCE 2000		0				
22 TOBACCO SETTLEMENT FUNDS		0				
23 OTHER STATE FUNDS **		0				
24 TOTAL SOURCES OF INCOME	\$2,354,346	\$2,527,889	\$4,962,452	\$2,798,323	\$5,105,360	\$2,874,077

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

ARKANSAS STATE UNIVERSITY-JONESBORO
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	54,408,441	57,199,448	60,059,420	63,062,391
2 ALL OTHER FEES	2,335,195	1,238,050	1,250,431	1,262,935
3 OFF-CAMPUS CREDIT	82,691	0	0	0
4 NON-CREDIT INSTRUCTION	0	0	0	0
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	628,431	454,900	477,645	501,527
6 INVESTMENT INCOME	550,928	400,000	400,000	400,000
7 OTHER CASH INCOME:	1,734,913	526,708	526,708	526,708
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	59,740,599	59,819,106	62,714,204	65,753,561
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$59,740,599	\$59,819,106	\$62,714,204	\$65,753,561

FORM 09-3

Other Cash Income:

	2007-08 Actual	2008-09 Budgeted
Federal Grants	50,000	50,000
State Grants	50,000	50,000
Private & Local Grants	1,199,114	111,200
Rental and Lease Income	124,048	
Other Miscellaneous Income	311,752	315,508
	<u>1,734,913</u>	<u>526,708</u>

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CKA0000

INSTITUTION ARKANSAS STATE UNIVERSITY

APPROPRIATION 299

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	47,746,411	49,538,238	51,388,325	51,738,238	53,069,859		
2 EXTRA HELP WAGES	120,825	72,405	77,839	72,405	72,405		
3 OVERTIME	0	0	0	0	0		
4 PERSONAL SERVICES MATCHING	6,395,104	5,954,327	6,200,551	6,394,327	6,660,651		
5 OPERATING EXPENSES	5,372,293	3,965,972	4,112,345	6,366,435	6,366,435		
6 CONFERENCE FEES & TRAVEL	59,500	56,876	59,500	56,876	56,876		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0	0	0	0		
8 CAPITAL OUTLAY	201,600	986,643	995,533	200,000	200,000		
9 DATA PROCESSING SERVICES	0	0	0	0	0		
10 FUNDED DEPRECIATION	500,000	500,000	500,000	500,000	500,000		
11 M & R TRANSFER		2,408	2,408				
12							
13							
14 TOTAL APPROPRIATION	\$60,395,733	\$61,076,869	\$63,336,501	\$65,328,281	\$66,926,226	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	592,366	92,420					
16 GENERAL REVENUE	53,527,481	55,152,499		59,406,319	61,004,264		
17 EDUCATIONAL EXCELLENCE TRUST FUNI	6,174,962	5,921,962		5,921,962	5,921,962		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	193,344	2,408					
22 TOTAL INCOME	\$60,488,153	\$61,169,289		\$65,328,281	\$66,926,226	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$92,420)	(\$92,420)		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Prior Year Fund Balance = FY07 State Treasury Carryforward of \$592,366 and FY08 State Treasury Carryforward of \$92,420

Other State Treasury Funds Income:

	2007-08 Actual	2008-09 Budgeted
M & R Transfer	\$ 13,192	\$ 2,408
Tuition Adjustment	50,868	0
YOU Funding	129,284	0
Total	<u>\$ 193,344</u>	<u>\$ 2,408</u>

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CKA0000
0% Increase

INSTITUTION ARKANSAS STATE UNIVERSITY

APPROPRIATION 299

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	47,746,411	49,538,238	51,388,325	52,645,118	52,645,118		
2 EXTRA HELP WAGES	120,825	72,405	77,839	0	0		
3 OVERTIME	0	0	0	0	0		
4 PERSONAL SERVICES MATCHING	6,395,104	5,954,327	6,200,551	5,938,796	5,938,796		
5 OPERATING EXPENSES	5,372,293	3,965,972	4,112,345	1,990,547	1,990,547		
6 CONFERENCE FEES & TRAVEL	59,500	56,876	59,500	0	0		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0	0	0	0		
8 CAPITAL OUTLAY	201,600	986,643	995,533	0	0		
9 DATA PROCESSING SERVICES	0	0	0	0	0		
10 FUNDED DEPRECIATION	500,000	500,000	500,000	500,000	500,000		
11 M & R TRANSFER		2,408	2,408				
12							
13							
14 TOTAL APPROPRIATION	\$60,395,733	\$61,076,869	\$63,336,501	\$61,074,461	\$61,074,461	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	592,366	92,420					
16 GENERAL REVENUE	53,527,481	55,152,499		55,152,499	55,152,499		
17 EDUCATIONAL EXCELLENCE TRUST FUN	6,174,962	5,921,962		5,921,962	5,921,962		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	193,344	2,408					
22 TOTAL INCOME	\$60,488,153	\$61,169,289		\$61,074,461	\$61,074,461	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$92,420)	(\$92,420)		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Prior Year Fund Balance = FY07 State Treasury Carryforward of \$592,366 and FY08 State Treasury Carryforward of \$92,420

Other State Treasury Funds Income:

	2007-08 Actual	2008-09 Budgeted
M & R Transfer	\$ 13,192	\$ 2,408
Tuition Adjustment	50,868	0
YOU Funding	129,284	0
Total	\$ 193,344	\$ 2,408

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CKA0000
7% Reduction

INSTITUTION ARKANSAS STATE UNIVERSITY

APPROPRIATION 299

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	47,746,411	49,538,238	51,388,325	47,163,845	47,163,845		
2 EXTRA HELP WAGES	120,825	72,405	77,839	72,405	72,405		
3 OVERTIME	0	0	0	0	0		
4 PERSONAL SERVICES MATCHING	6,395,104	5,954,327	6,200,551	5,617,009	5,617,009		
5 OPERATING EXPENSES	5,372,293	3,965,972	4,112,345	4,360,527	4,360,527		
6 CONFERENCE FEES & TRAVEL	59,500	56,876	59,500	0	0		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0	0	0	0		
8 CAPITAL OUTLAY	201,600	986,643	995,533	0	0		
9 DATA PROCESSING SERVICES	0	0	0	0	0		
10 FUNDED DEPRECIATION	500,000	500,000	500,000	0	0		
11 M & R TRANSFER		2,408	2,408				
12							
13							
14 TOTAL APPROPRIATION	\$60,395,733	\$61,076,869	\$63,336,501	\$57,213,786	\$57,213,786	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	592,366	92,420					
16 GENERAL REVENUE	53,527,481	55,152,499		51,291,824	51,291,824		
17 EDUCATIONAL EXCELLENCE TRUST FUN	6,174,962	5,921,962		5,921,962	5,921,962		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	193,344	2,408					
22 TOTAL INCOME	\$60,488,153	\$61,169,289		\$57,213,786	\$57,213,786	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$92,420)	(\$92,420)		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Prior Year Fund Balance = FY07 State Treasury Carryforward of \$592,366 and FY08 State Treasury Carryforward of \$92,420

Other State Treasury Funds Income:

	2007-08 Actual	2008-09 Budgeted
M & R Transfer	\$ 13,192	\$ 2,408
Tuition Adjustment	50,868	0
YOU Funding	129,284	0
Total	<u>\$ 193,344</u>	<u>\$ 2,408</u>

**APPROPRIATION ACT FORM - STATE TREASURY - FORMULA WITHOUT REVISIONS
2009-11 BIENNIUM**

FUND CKA0000

INSTITUTION ARKANSAS STATE UNIVERSITY

APPROPRIATION 299

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	47,746,411	49,538,238	49,580,638	52,699,433	54,280,416		
2 EXTRA HELP WAGES	120,825	72,405	72,405	128,172	128,172		
3 OVERTIME	0	0	0	0	0		
4 PERSONAL SERVICES MATCHING	6,395,104	5,954,327	5,954,327	5,807,079	5,923,221		
5 OPERATING EXPENSES	5,372,293	3,965,972	3,965,972	5,935,325	5,837,729		
6 CONFERENCE FEES & TRAVEL	59,500	56,876	56,876	59,500	59,500		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0	0	0	0		
8 CAPITAL OUTLAY	201,600	986,643	986,643	201,600	201,600		
9 DATA PROCESSING SERVICES	0	0	0	0	0		
10 FUNDED DEPRECIATION	500,000	500,000	500,000	500,000	500,000		
11 M & R TRANSFER		2,408	2,408				
12							
13							
14 TOTAL APPROPRIATION	\$60,395,733	\$61,076,869	\$61,119,269	\$65,331,109	\$66,930,638	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	592,366	92,420					
16 GENERAL REVENUE	53,527,481	55,152,499		59,409,147	61,008,676		
17 EDUCATIONAL EXCELLENCE TRUST FUN	6,174,962	5,921,962		5,921,962	5,921,962		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	193,344	2,408					
22 TOTAL INCOME	\$60,488,153	\$61,169,289		\$65,331,109	\$66,930,638	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$92,420)	(\$92,420)		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Prior Year Fund Balance = FY07 State Treasury Carryforward of \$592,366 and FY08 State Treasury Carryforward of \$92,420

Other State Treasury Funds Income:

	2007-08 Actual	2008-09 Budgeted
M & R Transfer	\$ 13,192	\$ 2,408
Tuition Adjustment	50,868	0
YOU Funding	129,284	0
Total	<u>\$ 193,344</u>	<u>\$ 2,408</u>

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND TSF0100

INSTITUTION ARKANSAS STATE UNIVERSITY-JONESBORO
ARKANSAS BIOSCIENCES INSTITUTE

APPROPRIATION 318

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	1,767,968	951,228	951,228	951,228	951,228		
2 EXTRA HELP WAGES	0	51,000	51,000	51,000	51,000		
3 OVERTIME	0	0	0	0	0		
4 PERSONAL SERVICES MATCHING	334,123	402,307	402,307	402,307	402,307		
5 OPERATING EXPENSES	739,971	1,502,702	1,502,702	1,502,702	1,502,702		
6 CONFERENCE FEES & TRAVEL	90,012	0	0	0	0		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0	0	0	0		
8 CAPITAL OUTLAY	48,024	589,368	589,368	589,368	589,368		
9 DATA PROCESSING SERVICES	0	0	0	0	0		
10 FUNDED DEPRECIATION	0	0	0	0	0		
11 FUND TRANS/REFUND/INVESTMENTS	0	0	0	0	0		
12 CAPITAL IMPROVEMENTS	0	0	0	0	0		
13 RESALE	0	0	0	0	0		
14 TOTAL APPROPRIATION	\$2,980,098	\$3,496,605	\$3,496,605	\$3,496,605	\$3,496,605	\$0	\$0
15 PRIOR YEAR FUND BALANCE**		65,838					
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS	3,045,936	3,496,605		3,496,605	3,496,605		
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$3,045,936	\$3,562,443		\$3,496,605	\$3,496,605	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$65,838)	(\$65,838)		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

CARRY FORWARD OF ANY UNEXPENDED BALANCE OF APPROPRIATION AND/OR FUNDING FROM FISCAL YEAR 2008 TO FISCAL YEAR 2009

Agency: Arkansas State University - Jonesboro

Program ASU-AR Biosciences Institute

Act #: 1303 of 2007 Section(s) #: 3 & 4 & 5

Estimated Carry Forward \$ 50,444.00 Appropriation Funds

Funding Source: Tobacco Settlement

Accounting Information:

Business Area: 0125 Funds Center: 318 Fund: TSF Functional Area: UNIV

Line Item	Commitment Item	Estimated Carry Forward Amount	Actual Carry Forward Amount
Regular Salaries	5010000	6,187.00	566,754.23
Extra Help	5010001	0.00	51,000.00
Personal Services Matching	5010003	407.00	60,295.79
Operating Expenses	5020002	43,850.00	616,866.97
Capital Outlay	5120011	0.00	641,343.94
Total		\$ 50,444.00	\$ 1,936,260.93

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward appropriation and/or funding for a program or a specific line item within a program from the first fiscal year of the biennium to the second fiscal year of the biennium.

Justification for carry forward of unexpended balance of appropriation and/or funding:

Carry forward capability is requested in salaries, personal services matching, and operating expenses to facilitate the continuation of faculty research projects in agriculture, medicine, and environmental sciences that are on-going beyond the end of the current fiscal year.

Actual Funding Carry Forward \$ 0.00

Current status of carry forward appropriation/funding:

The amounts carried forward into fiscal year 2009, to the extent they are available through funding, will be used for salary and personal services matching in support of research salaries related ASU ABI initiatives. Additionally, funds will be used to support the operations of the ABI program and the related research grant

Dr. Leslie Wyatt
President

106

08-15-2008
Date

ASUJ

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2050000

INSTITUTION ARKANSAS STATE UNIVERSITY

APPROPRIATION A70

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	68,767,476	22,032,300	22,032,300	22,032,300	22,032,300		
2 EXTRA HELP WAGES	6,987,503	13,551,373	13,551,373	13,551,373	13,551,373		
3 OVERTIME	0	200,000	200,000	200,000	200,000		
4 PERSONAL SERVICES MATCHING	13,762,954	14,459,570	14,459,570	14,459,570	14,459,570		
5 OPERATING EXPENSES	30,723,379	45,792,069	45,792,069	48,617,386	48,617,386		
6 CONFERENCE FEES & TRAVEL	5,052,377	4,029,129	4,029,129	4,029,129	4,029,129		
7 PROFESSIONAL FEES AND SERVICES	5,740,297	10,947,230	10,947,230	10,947,230	10,947,230		
8 DATA PROCESSING	0	8,155,000	8,155,000	8,155,000	8,155,000		
9 CAPITAL OUTLAY	8,562,229	11,710,654	11,710,654	11,710,654	11,710,654		
10 CAPITAL IMPROVEMENTS	0	93,972,358	93,972,358	93,972,358	93,972,358		
11 DEBT SERVICE	1,416,845	8,000,000	8,000,000	8,000,000	8,000,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	0	4,000,000	4,000,000	4,000,000	4,000,000		
13 RESALE		125,000	125,000	125,000	125,000		
14 PROMOTIONAL MATERIALS		200,000	200,000	200,000	200,000		
15				0	0		
16 CONTINGENCY		0	0	50,000,000	50,000,000		
17 TOTAL APPROPRIATION	\$141,013,060	\$237,174,683	\$237,174,683	\$290,000,000	\$290,000,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS							
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS	141,013,060	237,174,683		290,000,000	290,000,000		
22 TOTAL INCOME	\$141,013,060	\$237,174,683		\$290,000,000	\$290,000,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	1,683	1,684	2,165	1,976	1,912		
TOBACCO POSITIONS	40	40	56	48	48		
EXTRA HELP **	1,538	1,538	2,114	2,114	2,114		

FORM 09-5

** All Extra Help position #'s include Tobacco Extra Help Positions. ASUJ is currently authorized for 2,064 extra help positions and ABI is authorized for 50.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY-JONESBORO
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	7,034,009	10,496,983		(3,462,974)	7,894,691	10,204,782	0	(2,310,091)
2 RESIDENCE HALL	6,283,502	3,561,530	2,625,653	96,319	6,882,926	4,350,631	2,737,810	(205,515)
3 MARRIED STUDENT HOUSING	1,494,014	346,248	947,871	199,895	1,504,450	338,728	946,757	218,965
4 FACULTY HOUSING	113,639	42,942		70,697	312,220	138,100	0	174,120
5 FOOD SERVICES	491,977	281,129		210,848	350,000	180,000	0	170,000
6 COLLEGE UNION	2,273,795	1,004,035	1,200,027	69,733	2,356,000	135,932	1,199,489	1,020,579
7 BOOKSTORE	173,046	61,124		111,922	222,143	67,224	0	154,919
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	127,602	127,602		0	173,000	216,232	0	(43,232)
9 STUDENT HEALTH SERVICES	0	0		0	0	0	0	0
10 OTHER	5,011,003	3,774,472	572,183	664,348	4,627,927	4,932,072		(304,145)
11 SUBTOTAL	\$23,002,587	\$19,696,065	\$5,345,734	(\$2,039,212)	\$24,323,357	\$20,563,701	\$4,884,056	(\$1,124,400)
12 ATHLETIC TRANSFER **				1,078,234	1,124,400			1,124,400
13 OTHER TRANSFERS ***				870,808				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$23,002,587	\$19,696,065	\$5,345,734	-\$90,170	\$25,447,757	\$20,563,701	\$4,884,056	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

\$90,170 reduction in fund balance was utilization of Arkansas Services Center (ASC) fund balance applied to ASC renovations and repairs

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY-JONESBORO
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>1,484</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>113</u>	Black Male:	<u>17</u>	Other Male:	<u>6</u>	Total Male: <u>136</u>
White Female:	<u>195</u>	Black Female:	<u>19</u>	Other Female:	<u>5</u>	Total Female: <u>219</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>204</u>	Black Male:	<u>26</u>	Other Male:	<u>4</u>	Total Male: <u>234</u>
White Female:	<u>334</u>	Black Female:	<u>54</u>	Other Female:	<u>7</u>	Total Female: <u>395</u>
Faculty:						
White Male:	<u>213</u>	Black Male:	<u>13</u>	Other Male:	<u>25</u>	Total Male: <u>251</u>
White Female:	<u>213</u>	Black Female:	<u>22</u>	Other Female:	<u>14</u>	Total Female: <u>249</u>
Total White Male:	<u>530</u>	Total Black Male:	<u>56</u>	Total Other Male:	<u>35</u>	Total Male: <u>621</u>
Total White Female:	<u>742</u>	Total Black Female:	<u>95</u>	Total Other Female:	<u>26</u>	Total Female: <u>863</u>
Total White:	<u>1272</u>	Total Black:	<u>151</u>	Total Other:	<u>61</u>	Total Employees: <u>1484</u>
				Total Minority:	<u>212</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas State University
June 30, 2007

<p><i>Finding:</i></p>	<p><u>Arkansas State University - Jonesboro</u></p> <p>Capital assets represent a significant component of the University's total assets. It is management's responsibility to ensure the accuracy of capital asset records. Errors in the capitalization and depreciation procedures were detected as follows:</p> <p>Certain buildings and equipment, acquired prior to July 1, 1991, were not being depreciated causing an overstatement of the University's net assets.</p> <p>Total detected errors resulted in an adjustment of \$3,469,862 to the financial statements.</p>
<p><i>Recommendation:</i></p>	<p>The University evaluate its procedures and controls relating to capital asset accounting.</p>
<p><i>Institution's Response:</i></p>	<p>The University concurs with the finding. This error, while significant, amounts to an adjustment to the University's total assets of less than one percent (1%). The error occurred as a result of delivered parameters in the University's purchased financial system that failed to depreciate items acquired prior to July 1, 1991.</p> <p>The error has been corrected and the University has developed procedures and controls, including computerized query routines, to ensure the accuracy of its capital asset records.</p>

Arkansas Tech University

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The basis of all institutional goals, objectives, and plans at Arkansas Tech University is founded in the Role and Scope of the University as determined by the State of Arkansas and the University Mission Statement approved by the Board of Trustees.

Arkansas Tech University operates with one preeminent goal and purpose: to produce, for the benefit of our students and the people of Arkansas, the highest quality instruction and service which is possible within limits of the resources over which we have been appointed as stewards.

All other activity on our campus, be it research, public service, outreach, student services, the administration of faculty and personnel policies, management of fiscal resources, the planning, construction and maintenance of the physical plant, private fund raising, or student extracurricular activities, is designed to augment, enhance and supplement this primary purpose of high quality instruction.

To ensure the orderly pursuit of this goal, Arkansas Tech University has engaged in a Strategic Planning process involving the entire campus community, which has been assisted and facilitated by the most outstanding higher education authority and consulting services in the United States. The University completed a major update of our existing Strategic Plan during the 2006-07 Academic Year.

Arkansas Tech University (Tech) is presently in the midst of its fourteenth year of implementation of this campus-wide strategic plan. Great strides have been made towards meeting priorities objectives set forth in the plan. We propose to:

- **Enhance the creation and delivery of first quality education services.**

Since 1995, Tech has established 41 new programs of study at various levels. Accreditation of Programs has been achieved or renewed in emergency administration and management (this is the first accredited program in the US), business, teacher education, nursing, medical assisting, health information management, electrical and mechanical engineering, computer science, parks and recreation, hospitality administration, music, and chemistry.

Arkansas Tech University

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- **Improve enrollment management by focusing on student success.**

Student enrollment has grown by 76 percent since 1997. Since 1995, each incoming freshman class has had average test scores and grades which were above the Arkansas, Southern Region, and National averages. Tech has won national awards for its retention programs, and a new first year orientation to the university course has been established for freshmen. Graduation and retention rates have consistently been above the average for Arkansas public colleges and universities.

- **Develop the physical plant to be appropriate to the university mission.**

Over \$146 million have been committed to new building, renovations, and the purchase of new instructional equipment during this period, while fiscal management of the University has remained sound. This has been accomplished in spite of many years of under funding that occurred before the implementation by the General Assembly in 2005 of the state funding formula for higher education.

- **Expand university partnerships with private individuals, corporations, and foundations.**

Over \$30 million in private funding has been received during the period since 1995, while the University endowment has grown ten fold.

- **Effectively market the university.**

With the approval of ADHE and within the guidelines provided by the General Assembly, an effective marketing plan has begun.

Our Detailed Strategies:

Goal One: Enhance the creation and delivery of first quality education services

Enhance graduate offerings to enable Tech to achieve SREB four-year 3 status.

Arkansas Tech University

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Develop and implement a Master of Engineering degree
- Develop and implement a Master of Science in Nursing degree
- Evaluate the number, role, and compensation of graduate assistants

Develop and implement a comprehensive master plan to increase retention and graduation rates.

- Identify and implement strategies to increase class attendance
- Optimize use of technology to track class attendance and monitor retention data
- Develop a summer program to facilitate transition from high school to university
- Focus on special needs of first generation, minority, and developmental students
- Increase success rates in remedial courses, especially mathematics
- Fund grants to support innovative retention projects

Increase emphasis and support for a campus culture of assessment.

- Achieve accreditation of all eligible academic programs
- Focus on assessment and improvement of all academic programs
- Ensure that all academic departments have an effective assessment plan
- Emphasize assessment and improvement of the general education program

Develop and implement a master plan for distance education.

- Provide accessible and frequent training opportunities for faculty and students
- Increase support for web, compressed video, and mixed technology courses
- Publish a manual containing best practices and expectations for distance courses

Arkansas Tech University

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Improve assessment of distance courses

Strengthen the development of faculty and staff human resources.

- Continue operation of the Center for Teaching and Learning to provide faculty and staff development opportunities

Goal Two: Improve enrollment management by focusing on student success

Create a Freshmen Unit.

- Focus on continuous improvement of services to students
- Create a seamless first year experience including orientation and advising
- Increase individual student and faculty contact time

Establish annual institutional enrollment goals with appropriate financial aid and other assistance to support these goals.

- Focus on improving the quality of our students
- Make student enrollment process easier for students (user-friendly)
- Review admission requirements

Revise Career Services with focus on practicums, internships, cooperative education programs, employer services and placement (internal and external).

Build an Advising/Academic facility to include tutoring, advising, testing, and space for orientation.

- Create and staff a full service tutoring/learning resource center

Goal Three: Develop the Physical Plant to be appropriate to the University mission

Plan for new construction to include sufficient parking with an eye toward solid growth and program efficiency.

- Advising/classroom building

Arkansas Tech University

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- McEver addition
- Physical Plant building
- Renovate Critz and Hughes buildings
- Construct additional housing facilities as needed

Develop a master plan for maintenance to include funding and physical plant personnel to adequately provide maintenance and custodial needs.

- Have the Physical Plant operation evaluated by outside consultant

Continue implementing a master plan for the use of campus facilities including critical maintenance issues.

- Ozark Campus Master Plan
- New Classroom
- Upgrade or construct athletic facilities
- Renovate existing educational and general buildings and housing facilities
- Address critical maintenance issue as funds are made available
- Continue upgrading campus landscaping and grounds and where possible have green areas.
- Build a Student Union

Establish a maintenance technology system that will provide information concerning the status of projects and other operational issues.

- Evaluate campus utility usage with the intent to maximize efficiency
- Electronic work orders and accessible data base
- Interactive website for each major construction project
- Convert all building plans to a digital format

Goal Four: Expand university partnerships with private individuals, corporations, and foundations

Arkansas Tech University

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Cultivate the University's relationships with alumni, students, friends, and partnering organizations through outreach, education, and mutual support.

Develop partnerships with all constituencies by providing opportunities for new, continued, and renewed involvement.

Communicate the impact of the Tech experience as it relates to the success, life-long learning, and productive citizenship of current and future Tech alumni.

Actively seek support and aid to increase student access and persistence in higher education through activities and funding that will enhance the student experience at Tech.

- Identify and promote the accomplishments and activities of faculty, staff, and students as a basis for attracting support and partnerships

Goal Five: Effectively market the university

Continuously elevate the Arkansas Tech Brand. Recognizing the importance of image in the college selection process as well as in fundraising efforts, Arkansas Tech University will strive for continuous improvement of our brand among high school students and their influencers, alumni, current students, and the community.

- Integrate all university marketing communications for brand consistency and budget effectiveness
- Develop necessary on-campus communications services in order to save money, streamline our efforts and allow for higher output
- Increase exposure through media relations and public relations
- Energize the visual image of the university by updating word marks and logos
- Develop a licensing program

Arkansas Tech University

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Explore and harness new media opportunities
- Allocate marketing budgets to remain competitive against other universities in the region
- Develop and execute annual external communications plans to include advertising, direct mail, public relations, and web-based marketing
- Improve and update web presence and web communications

Ensure Stakeholder Satisfaction. Addressing the need to connect with students, faculty, staff, alumni, parents, communities, and government officials, the university will streamline internal communication, expand on communication vehicles, and enhance delivery of Arkansas Tech's message to neighboring communities as well as throughout the state and region. Beyond delivery of messages, the university will boost services and support offerings to enrich existing relationships and foster new bonds with stakeholders.

- Enhance internal communications

Mission Statement:

Arkansas Tech University, a state-supported institution of higher education, is dedicated to nurturing scholastic development, integrity, and professionalism. The University offers a wide range of traditional and innovative programs which provide a solid educational foundation for life-long learning to a diverse community of learners.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	33,798,027		33,601,992		35,583,177		42,403,364		36,598,594		43,707,744		37,681,360	
2 CASH	44,991,257		110,536,639		110,536,639		136,424,517		136,424,517		138,634,478		138,634,478	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$78,789,284	1,068	\$144,138,631	1,095	\$146,119,816	1,208	\$178,827,881	1,192	\$173,023,111	1,186	\$182,342,222	1,192	\$176,315,838	1,186
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	31,033,152	39%	30,907,047	21%			39,708,419	22%	33,903,649	20%	41,012,799	22%	34,986,415	20%
14 EDUCATIONAL EXCELLENCE TRUST FUND	2,069,889	3%	1,985,082	1%			1,985,082	1%	1,985,082	1%	1,985,082	1%	1,985,082	1%
15 WORKFORCE 2000	718,041	1%	709,863	0%			709,863	0%	709,863	0%	709,863	0%	709,863	0%
16 CASH FUNDS	44,991,257	57%	110,536,639	77%			136,424,517	76%	136,424,517	79%	138,634,478	76%	138,634,478	79%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS**	5,793	0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$78,818,132	100%	\$144,138,631	100%			\$178,827,881	100%	\$173,023,111	100%	\$182,342,222	100%	\$176,315,838	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$28,848)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$7,662,271
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,756,006
INVENTORIES	\$34,286
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$0
MAJOR CRITICAL SYSTEMS FAILURES	\$0
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$5,738,262
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	\$0
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$133,717

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Tuition Adjustment Funds

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

ARKANSAS TECH UNIVERSITY RUSSELLVILLE CAMPUS
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	24,394,184	24,714,356	26,280,534	27,100,227
2 RESEARCH	914,139	649,507	690,667	712,209
3 PUBLIC SERVICE	5,862	5,614	5,970	6,156
4 ACADEMIC SUPPORT	5,238,041	5,900,711	6,274,646	6,470,353
5 STUDENT SERVICES	3,465,197	3,412,984	3,629,269	3,742,466
6 INSTITUTIONAL SUPPORT	9,268,300	8,794,675	9,352,004	9,643,694
7 PHYSICAL PLANT M&O	4,766,412	5,304,994	5,641,178	5,817,127
8 SCHOLARSHIPS & FELLOWSHIPS	10,282,137	10,399,768	11,058,814	11,403,739
9 OTHER	8,030,050	0	0	0
10	0	0	0	0
11	0	0	0	0
12	0	0	0	0
13 MANDATORY TRANSFERS	1,269,960	3,113,561	3,310,871	3,414,137
14 AUXILIARY TRANSFERS	1,715,187	1,332,236	1,416,661	1,460,847
15 NON-MANDATORY TRANSFERS	3,228,270	449,771	478,274	493,191
16 TOTAL UNREST. E&G EXP.	\$72,577,739	\$64,078,177	\$68,138,888	\$70,264,146
17 NET LOCAL INCOME	36,167,163	33,561,846	34,943,630	36,133,027
18 PRIOR YEAR BALANCE***	5,648,437	0	0	0
STATE FUNDS:				
19 GENERAL REVENUE	28,686,457	28,531,249	31,210,176	32,146,037
20 EDUCATIONAL EXCELLENCE	2,069,889	1,985,082	1,985,082	1,985,082
21 WORKFORCE 2000	0	0	0	0
22 TOBACCO SETTLEMENT FUNDS	0	0	0	0
23 OTHER STATE FUNDS **	5,793	0		
24 TOTAL SOURCES OF INCOME	\$72,577,739	\$64,078,177	\$68,138,888	\$70,264,146

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

**Tuition Adjustment Funds

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

ARKANSAS TECH UNIVERSITY OZARK CAMPUS

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	1,919,584	2,056,821	2,822,734	2,260,638	2,947,631	2,410,592
2 RESEARCH	0	0	0	0	0	0
3 PUBLIC SERVICE	0	0	0	0	0	0
4 ACADEMIC SUPPORT	34,767	71,336	97,900	78,405	102,232	83,606
5 STUDENT SERVICES	231,057	247,915	340,233	272,482	355,287	290,556
6 INSTITUTIONAL SUPPORT	1,117,412	1,070,413	1,469,010	1,176,483	1,534,009	1,254,523
7 PHYSICAL PLANT M&O	362,863	462,480	634,697	508,309	662,780	542,026
8 SCHOLARSHIPS & FELLOWSHIPS	35,297	22,200	30,467	24,400	31,815	26,018
9						
10						
11						
12						
13 MANDATORY TRANSFERS	0	137,000	188,015	150,576	196,334	160,564
14 AUXILIARY TRANSFERS	0	0	0	0	0	0
15 NON-MANDATORY TRANSFERS	(55)	0	0	0	0	0
16 TOTAL UNREST. E&G EXP.	\$3,700,925	\$4,068,165	\$5,583,056	\$4,471,293	\$5,830,088	\$4,767,885
17 NET LOCAL INCOME	956,820	982,504	1,067,957	1,067,957	1,217,642	1,217,642
18 PRIOR YEAR BALANCE***						0
STATE FUNDS:						
19 GENERAL REVENUE	2,346,695	2,375,798	3,805,236	2,693,473	3,902,583	2,840,380
20 EDUCATIONAL EXCELLENCE						0
21 WORKFORCE 2000	718,042	709,863	709,863	709,863	709,863	709,863
22 TOBACCO SETTLEMENT FUNDS	0	0	0		0	
23 OTHER STATE FUNDS **	0	0				
24 TOTAL SOURCES OF INCOME	\$4,021,557	\$4,068,165	\$5,583,056	\$4,471,293	\$5,830,088	\$4,767,885

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

ARKANSAS TECH UNIVERSITY RUSSELLVILLE CAMPUS
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	29,003,745	30,628,497	31,982,891	33,143,413
2 ALL OTHER FEES	105,210	86,175	86,175	86,175
3 OFF-CAMPUS CREDIT	999,275	550,000	577,500	606,375
4 NON-CREDIT INSTRUCTION	708,583	157,664	157,554	157,554
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	890,540	606,499	606,499	606,499
6 INVESTMENT INCOME	1,214,501	650,000	660,000	670,000
7 OTHER CASH INCOME*	3,245,309	883,011	873,011	863,011
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	36,167,163	33,561,846	34,943,630	36,133,027
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES	0	0	0	0
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$36,167,163	\$33,561,846	\$34,943,630	\$36,133,027

FORM 09-3

*Other Cash Income:
Other Sources
Endowment Income
Private Gifts, Grants, and Contracts
Other Additions

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

ARKANSAS TECH UNIVERSITY OZARK CAMPUS
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	758,168	924,373	1,008,001	1,157,186
2 ALL OTHER FEES	7,914	15,951	15,976	15,976
3 OFF-CAMPUS CREDIT	0	0	0	0
4 NON-CREDIT INSTRUCTION	13,687	8,000	8,000	8,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	36,109	23,700	24,500	24,500
6 INVESTMENT INCOME	16,347	6,000	7,000	7,500
7 OTHER CASH INCOME*	124,595	4,480	4,480	4,480
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	956,820	982,504	1,067,957	1,217,642
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES	0	0	0	0
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$956,820	\$982,504	\$1,067,957	\$1,217,642

FORM 09-3

*Other Cash Income:
Other Sources
Other Additions
Prior Year Correction

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND COA0000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION 567

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	24,737,195	24,146,396	26,127,581	24,870,787	25,616,911		
2 EXTRA HELP WAGES	2,375,000	2,175,000	2,175,000	2,425,000	2,462,500		
3 OVERTIME	0	0	0	0	0		
4 PERSONAL SERVICES MATCHING	3,879,412	4,450,000	4,450,000	5,186,199	5,335,088		
5 OPERATING EXPENSES	2,427,914	2,452,090	2,452,090	3,738,102	3,888,355		
6 CONFERENCE FEES & TRAVEL	29,625	29,625	29,625	29,625	29,625		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 DATA PROCESSING SERVICES	0	0	0	0	0		
10 FUNDED DEPRECIATION	348,881	348,881	348,881	348,881	348,881		
11							
12							
13							
14 TOTAL APPROPRIATION	\$33,798,027	\$33,601,992	\$35,583,177	\$36,598,594	\$37,681,360	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	0	0		0	0		
16 GENERAL REVENUE	31,033,152	30,907,047		33,903,649	34,986,415		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	2,069,889	1,985,082		1,985,082	1,985,082		
18 SPECIAL REVENUES * [WF2000]	718,041	709,863		709,863	709,863		
19 FEDERAL FUNDS IN STATE TREASURY	0	0		0	0		
20 TOBACCO SETTLEMENT FUNDS	0	0		0	0		
21 OTHER STATE TREASURY FUNDS***	5,793	0		0	0		
22 TOTAL INCOME	\$33,826,875	\$33,601,992		\$36,598,594	\$37,681,360	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$28,848)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Tuition Adjustment Fund

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND COA0000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION 567

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	24,737,195	24,146,396	26,127,581	24,146,396	24,146,396		
2 EXTRA HELP WAGES	2,375,000	2,175,000	2,175,000	2,175,000	2,175,000		
3 OVERTIME	0	0	0				
4 PERSONAL SERVICES MATCHING	3,879,412	4,450,000	4,450,000	4,450,000	4,450,000		
5 OPERATING EXPENSES	2,427,914	2,452,090	2,452,090	2,452,090	2,452,090		
6 CONFERENCE FEES & TRAVEL	29,625	29,625	29,625	29,625	29,625		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 DATA PROCESSING SERVICES	0	0	0	0	0		
10 FUNDED DEPRECIATION	348,881	348,881	348,881	348,881	348,881		
11							
12							
13							
14 TOTAL APPROPRIATION	\$33,798,027	\$33,601,992	\$35,583,177	\$33,601,992	\$33,601,992	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	0	0		0	0		
16 GENERAL REVENUE	31,033,152	30,907,047		30,907,047	30,907,047		
17 EDUCATIONAL EXCELLENCE TRUST FUND	2,069,889	1,985,082		1,985,082	1,985,082		
18 SPECIAL REVENUES * [WF2000]	718,041	709,863		709,863	709,863		
19 FEDERAL FUNDS IN STATE TREASURY	0	0		0	0		
20 TOBACCO SETTLEMENT FUNDS	0	0		0	0		
21 OTHER STATE TREASURY FUNDS***	5,793	0		0	0		
22 TOTAL INCOME	\$33,826,875	\$33,601,992		\$33,601,992	\$33,601,992	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$28,848)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Tuition Adjustment Fund

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND COA0000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION 567

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	24,737,195	24,146,396	26,127,581	23,852,986	23,852,986		
2 EXTRA HELP WAGES	2,375,000	2,175,000	2,175,000	2,175,000	2,175,000		
3 OVERTIME			0				
4 PERSONAL SERVICES MATCHING	3,879,412	4,450,000	4,450,000	4,090,645	4,090,645		
5 OPERATING EXPENSES	2,427,914	2,452,090	2,452,090	970,987	970,987		
6 CONFERENCE FEES & TRAVEL	29,625	29,625	29,625	0	0		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 DATA PROCESSING SERVICES	0	0	0	0	0		
10 FUNDED DEPRECIATION	348,881	348,881	348,881	348,881	348,881		
11							
12							
13							
14 TOTAL APPROPRIATION	\$33,798,027	\$33,601,992	\$35,583,177	\$31,438,499	\$31,438,499	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	0	0		0	0		
16 GENERAL REVENUE	31,033,152	30,907,047		28,743,554	28,743,554		
17 EDUCATIONAL EXCELLENCE TRUST FUND	2,069,889	1,985,082		1,985,082	1,985,082		
18 SPECIAL REVENUES * [WF2000]	718,041	709,863		709,863	709,863		
19 FEDERAL FUNDS IN STATE TREASURY	0	0		0	0		
20 TOBACCO SETTLEMENT FUNDS	0	0		0	0		
21 OTHER STATE TREASURY FUNDS***	5,793	0		0	0		
22 TOTAL INCOME	\$33,826,875	\$33,601,992		\$31,438,499	\$31,438,499	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$28,848)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Tuition Adjustment Fund

**APPROPRIATION ACT FORM - STATE TREASURY - FORMULA WITHOUT REVISIONS
2009-11 BIENNIUM**

FUND COA0000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION 567

AHECB RECOMMENDATION FOR UNIVERSITIES WITHOUT CHANGES TO FUNDING FORMULA

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	24,737,195	24,146,396	26,127,581	24,870,787	25,616,911		
2 EXTRA HELP WAGES	2,375,000	2,175,000	2,175,000	2,425,000	2,462,500		
3 OVERTIME			0				
4 PERSONAL SERVICES MATCHING	3,879,412	4,450,000	4,450,000	5,186,199	5,335,088		
5 OPERATING EXPENSES	2,427,914	2,452,090	2,452,090	3,741,252	3,893,191		
6 CONFERENCE FEES & TRAVEL	29,625	29,625	29,625	29,625	29,625		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 DATA PROCESSING SERVICES	0	0	0	0	0		
10 FUNDED DEPRECIATION	348,881	348,881	348,881	348,881	348,881		
11							
12							
13							
14 TOTAL APPROPRIATION	\$33,798,027	\$33,601,992	\$35,583,177	\$36,601,744	\$37,686,196	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	0	0		0	0		
16 GENERAL REVENUE	31,033,152	30,907,047		33,906,799	34,991,251		
17 EDUCATIONAL EXCELLENCE TRUST FUND	2,069,889	1,985,082		1,985,082	1,985,082		
18 SPECIAL REVENUES * [WF2000]	718,041	709,863		709,863	709,863		
19 FEDERAL FUNDS IN STATE TREASURY	0	0		0	0		
20 TOBACCO SETTLEMENT FUNDS	0	0		0	0		
21 OTHER STATE TREASURY FUNDS***	5,793	0		0	0		
22 TOTAL INCOME	\$33,826,875	\$33,601,992		\$36,601,744	\$37,686,196	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$28,848)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Tuition Adjustment Fund

FORM 09-4

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2100000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION B11

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /		LEGISLATIVE RECOMMENDATION	
	2007-08	2008-09	2008-09	AHECB RECOMMENDATION	AHECB RECOMMENDATION	2009-10	2010-11
1 REGULAR SALARIES	5,637,711	17,627,480	17,627,480	20,152,300	20,152,300		
2 EXTRA HELP WAGES	810,717	2,700,000	2,700,000	3,075,100	3,075,100		
3 OVERTIME	17,268	289,775	289,775	289,775	289,775		
4 PERSONAL SERVICES MATCHING	5,817,907	8,422,845	8,422,845	8,500,000	8,500,000		
5 OPERATING EXPENSES	18,197,839	20,229,436	20,229,436	25,259,879	27,046,670		
6 CONFERENCE FEES & TRAVEL	554,048	877,500	877,500	921,375	967,443		
7 PROFESSIONAL FEES AND SERVICES	624,519	2,549,603	2,549,603	2,549,603	2,549,603		
8 DATA PROCESSING	0	445,000	445,000	445,000	445,000		
9 CAPITAL OUTLAY	9,100,105	15,967,870	15,967,870	15,967,870	15,967,870		
10 CAPITAL IMPROVEMENTS	0	16,457,440	16,457,440	26,000,000	26,000,000		
11 DEBT SERVICE	4,205,532	7,669,690	7,669,690	8,579,690	8,579,690		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	0	17,225,000	17,225,000	17,225,000	17,225,000		
13 PROMOTIONAL MATERIALS	25,611	75,000	75,000	75,000	75,000		
14							
15							
16 CONTINGENCY				7,383,925	7,761,027		
17 TOTAL APPROPRIATION	\$44,991,257	\$110,536,639	\$110,536,639	\$136,424,517	\$138,634,478	\$0	\$0
18 PRIOR YEAR FUND BALANCE***	0	0		0	0		
19 LOCAL CASH FUNDS	44,991,257	110,536,639		136,424,517	138,634,478		
20 FEDERAL CASH FUNDS	0	0		0	0		
21 OTHER CASH FUNDS	0	0		0	0		
22 TOTAL INCOME	\$44,991,257	\$110,536,639		\$136,424,517	\$138,634,478	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
	2007-08	2008-09	2007-09	2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	1,068	1,095	1,208	1,192	1,186		
TOBACCO POSITIONS	0	0	0	0	0		
EXTRA HELP **	958	958	958	958	958		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*Includes 19 positions authorized from the growth pool.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS TECH UNIVERSITY RUSSELLVILLE CAMPUS
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	2,405,811	3,173,804	264,190	(1,032,183)	2,072,217	2,984,545	212,072	(1,124,400)
2 RESIDENCE HALL	5,679,411	3,060,267	1,645,541	973,603	5,471,974	3,595,583	1,876,391	0
3 MARRIED STUDENT HOUSING	0	0	0	0	0	0	0	0
4 FACULTY HOUSING	0	0	0	0	0	0	0	0
5 FOOD SERVICES	4,105,211	3,527,225	107,518	470,468	4,236,350	4,132,115	104,235	0
6 COLLEGE UNION	0	0	0	0	0	0	0	0
7 BOOKSTORE	2,871,641	2,684,537	0	187,104	2,646,956	2,646,956	0	0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	339,324	386,182	0	(46,858)	330,593	317,219	0	13,374
9 STUDENT HEALTH SERVICES	6,951	133,441	0	(126,490)	16,500	152,780	0	(136,280)
10 OTHER	403,209	394,051	0	9,158	0	0	0	0
11 SUBTOTAL	\$15,811,558	\$13,359,507	\$2,017,249	\$434,802	\$14,774,590	\$13,829,198	\$2,192,698	(\$1,247,306)
12 ATHLETIC TRANSFER **	1,078,234			1,078,234	1,124,400			1,124,400
13 OTHER TRANSFERS ***	(931,847)			(931,847)	122,906			122,906
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$15,957,945	\$13,359,507	\$2,017,249	\$581,189	\$16,021,896	\$13,829,198	\$2,192,698	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

Other

Fund Additions	1,296	0	0	1,296	0	0	0	0
Music Camp #1	309,785	49,541	0	260,244	0	0	0	0
Music Camp #2	85,018	338,343	0	(253,325)	0	0	0	0
Young Researchers Camp #1	7,110	2,354	0	4,756	0	0	0	0
Young Researchers Camp #2	0	3,813	0	(3,813)	0	0	0	0
Total	403,209	394,051	0	9,158	0	0	0	0

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS TECH UNIVERSITY OZARK CAMPUS
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0			0
2 RESIDENCE HALL	0	0	0	0	0			0
3 MARRIED STUDENT HOUSING	0	0	0	0	0			0
4 FACULTY HOUSING	0	0	0	0	0			0
5 FOOD SERVICES	0	0	0	0	0			0
6 COLLEGE UNION	0	0	0	0	0			0
7 BOOKSTORE	399,022	353,298	0	45,724	376,200	376,200		0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	26,280	26,335	0	(55)	25,500	25,500		0
9 STUDENT HEALTH SERVICES	0	0	0	0				0
10 OTHER	0	0	0	0				0
11 SUBTOTAL	\$425,302	\$379,633	\$0	\$45,669	\$401,700	\$401,700	\$0	\$0
12 ATHLETIC TRANSFER **	0			0	0			0
13 OTHER TRANSFERS ***	55			55	0			0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$425,357	\$379,633	\$0	\$45,724	\$401,700	\$401,700	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

IDENTIFICATION BY EMPLOYMENT CLASSIFICATION

ARKANSAS TECH UNIVERSITY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>663</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>62</u>	Black Male:	<u>3</u>	Other Male:	<u>1</u>	Total Male: <u>66</u>
White Female:	<u>90</u>	Black Female:	<u>3</u>	Other Female:	<u> </u>	Total Female: <u>93</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>77</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>77</u>
White Female:	<u>133</u>	Black Female:	<u>4</u>	Other Female:	<u>5</u>	Total Female: <u>142</u>
Faculty:						
White Male:	<u>134</u>	Black Male:	<u>1</u>	Other Male:	<u>14</u>	Total Male: <u>149</u>
White Female:	<u>130</u>	Black Female:	<u>2</u>	Other Female:	<u>4</u>	Total Female: <u>136</u>
Total White Male:						
Total White Female:						
Total Black Male:						
Total Black Female:						
Total Other Male:						
Total Other Female:						
Total White: <u>626</u>						Total Male: <u>292</u>
Total Black: <u>13</u>						Total Female: <u>371</u>
Total Other: <u>24</u>						
Total Minority: <u>37</u>						
						Total Employees: <u>663</u>

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas Tech University
June 30, 2007

<i>Finding:</i>	No findings noted
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HENDERSON STATE UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

I. Henderson State University is poised to experience significant change, growth, and development as the result of new administrative leadership and a significant number of new faculty and staff members. Henderson State University is uniquely positioned to be a catalyst in changing the future of our local communities and the entire state of Arkansas. While Henderson has been providing high quality academic programming and serving Arkansans since 1890, the future promises to hold even more opportunity for institutional impact on our students and our communities. During Fall 2008, Henderson will undertake a comprehensive strategic planning process that will outline the vision, goals, and desired outcomes for the university in the short- and long-term. While the specifics of the strategic plan are yet to be fully determined, the plan will revolve around general priorities of institutional collaboration, institutional focus on cultural and economic needs of Arkansas, and raising degree attainment and graduation rates for southwest Arkansas. This 2009-2011 biennial request for legislative appropriations is consistent with the resources that will be required to accomplish these university priorities.

Henderson State University is committed to providing our students with an undergraduate academic experience based on a comprehensive core of courses in the arts and sciences. Further, the university is committed to offering strong professional programs in teacher education and business administration at both the undergraduate and graduate levels. These programs are widely acclaimed and have earned the approval of significant national accrediting organizations, such as the National Council for the Accreditation of Teacher Education and the Association to Advance Collegiate Schools of Business. Henderson is also committed to providing unique academic offerings that support economic development activities of the state of Arkansas. One example of this commitment is the Henderson State University Airway Science program. This program, which provides training in multiple aviation-related fields, is the only such program in Arkansas and one of only 58 in the United States. With the aerospace industry being identified as a potential significant economic growth area for the state, this is but one example of a strong institutional commitment to focusing Henderson programming and resources on improving our state.

II. Institutional Mission Statement

HENDERSON STATE UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Henderson State University is Arkansas's public liberal arts university. True to the century-long tradition that has distinguished Henderson since its founding, the university remains dedicated to providing excellent undergraduate curricula and graduate programs. Henderson, a Southern regional institution with a diverse student body, advocates a program based on the liberal arts, regardless of specific educational interests. Through a common core of courses in arts and sciences, as well as through the more specialized curricula, the university fosters the maximum growth and development of each student. More specifically, Henderson endeavors to provide an education that will nurture in each student the capacity:

- To think logically and critically;
- To speak and write effectively;
- To appreciate the complexity and diversity of world cultures;
- To understand the physical universe;
- To participate as a concerned, intelligent citizen;
- To acquire mastery of a particular field of study;
- To mature intellectually, emotionally, and physically; and
- To discern appropriate uses of technology.

Henderson's primary mission is to excel in undergraduate education, always striving to enrich the quality of learning and teaching. In pursuit of this goal, the university seeks a culturally rich and diverse student community, actively recruiting, challenging, supporting, and motivating students to achieve academic success. Quality in education is fostered not only by positively motivated student body, but also by a faculty and staff distinguished for their continuing commitment to excellence. University care and concern, positive student response, small class sizes, and a personal friendly atmosphere--these characteristics are the heart of Henderson.

HENDERSON STATE UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

III. The university encourages excellence and supports scholarly and creative endeavors on the part of both its faculty and students. These endeavors form the foundation upon which Henderson builds appropriate programs in response to the needs of various communities that it serves. In close concert with these communities, Henderson applies its expertise to develop those programs that will promote the preservation and improvement of the quality of life in Arkansas.

While Henderson will engage in a comprehensive strategic planning process during FY 2009 that will determine the future course of the University, multiple components of the most recent institutional strategic plan will guide the next planning process. Some of these priorities and goals from the current plan include:

A. Academic Programs

1. Henderson State University will provide an education that will nurture in each student the capability to master a particular field of study and to gain productive employment. This will be accomplished through a commitment to low student-teacher ratios to maximize focus on the individual student, appropriate resources for the acquisition of library holdings and emerging technologies, quality instructional facilities, and ample faculty development opportunities.
2. Henderson will engage in more interdisciplinary study courses and programs as a way to help students grasp the innate interconnectedness of higher learning and provide students with more diverse and marketable skills to enter the Arkansas workforce.
3. Henderson will explore and adopt new and emerging academic programs that provide greater flexibility and opportunity for residents of the region we serve. Online and distant learning programs, which would help in the recruitment and retention of non-traditional students in particular, will be developed, but in such a way that high academic standards and a genuinely intellectual learning environment are assured.

B. Campus Community

1. Henderson will further develop the Freshman Year Experience program (FYE), to include a

HENDERSON STATE UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

collaboration of programs, services, and individuals dedicated to helping new students make the transition to university life.

2. Henderson will create a campus climate with focused and engaged constituents, where participation in academic and social activities is the norm for everyone on campus, and where active listening and open dialogue are prevalent. Faculty and staff must actively engage in campus activities and become fully committed to engagement in a vibrant campus community.

C. Enrollment

1. Enrollment efforts need to be expanded to serve and recruit not only more high school seniors, but more transfer, non-traditional, and distance learning students as well. Individual departments will assess and update their academic programs to better serve the community, and technology will be more effectively utilized to organize and communicate enrollment efforts.

2. Retention efforts will be strengthened to ensure greater student success. Efforts will include better and more personal advising processes, as well as more effective cooperation between departments and colleges, and efforts to create a more engaging campus environment while maintaining consistent focus on student success and development.

D. Partnerships

1. Existing partnerships will be prioritized by department, college, unit, and the university as a whole, with highest priority given to partnerships that advance Henderson's academic mission and programs. Budget allocations will reflect the priority list.

2. All departments will seek to enter into partnerships with community colleges and other universities, public schools, community agencies, governmental agencies, and business and industry partners to increase opportunities for students, strengthen the educational program of Henderson, and provide greater impact on the economy of our state.

3. Henderson will increase the number of service initiatives for students, faculty, and staff within the campus while also developing additional opportunities within the community, region and throughout the

HENDERSON STATE UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

state. These opportunities will include the development of undergraduate courses that focus on service learning opportunities, support of Work Force Development Programs through the Southwest Arkansas Teaching and Learning Center, expansion of services to local and area businesses and governmental agencies, and development of additional articulation agreements with area community colleges.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	20,595,056		20,604,657		21,199,404		22,094,389		21,657,641		22,757,507		22,120,551	
2 CASH	33,561,525		72,425,000		246,921,400		217,275,000		217,275,000		223,793,250		223,793,250	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$54,156,581	601	\$93,029,657	597	\$268,120,804	747	\$239,369,389	734	\$238,932,641	734	\$246,550,757	734	\$245,913,801	734
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	18,453,853	34%	18,551,184	20%			20,040,916	8%	19,604,168	8%	20,704,034	8%	20,067,078	8%
14 EDUCATIONAL EXCELLENCE TRUST FUND	2,141,203	4%	2,053,473	2%			2,053,473	1%	2,053,473	1%	2,053,473	1%	2,053,473	1%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	33,561,525	62%	72,425,000	78%			217,275,000	91%	217,275,000	91%	223,793,250	91%	223,793,250	91%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS**		0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$54,156,581	100%	\$93,029,657	100%			\$239,369,389	100%	\$238,932,641	100%	\$246,550,757	100%	\$245,913,801	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$558,070
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,401,939
INVENTORIES	\$148,273
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$40,348
INSURANCE DEDUCTIBLES	\$29,000
MAJOR CRITICAL SYSTEMS FAILURES	\$107,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$4,047,587
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$58,692
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$5,274,769)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

HENDERSON STATE UNIVERSITY
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	16,296,991	17,360,372	18,228,391	18,775,242
2 RESEARCH	230,722	284,453	298,676	307,636
3 PUBLIC SERVICE	99,167	97,679	102,563	105,640
4 ACADEMIC SUPPORT	1,169,593	1,352,019	1,419,620	1,462,209
5 STUDENT SERVICES	2,053,870	2,034,610	2,136,341	2,200,431
6 INSTITUTIONAL SUPPORT	6,238,709	6,072,357	6,375,975	6,567,254
7 PHYSICAL PLANT M&O	3,755,591	3,706,398	3,891,718	4,008,469
8 SCHOLARSHIPS & FELLOWSHIPS	6,396,616	5,565,597	5,843,877	6,019,193
9				
10				
11				
12				
13 MANDATORY TRANSFERS	1,340,891	1,308,827	1,374,268	1,415,496
14 AUXILIARY TRANSFERS	1,290,158	1,407,984	1,407,984	1,407,984
15 NON-MANDATORY TRANSFERS	1,207,127	49,835		
16 TOTAL UNREST. E&G EXP.	\$40,079,435	\$39,240,131	\$41,079,412	\$42,269,554
17 NET LOCAL INCOME	19,746,502	18,845,768	19,638,373	20,366,249
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	18,241,121	18,340,890	19,387,566	19,849,832
20 EDUCATIONAL EXCELLENCE	2,141,203	2,053,473	2,053,473	2,053,473
21 WORKFORCE 2000				
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **				
24 TOTAL SOURCES OF INCOME	\$40,128,826	\$39,240,131	\$41,079,412	\$42,269,554

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

HENDERSON STATE UNIVERSITY
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 SWATLC	212,732	210,294	219,813	216,602	226,407	217,246
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$212,732	\$210,294	\$219,813	\$216,602	\$226,407	\$217,246
17 NET LOCAL INCOME						
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	212,732	210,294	219,813	216,602	226,407	217,246
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$212,732	\$210,294	\$219,813	\$216,602	\$226,407	\$217,246

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

HENDERSON STATE UNIVERSITY

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	16,037,760	15,878,341	16,597,846	17,095,781
2 ALL OTHER FEES	2,781,045	2,403,340	2,475,440	2,549,703
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	9,070	8,000	9,000	9,000
6 INVESTMENT INCOME	26,219	25,000	25,000	25,000
7 OTHER CASH INCOME*	892,408	531,087	531,087	686,765
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	19,746,502	18,845,768	19,638,373	20,366,249
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$19,746,502	\$18,845,768	\$19,638,373	\$20,366,249

FORM 09-3

Description of Line 7 other income

* Gifts, vending, fines, penalties, testing center, college work study, indirect cost

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CQA0000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION 309

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	15,818,279	15,632,428	16,212,471	16,099,503	16,508,264		
2 EXTRA HELP WAGES	25,000	25,000	25,000	25,000	25,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,349,228	2,507,080	2,507,080	3,041,843	3,072,261		
5 OPERATING EXPENSES	1,813,566	1,851,166	1,865,870	1,888,189	1,907,071		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	470,745	470,745	470,745	484,867	489,716		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	118,238	118,238	118,238	118,238	118,238		
11							
12							
13							
14 TOTAL APPROPRIATION	\$20,595,056	\$20,604,657	\$21,199,404	\$21,657,641	\$22,120,551	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	18,453,853	18,551,184		19,604,168	20,067,078		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	2,141,203	2,053,473		2,053,473	2,053,473		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$20,595,056	\$20,604,657		\$21,657,641	\$22,120,551	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CQA0000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION 309

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	15,818,279	15,632,428	16,212,471	15,632,428	15,632,428		
2 EXTRA HELP WAGES	25,000	25,000	25,000	25,000	25,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,349,228	2,507,080	2,507,080	2,507,080	2,507,080		
5 OPERATING EXPENSES	1,813,566	1,851,166	1,865,870	1,851,166	1,851,166		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	470,745	470,745	470,745	470,745	470,745		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	118,238	118,238	118,238	118,238	118,238		
11							
12							
13							
14 TOTAL APPROPRIATION	\$20,595,056	\$20,604,657	\$21,199,404	\$20,604,657	\$20,604,657	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	18,453,853	18,551,184		18,551,184	18,551,184		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	2,141,203	2,053,473		2,053,473	2,053,473		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$20,595,056	\$20,604,657		\$20,604,657	\$20,604,657	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CQA0000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION 309

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	15,818,279	15,632,428	16,212,471	14,867,725	14,867,725		
2 EXTRA HELP WAGES	25,000	25,000	25,000	25,000	25,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,349,228	2,507,080	2,507,080	2,207,359	2,207,359		
5 OPERATING EXPENSES	1,813,566	1,851,166	1,865,870	1,671,555	1,671,555		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	470,745	470,745	470,745	416,197	416,197		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	118,238	118,238	118,238	118,238	118,238		
11							
12							
13							
14 TOTAL APPROPRIATION	\$20,595,056	\$20,604,657	\$21,199,404	\$19,306,074	\$19,306,074	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	18,453,853	18,551,184		17,252,601	17,252,601		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	2,141,203	2,053,473		2,053,473	2,053,473		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$20,595,056	\$20,604,657		\$19,306,074	\$19,306,074	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FORMULA WITHOUT REVISIONS
2009-11 BIENNIUM**

FUND CQA0000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION 309

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	15,818,279	15,632,428	16,212,471	16,409,769	16,766,616		
2 EXTRA HELP WAGES	25,000	25,000	25,000	25,000	25,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,349,228	2,507,080	2,507,080	2,632,434	2,685,083		
5 OPERATING EXPENSES	1,813,566	1,851,166	1,865,870	1,952,583	1,991,635		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	470,745	470,745	470,745	491,929	496,848		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	118,238	118,238	118,238	118,238	118,238		
11							
12							
13							
14 TOTAL APPROPRIATION	\$20,595,056	\$20,604,657	\$21,199,404	\$21,629,953	\$22,083,420	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	18,453,853	18,551,184		19,576,480	20,029,947		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	2,141,203	2,053,473		2,053,473	2,053,473		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$20,595,056	\$20,604,657		\$21,629,953	\$22,083,420	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2090000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION A74

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,702,923	10,000,000	67,504,800	30,000,000	30,900,000		
2 EXTRA HELP WAGES	742,130	1,000,000	4,000,000	3,000,000	3,090,000		
3 OVERTIME	10,337	25,000	750,000	75,000	77,250		
4 PERSONAL SERVICES MATCHING	1,221,621	6,000,000	18,166,600	18,000,000	18,540,000		
5 OPERATING EXPENSES	9,989,114	8,000,000	31,500,000	24,000,000	24,720,000		
6 CONFERENCE FEES & TRAVEL	1,007,428	1,000,000	3,500,000	3,000,000	3,090,000		
7 PROFESSIONAL FEES AND SERVICES	2,016,439	900,000	4,500,000	2,700,000	2,781,000		
8 DATA PROCESSING		500,000	2,500,000	1,500,000	1,545,000		
9 CAPITAL OUTLAY	434,264	11,000,000	9,000,000	33,000,000	33,990,000		
10 CAPITAL IMPROVEMENTS		20,000,000	35,000,000	60,000,000	61,800,000		
11 DEBT SERVICE	2,602,273	2,000,000	16,500,000	6,000,000	6,180,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	10,834,996	12,000,000	54,000,000	36,000,000	37,080,000		
13							
14							
15							
16							
17 TOTAL APPROPRIATION	\$33,561,525	\$72,425,000	\$246,921,400	\$217,275,000	\$223,793,250	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	33,561,525	72,425,000		217,275,000	223,793,250		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$33,561,525	\$72,425,000		\$217,275,000	\$223,793,250	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	601	597	748	734	734		
TOBACCO POSITIONS							
EXTRA HELP **	128	950	950	950	950		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

HENDERSON STATE UNIVERSITY
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	1,244,930	3,031,753	7,787	(1,794,610)	1,072,263	2,879,411		(1,807,148)
2 RESIDENCE HALL	3,154,489	1,443,150	1,211,439	499,900	3,273,327	1,592,612	1,257,215	423,500
3 MARRIED STUDENT HOUSING	0	0		0	0			0
4 FACULTY HOUSING	1,000	695		305	0			0
5 FOOD SERVICES	2,397,226	2,052,383		344,843	2,442,530	2,060,627		381,903
6 COLLEGE UNION	232,733	293,335		(60,602)	221,355	293,365		(72,010)
7 BOOKSTORE	83,983	0		83,983	75,000	0		75,000
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	114,245	162,084		(47,839)	0	52,231		(52,231)
9 STUDENT HEALTH SERVICES	302,115	339,440		(37,325)	348,413	348,413		0
10 OTHER	1,766,771	1,696,917	348,667	(278,813)	1,427,001	1,148,084	635,915	(356,998)
11 SUBTOTAL	\$9,297,492	\$9,019,757	\$1,567,893	(\$1,290,158)	\$8,859,889	\$8,374,743	\$1,893,130	(\$1,407,984)
12 ATHLETIC TRANSFER **	1,078,234			1,078,234	1,124,400			1,124,400
13 OTHER TRANSFERS ***	211,924			211,924	283,584			283,584
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$10,587,650	\$9,019,757	\$1,567,893	\$0	\$10,267,873	\$8,374,743	\$1,893,130	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

line 10 = Post Office, Bed & Breakfast, vending, Intramurals, Airport & Publications

Line 13- transfer to Airport, Bed & Breakfast & Publications

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

HENDERSON STATE UNIVERSITY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						481
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	48	Black Male:	4	Other Male:	1	Total Male: 53
White Female:	44	Black Female:	9	Other Female:	1	Total Female: 54
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	52	Black Male:	17	Other Male:	0	Total Male: 69
White Female:	98	Black Female:	35	Other Female:	3	Total Female: 136
Faculty:						
White Male:	92	Black Male:	2	Other Male:	8	Total Male: 102
White Female:	58	Black Female:	6	Other Female:	3	Total Female: 67
Total White Male:						192
Total White Female:						200
Total Black Male:						23
Total Black Female:						50
Total Other Male:						9
Total Other Female:						7
Total White:						392
Total Black:						73
Total Other:						16
Total Minority:						89
Total Employees:						481

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Henderson State University
June 30, 2007

<p><i>Finding:</i></p>	<p>Our follow-up of the prior years' audits of the Institution's data center, POISE Payroll, Student Billing and Financial Aid Systems revealed the following:</p> <p>I. General Controls</p> <p>General control deficiencies in the HSU Data Center: Access to the Data Center is unsecured, with the door kept unlocked during operating hours. There is no formal written policy for dealing with fire emergencies specific to the Data Center, and no fire inspection performed. The Data Center does not have automated fire detection or suppression systems, smoke detectors or alarm systems. These conditions could result in compromised university systems or data, significant damage to electronic equipment and loss of processing ability.</p>
<p><i>Recommendation:</i></p>	<p>That Henderson State University secure the entrance to, and develop a formal policy to cover fire and other emergencies in the Data Center. We further recommend that management weigh the costs of implementing fire detection/suppression and alert systems, against the risk of losing computer processing ability and continuity of operations in the event of a fire or other emergency.</p>
<p><i>Institution's Response:</i></p>	<p>Plans are being made to install an electronic ID access system on the door leading into the programming area of Computer Services. The student help desk area is a high traffic area and it is currently unrealistic and economically infeasible to manage the entrance of every student that comes to Computer Services for assistance. After moving into the new Technology Center efforts will be made to further separate the student help desk and programming areas. Budget constraints prohibit the installation of a fire suppression system in the current data center but recommendations will be made to include a fire suppression system in the data center during the construction of our new Technology Center. Currently we have a monitoring and alert system for heat and moisture located in the main server room in the data center and we are investigating the possibility of adding a fire detector to this system. A campus wide Disaster Plan committee is currently meeting to help develop a Disaster Plan for the university community. An anticipated outcome of this committee is a Disaster Recovery Plan, Business Continuity Plan, and Fire Emergency Policy.</p>

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<i>Finding:</i>	Backups of critical system and operational data are not retained at a secure off-site facility. This condition could impede restoration of processing capability if a system failure should occur.
<i>Recommendation:</i>	That Henderson State University develop an adequate retention policy to include weekly and monthly backups, and that all periodic backups be stored at a secure off-site location.
<i>Institution's Response:</i>	We currently have purchased an offsite data storage service located in Tulsa to store critical data on a nightly basis. Weekly and monthly backups should be possible at this same site.
<i>Finding:</i>	A formal, documented and approved disaster recovery plan has not been developed or tested. Business continuity plans have not been defined as a basis for disaster recovery planning. Without adequate planning, a disaster or major interruption could result in the extended loss of computer processing abilities, and undue financial and personnel burdens on university resources.
<i>Recommendation:</i>	That Business Continuity and Disaster Recovery Plans be developed, documented, approved by management and tested on a periodic basis. A copy of these plans should be stored at a secure off-site location.
<i>Institution's Response:</i>	A campus wide Disaster Plan committee is currently meeting to help develop a Disaster Plan for the university community. An anticipated outcome of this committee is a Disaster Recovery Plan, Business Continuity Plan, and Fire Emergency Policy. We currently purchase off site data storage service that also includes business continuity services. In the event of a disaster, the service will restore our data to a backup server and business transaction can be performed remotely or onsite.
<i>Finding:</i>	<p>II. Application Controls</p> <p>Proper password account lockout controls have not been established increasing the likelihood of an unauthorized user gaining system access.</p>

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<i>Recommendation:</i>	That Henderson State University strengthen data access security controls to prevent unauthorized access to applications.
<i>Institution's Response:</i>	Password lockout control times have been increased to help prevent an unauthorized user gaining system access.
<i>Finding:</i>	Data integrity controls in the form of field-level edit checks and system calculation checks are inadequate, which may reduce the reliability of information produced by the system.
<i>Recommendation:</i>	That Henderson State University work with the application vendor to conduct a review of field edit rules, and strengthen integrity checks at data entry points within the application.
<i>Institution's Response:</i>	Audit finds on data integrity have been delivered to the application vendor and are being addressed.
<i>Finding:</i>	Data transferred to and from the Department of Higher Education (ADHE) may be compromised due to improper configuration of the ftp connection maintained by ADHE, risking exposure of confidential student data and improper allocation of state financial aid funding.
<i>Recommendation:</i>	That Henderson State University notify ADHE that system interface controls are deficient, and work with ADHE to develop mutually agreeable access security configurations that enable secure transmission and storage of financial aid information.
<i>Institution's Response:</i>	The Financial Aid department has notified the department in ADHE of the security risk and the findings written in our audit.
<i>Finding:</i>	Both in-house and vendor programmers have the ability to change data in the application; and the in-house programming function has been combined with network administration. Further, application software program change controls were determined to be inadequate. Access to both programming logic and application data files, combined with the

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	administration role, violates industry standards and affords the opportunity to process and conceal unauthorized transactions. Proper change controls should be established for the protection of data integrity and the prevention of lost or corrupted data.
<i>Recommendation:</i>	That Henderson State University develop a formal system for the approval and tracking of programming changes. We further recommend that access to the POISE application source code be closely secured and that a system be implemented to prevent unauthorized and untested changes from entering the production system.
<i>Institution's Response:</i>	Budget constraints prohibit the hiring of necessary personnel to fully support the programming needs of HSU. The level of programming support service we receive from our application vendor is equivalent to having a senior programmer on staff. Limiting access to our application vendor through an approval process would greatly degrade the support we currently receive. A manual tracking system is currently in place and an automated tracking system will be requested from our application vendor.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

I. Introduction: Vision Statement

Southern Arkansas University, Magnolia, a center of learning since 1909, will continue to pursue excellence, building upon its rich heritage as a respected regional institution of higher education.

Believing that learning is the most important experience at SAU, a caring faculty and staff commit to educating students to be productive citizens with successful careers and fulfilling lives. The SAU community envisions a university in which students pursue academic goals and engage in challenging learning experiences led by well-qualified and well-compensated faculty. The SAU community will emphasize individual, academic, and career counseling and advisement, support small class sizes to promote frequent and positive interactions between students and faculty, and encourage intellectual dialogue and debate in a collegial environment.

The learning experience will prepare students to compete successfully in an ever-changing, diverse global environment, incorporating technology as a critical aspect of the learning experience. SAU includes in its vision such experiences as virtual classrooms, distance learning, co-curricular activities, and internship and research opportunities.

The SAU community envisions a student-oriented university built around responsible citizenship and ethical values. The faculty and staff will develop in each student a sense of social responsibility by embracing ethnic, racial, and cultural awareness as a core value and by actively building greater diversity among students, faculty, administration, and staff. Such an environment facilitates personal and social growth for all members of the University community.

The University understands that learning is a dynamic process that requires strategic planning, meaningful assessment, and thoughtful response. For this process to succeed, SAU will value the input of all its members and will be committed to continuous improvement.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

By realizing its vision of excellence, Southern Arkansas University will continue to serve the region as a center of higher education, cultural enrichment, and lifelong learning, reaching out to students of all ages and providing educational opportunities for an expanding spectrum of learners.

II. Institutional Mission Statement: Mission Statement

Southern Arkansas University's mission is to be a quality, comprehensive, regional community of excellence in higher education. As such SAU:

- Prepares students for productive and meaningful lives,
- Leads economic development, and
- Inspires community engagement.

III. Institutional Goal(s)

Goal 1: To position student learning as the most important experience on campus, so that the highest value is given to educational experiences that are creative, scholarly, and have active student learning.

Goal 2: To provide a superior education which develops students' abilities to think critically and creatively, solve problems, adhere to ethical principles, value diversity, and communicate effectively.

Goal 3: To recruit and retain diverse, well-qualified, and student-centered faculty and staff.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Goal 4: To recruit, retain, and graduate students who have the opportunity to succeed with the support of an educational community of committed and caring faculty and staff

Goal 5: To provide the opportunity for all students to have a full, meaningful, and well-rounded university life.

Goal 6: To instill in students a strong work ethic and a concern for society, preparing them to exercise their responsibilities and rights as citizens and members of the community workforce.

Goal 7: To preserve a caring and collegial environment.

Goal 8: To organize an efficient and effective campus where every member of the University community participates in the accomplishment of the University mission and goals.

Goal 9: To reinforce a positive image through the achievements of students, faculty, and staff; the appearance of the buildings and grounds; and contacts with the public.

Goal 10: To position the University as a model in the utilization of technology.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

IV. Programs and Program Definitions

A. Instruction (Learning)

The Instruction (learning) Program includes classroom and laboratory activities, instructional outreach, and all of the support services needed to make learning occur.

Goal 1: To position student learning as the most important experience on campus, so that the highest value is given to creative, scholarly teaching and active student learning.

Objective 1: Establish a professional development program to increase teaching effectiveness of all faculty.

Strategy A. Maintain a professional development program.

Strategy B. Conduct surveys both internally and externally to determine needs.

Objective 2: Strengthen the scholarly, creative, and research activities of the students, faculty, and staff.

Strategy A. Increase scholarly activity of faculty to include: additional research activities, increased number of publications and presentations by faculty and students.

Objective 3: Continue academic assistance to enable students to learn.

Strategy A. Provide supplemental instruction in general education and other required courses.

Strategy B. Operate a Tutoring Center.

Objective 4: Equip classrooms and laboratories to meet current and future learning needs.

Strategy A. Inventory needs in classrooms and laboratories periodically.

Objective 5: Ensure that the general education curriculum is current and reflects the mission and values of the institution and meets the Arkansas Department of Higher Education (ADHE) guidelines for academic programs.

Strategy A. General Education Committee will monitor and recommend changes in the general education requirements.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Objective 6: Evaluate scholarship and financial aid resources and ensure they are applied for the mutual benefit of the students and the University.
Strategy A. Scholarship Committee will monitor resources and policies of the University for scholarship and financial aid and recommend changes when appropriate.
- Objective 7: Ensure all facilities are adequately maintained.
Strategy A. Attempt to obtain funding for critical and deferred maintenance needs as listed in the Facilities Audit Report.
- Goal 2:** To provide the opportunity for all students to have a full, meaningful, and well-rounded university life.
- Objective 1: Enhance the opportunity for both on-campus, and off-campus students to participate in co-curricular activities.
Strategy A. Student Affairs Committee will review opportunities and make recommendations for improvements as appropriate.
- Objective 2: Provide excellent residential living experiences.
Strategy A. Conduct periodic surveys and implement appropriate suggestions.
Strategy B. Review other successful residence hall programs.
- Objective 3: Operate state-of-the-art student and community center.
- Objective 4: Train workers to learn and appreciate their roles as student staff and their importance to the University.
Strategy A. Observe a student worker appreciation day.
Strategy B. Provide training for student workers.
- Objective 5: Enhance opportunities for students to participate in the decisions that impact student life.
Strategy A. Continue student memberships on University standing and ad hoc committees.
- Objective 6: Provide a safe, secure, and civil learning environment.
Strategy A. Maintain a University Police Department actively engaged in community policing.
Strategy B. Conduct civility campaigns.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

B. Public Service and Scholarly Activity

Public Service includes positive cultural and educational exchange between the SAU community and external groups and includes the necessary support activities. Scholarly Activity includes research, creative activities, and other activities of discovery that improve the faculty member's ability to instruct or add knowledge.

Goal 1: Present activities on campus for the region and encourage SAU community participation in the region.

Objective 1: Provide culturally enriching activities for the citizens of South Arkansas.

Objective 2: Increase student, faculty, and staff participation in civic and service activities.

Objective 3: Meet the higher education needs of business and industry, schools, government agencies, and other organizations.

Strategy A. Offer programs to meet identified needs.

Objective 4: Form additional meaningful partnerships with P-12 schools, co-ops, and other higher education institutions and where possible, expand funding support through grants

Strategy A. Identify potential partnerships and attempt to find grant support.

Objective 5: Form meaningful partnerships with business and industry, public and private organizations, and government agencies to support economic development and other needs of South Arkansas.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

V. Departmental Goals and Objectives

A. Academic

Goal 1: Student learning is the most important experience on campus. Thus, the highest value is given to educational experiences that utilize a variety of learning styles that develop students' abilities to think critically and creatively, solve problems and communicate effectively.

Objective 1: Initiate a pilot study for course redesign in conjunction with the National Center for Academic Transformation.

Objective 2: Establish alternative course delivery options to meet needs of students (Such as the new program in Business to meet the needs of firefighters.).

Objective 3: Provide academic assistance for students and periodically review for adequacy and appropriateness.

Objective 4: Equip learning environments (classrooms, library, etc.).

Objective 5: Insure the University Learning Goals and General Education Goals are met:

University Learning Goals

- (1) Our graduates possess the knowledge and skills to be successful.
- (2) Our graduates understand their own and other societies and cultures.
- (3) Our graduates are prepared to be contributing members of their communities.
- (4) Our graduates communicate effectively.
- (5) Our graduates understand the process of making informed and ethical decisions.
- (6) Our graduates utilize appropriate quantitative skills in making decisions.
- (7) Our graduates use technology effectively.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

University General Education Goals:

- (1) Communication: Students will communicate effectively.
- (2) Critical Thinking: Students will think logically and creatively to solve problems and make informed decisions.
- (3) Technology: Students will use technology applications.
- (4) Culture: Students will understand world histories, cultures, and fine arts in relation to their own values.
- (5) Health and Wellness: Students will understand concepts that support personal and community health.

Goal 2: Provide the opportunity for all students to have a full, meaningful, and well-rounded university life that requires student engagement.

Objective 1: Provide culturally rich and diverse university life.

Objective 2: Provide service learning opportunities.

Objective 3: Provide living-learning experiences that incorporate faculty/student interaction in non-classroom settings.

Objective 4: Provide a safe campus.

Objective 5: Make the student worker experience a part of learning experience.

Objective 6: Expand opportunities for multi-cultural experiences.

Goal 3: Recruit and retain diverse, well qualified faculty.

Objective 1: Implement comprehensive faculty professional development programs.

Objective 2: Expand scholarly activity of faculty.

Objective 3: Provide salaries that are competitive.

Objective 4: Provide opportunities for faculty/staff colloquiums on significant issues.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Goal 4: Expand graduate programs and specific undergraduate programs based on a needs assessment.

Objective 1: Establish an MSN program (need already established).

Objective 2: Conduct a needs assessment to determine programs for implementation or for expansion

Objective 3: Increase the number of public service opportunities in all programs. This should include significant international components.

Goal 5: Instill in students a strong work ethic and a concern for society, preparing them to exercise their responsibilities and rights as citizens and members of the community workforce.

Goal 6: Enhance a caring and collegial environment.

Objective 1: Improve advising.

Objective 2: Implement an early alert program.

B. Recruitment

Goal 1: The University will develop and implement enrollment management strategies that support the desire to seek and retain undergraduates, graduates, and non-traditional transfer students of character, and promise to increase overall enrollment in the next 5 – 10 years.

Objective 1: Increase the freshman class on a yearly basis to exceed 600.

Objective 2: To increase transfer students by a minimum of 5 percent per year.

Objective 3: To increase graduate enrollment by 5 percent each year.

Objective 4: To continue to review and pilot scholarship programs.

Objective 5: To increase the use of alumni recruiters.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Objective 6: To increase communications to students from colleges, departments, faculty, and recruiters and to increase opportunities for students to discuss their education with a faculty member in their designated major and/or Dean while on a campus tour.
- Objective 7: To increase communication between admissions and the university.
- Objective 8: To increase off-campus enrollment agreements (i.e., concurrent off-campus cohorts for degree completion for undergraduates and graduates).
- Objective 9: To seek alternate entrance standards.
- Objective 10: To establish a SAU Enrollment Board both internally and externally and to continue the system of monitoring enrollment indicators and sharing data.
- Objective 11: To work to improve relations with surrounding schools and two-year colleges.
- Objective 12: Improve the Admissions Facilities for a greater first impression, so it is more accommodating and impressive to the students and families.
- Objective 13: Share concerns for those areas within the University that are hurting our image and recruitment based on things going badly on campus.
- Objective 14: To increase non-traditional student enrollment by 20 percent.

C. Retention

Goal 1: Increase the overall retention rate of the University.

- Objective 1: Achieve an annual return rate of 70 percent (2 percent increase each year) for first-time, full-time freshmen.
- Objective 2: Achieve an annual return rate of 75 percent for first-time African-American freshmen.
- Objective 3: Increase sophomore retention rate to 60 percent.
- Objective 4: Increase junior retention/graduation rate to 50 percent.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Objective 5: Increase senior retention/graduation rate to 45 percent.
- Objective 6: Increase the retention rate of transfer students.
- Objective 7: Increase retention rate of graduate students.
- Objective 8: Increase retention rate of Greek students.
- Objective 9: Increase retention rate of international students.
- Objective 10: Increase the enrollment of students in residence halls.

D. Finance, Alumni, Development, and Strategic Partnerships

Goal 1: Utilize university resources in a more efficient manner.

Objective 1: Contract with an outside consultant to review current organization structure, practices, and procedures.

Goal 2: Increase tuition revenue and student semester credit hours.

- Objective 1: Develop more inclusive recruitment plan.
- Objective 2: Develop more inclusive retention plan.
- Objective 3: Develop more inclusive marketing plan.

Goal 3: Develop unique and innovative studies programs in order to better serve our region, (i.e. niche programs) and increase revenue.

- Objective 1: Coordinate with academic departments for feasibility research.
- Objective 2: Conduct surveys to determine regional needs.
- Objective 3: Create partnerships with regional businesses/corporations.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Objective 4: Research demands through market analysis.
- Objective 5: Identify core programs that will appeal to students.
- Objective 6: Target specific student populations.
- Objective 7: Increase advertisement or promotion of non-traditional programs.
- Objective 8: Increase student activities functions.

Goal 4: Increase grant awards, development gifts, and strategic partnerships.

- Objective 1: Consolidate/coordinate efforts seeking external funding.
- Objective 2: Establish better relationships with current and future businesses in the area.
- Objective 3: Better utilization of fundraising opportunities.
- Objective 4: Enhance/create partnerships and alliances within the region.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	16,537,575		16,494,575		17,060,384		19,095,693		17,600,311		19,728,183		18,016,669	
2 CASH	19,298,068		46,000,000		46,000,000		58,000,000		58,000,000		58,000,000		58,000,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$35,835,643	453	\$62,494,575	467	\$63,060,384	515	\$77,095,693	504	\$75,600,311	502	\$77,728,183	504	\$76,016,669	502
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%	8,781	0%				0%		0%		0%		0%
13 GENERAL REVENUE	15,276,158	43%	15,271,736	24%			17,881,635	23%	16,386,253	22%	18,514,125	24%	16,802,611	22%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,265,926	4%	1,214,058	2%			1,214,058	2%	1,214,058	2%	1,214,058	2%	1,214,058	2%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	19,298,068	54%	46,000,000	74%			58,000,000	75%	58,000,000	77%	58,000,000	75%	58,000,000	76%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS	4,272	0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$35,844,424	100%	\$62,494,575	100%			\$77,095,693	100%	\$75,600,311	100%	\$77,728,183	100%	\$76,016,669	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$8,781		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$4,255,268
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,552,680
INVENTORIES	\$185,552
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$1,316,573
INSURANCE DEDUCTIBLES	\$130,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,375,094
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (HEALTH INSURANCE)	\$498,060
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$3,302,691)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*Line 20 Other Funds includes tuition adjustment \$4,537

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

SOUTHERN ARKANSAS UNIVERSITY
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	13,088,243	13,393,625	13,929,370	14,347,251
2 RESEARCH	242,887	222,670	232,670	242,670
3 PUBLIC SERVICE	216,952	182,444	226,952	236,952
4 ACADEMIC SUPPORT	2,696,144	2,979,001	3,098,161	3,160,124
5 STUDENT SERVICES	2,209,048	2,260,129	2,316,632	2,374,548
6 INSTITUTIONAL SUPPORT	3,412,969	3,729,788	3,823,033	3,918,609
7 PHYSICAL PLANT M&O	3,286,083	3,894,835	3,980,964	4,036,909
8 SCHOLARSHIPS & FELLOWSHIPS	4,747,353	5,814,092	5,988,515	6,108,285
9				
10				
11				
12				
13 MANDATORY TRANSFERS	1,106,422	1,323,465	1,600,000	1,700,000
14 AUXILIARY TRANSFERS	1,078,234	1,050,000	1,075,000	1,075,000
15 NON-MANDATORY TRANSFERS	812,961			
16 TOTAL UNREST. E&G EXP.	\$32,897,296	\$34,850,049	\$36,271,297	\$37,200,348
17 NET LOCAL INCOME	16,665,774	18,173,225	18,670,986	19,183,679
18 PRIOR YEAR BALANCE***		182,337		
STATE FUNDS:				
19 GENERAL REVENUE	15,276,158	15,271,736	16,386,253	16,802,611
20 EDUCATIONAL EXCELLENCE	1,265,926	1,214,058	1,214,058	1,214,058
21 WORKFORCE 2000				
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	4,537			
24 TOTAL SOURCES OF INCOME	\$33,212,395	\$34,841,356	\$36,271,297	\$37,200,348

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* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

OTHER STATE FUNDS:
TUITION ADJUSTMENT FUND

4,537

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

SOUTHERN ARKANSAS UNIVERSITY

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	14,442,231	16,006,700	16,359,111	16,856,804
2 ALL OTHER FEES	285,651	276,000	300,000	300,000
3 OFF-CAMPUS CREDIT	294,588	309,317	325,000	340,000
4 NON-CREDIT INSTRUCTION	84,345	60,000	80,000	80,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	298,661	221,500	298,661	298,661
6 INVESTMENT INCOME	138,506	130,000	138,506	138,506
7 OTHER CASH INCOME:	1,121,792	1,169,708	1,169,708	1,169,708
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	16,665,774	18,173,225	18,670,986	19,183,679
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$16,665,774	\$18,173,225	\$18,670,986	\$19,183,679

FORM 09-3

OTHER CASH INCOME:

GRANTS/GIFTS/ENDOWMENTS	798,500	894,008	894,008	894,008
TRAFFIC FINES/PERMITS	125,428	105,000	105,000	105,000
SALES/SERVICES	91,402	95,000	95,000	95,000
VARIOUS	106,462	75,700	75,700	75,700
	<u>1,121,792</u>	<u>1,169,708</u>	<u>1,169,708</u>	<u>1,169,708</u>

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CSA0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION 292

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	13,929,715	14,067,336	14,630,145	14,498,310	14,788,277		
2 EXTRA HELP WAGES	25,000	24,000	25,000	25,000	25,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,063,208	1,883,587	1,884,587	2,464,712	2,514,007		
5 OPERATING EXPENSES	266,000	266,000	267,000	312,289	389,385		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	253,652	253,652	253,652	300,000	300,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$16,537,575	\$16,494,575	\$17,060,384	\$17,600,311	\$18,016,669	\$0	\$0
15 PRIOR YEAR FUND BALANCE**		8,781					
16 GENERAL REVENUE	15,276,158	15,271,736		16,386,253	16,802,611		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,265,926	1,214,058		1,214,058	1,214,058		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	4,272						
22 TOTAL INCOME	\$16,546,356	\$16,494,575		\$17,600,311	\$18,016,669	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	-\$8,781	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

OTHER STATE TREASURY FUNDS:

TUITION ADJUSTMENT	4,537
WORKMAN'S COMP PREM	(4,203)
SURETY BOND PREM	(652)
SPECIAL REV. FEES	(142)
LEASE BONUS	4,732
	<u>4,272</u>

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CSA0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION 292

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	13,929,715	14,067,336	14,630,145	14,067,336	14,067,336		
2 EXTRA HELP WAGES	25,000	24,000	25,000	24,000	24,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,063,208	1,883,587	1,884,587	1,883,587	1,883,587		
5 OPERATING EXPENSES	266,000	266,000	267,000	257,219	257,219		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	253,652	253,652	253,652	253,652	253,652		
11							
12							
13							
14 TOTAL APPROPRIATION	\$16,537,575	\$16,494,575	\$17,060,384	\$16,485,794	\$16,485,794	\$0	\$0
15 PRIOR YEAR FUND BALANCE**		8,781					
16 GENERAL REVENUE	15,276,158	15,271,736		15,271,736	15,271,736		
17 EDUCATIONAL EXCELLENCE TRUST FUND	1,265,926	1,214,058		1,214,058	1,214,058		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	4,272						
22 TOTAL INCOME	\$16,546,356	\$16,494,575		\$16,485,794	\$16,485,794	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	-\$8,781	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

OTHER STATE TREASURY FUNDS:

TUITION ADJUSTMENT	4,537
WORKMAN'S COMP PREM	(4,203)
SURETY BOND PREM	(652)
SPECIAL REV. FEES	(142)
LEASE BONUS	4,732
	<u>4,272</u>

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CSA0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION 292

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	13,929,715	14,067,336	14,630,145	13,476,029	13,476,029		
2 EXTRA HELP WAGES	25,000	24,000	25,000	15,219	15,219		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,063,208	1,883,587	1,884,587	1,703,587	1,703,587		
5 OPERATING EXPENSES	266,000	266,000	267,000	230,630	230,630		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	253,652	253,652	253,652	0	0		
11							
12							
13							
14 TOTAL APPROPRIATION	\$16,537,575	\$16,494,575	\$17,060,384	\$15,425,465	\$15,425,465	\$0	\$0
15 PRIOR YEAR FUND BALANCE**		8,781					
16 GENERAL REVENUE	15,276,158	15,271,736		14,211,407	14,211,407		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,265,926	1,214,058		1,214,058	1,214,058		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	4,272						
22 TOTAL INCOME	\$16,546,356	\$16,494,575		\$15,425,465	\$15,425,465	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	-\$8,781	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

OTHER STATE TREASURY FUNDS:

TUITION ADJUSTMENT	4,537
WORKMAN'S COMP PREM	(4,203)
SURETY BOND PREM	(652)
SPECIAL REV. FEES	(142)
LEASE BONUS	4,732
	<u>4,272</u>

**APPROPRIATION ACT FORM - STATE TREASURY - FORMULA WITHOUT REVISIONS
2009-11 BIENNIUM**

FUND CSA0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION 292

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	13,929,715	14,067,336	14,630,145	14,498,310	14,788,277		
2 EXTRA HELP WAGES	25,000	24,000	25,000	25,000	25,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,063,208	1,883,587	1,884,587	2,464,712	2,514,007		
5 OPERATING EXPENSES	266,000	266,000	267,000	301,316	367,813		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	253,652	253,652	253,652	300,000	300,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$16,537,575	\$16,494,575	\$17,060,384	\$17,589,338	\$17,995,097	\$0	\$0
15 PRIOR YEAR FUND BALANCE**		8,781					
16 GENERAL REVENUE	15,276,158	15,271,736		16,375,280	16,781,039		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,265,926	1,214,058		1,214,058	1,214,058		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	4,272						
22 TOTAL INCOME	\$16,546,356	\$16,494,575		\$17,589,338	\$17,995,097	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	-\$8,781	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

OTHER STATE TREASURY FUNDS:

TUITION ADJUSTMENT	4,537
WORKMAN'S COMP PREM	(4,203)
SURETY BOND PREM	(652)
SPECIAL REV. FEES	(142)
LEASE BONUS	4,732
	<u>4,272</u>

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2080000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION A63

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,205,095	10,500,000	10,500,000	11,000,000	11,000,000		
2 EXTRA HELP WAGES	1,876,943	4,800,000	4,800,000	4,800,000	4,800,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,289,090	4,000,000	4,000,000	4,500,000	4,500,000		
5 OPERATING EXPENSES	7,702,970	15,000,000	15,000,000	15,000,000	15,000,000		
6 CONFERENCE FEES & TRAVEL	245,298	800,000	800,000	600,000	600,000		
7 PROFESSIONAL FEES AND SERVICES	431,986	850,000	850,000	900,000	900,000		
8 DATA PROCESSING	177,246	525,000	525,000	500,000	500,000		
9 CAPITAL OUTLAY	2,664,338	3,625,000	3,625,000	4,000,000	4,000,000		
10 CAPITAL IMPROVEMENTS		1,800,000	1,800,000	2,200,000	2,200,000		
11 DEBT SERVICE	1,705,102	2,200,000	2,200,000	2,500,000	2,500,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		1,900,000	1,900,000	2,000,000	2,000,000		
13							
14							
15							
16 CONTINGENCY				10,000,000	10,000,000		
17 TOTAL APPROPRIATION	\$19,298,068	\$46,000,000	\$46,000,000	\$58,000,000	\$58,000,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	19,298,068	46,000,000		58,000,000	58,000,000		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$19,298,068	\$46,000,000		\$58,000,000	\$58,000,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	453	467	515	504	502		
TOBACCO POSITIONS							
EXTRA HELP **	1,147	1,625	1,800	1,800	1,800		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHERN ARKANSAS UNIVERSITY
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	871,427	2,434,055	89,728	(1,652,356)	902,829	2,297,520	89,154	(1,483,845)
2 RESIDENCE HALL	3,638,610	2,339,389	593,856	705,365	3,702,555	2,780,174	645,815	276,566
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING	29,181	8,930		20,251	32,000	11,172		20,828
5 FOOD SERVICES	1,879,130	1,442,449		436,681	1,924,155	1,476,825		447,330
6 COLLEGE UNION	49,500	244,836	29,503	(224,839)	27,000	267,491	29,737	(270,228)
7 BOOKSTORE	108,566	7,566		101,000	102,000	7,534		94,466
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	323,630	247,750		75,880	284,200	236,361		47,839
9 STUDENT HEALTH SERVICES	165,067	180,840		(15,773)	175,000	179,136		(4,136)
10 OTHER (POST OFFICE)	100,555	154,285		(53,730)	98,800	157,299		(58,499)
11 SUBTOTAL	\$7,165,666	\$7,060,100	\$713,087	(\$607,521)	\$7,248,539	\$7,413,512	\$764,706	(\$929,679)
12 ATHLETIC TRANSFER **	1,078,234			1,078,234	1,050,000			1,050,000
13 OTHER TRANSFERS ***	243,461			243,461	(52,200)			(52,200)
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$8,487,361	\$7,060,100	\$713,087	\$714,174	\$8,246,339	\$7,413,512	\$764,706	\$68,121

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHERN ARKANSAS UNIVERSITY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>337</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>12</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>14</u>
White Female:	<u>8</u>	Black Female:	<u>1</u>	Other Female:	<u> </u>	Total Female: <u>9</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>47</u>	Black Male:	<u>8</u>	Other Male:	<u> </u>	Total Male: <u>55</u>
White Female:	<u>80</u>	Black Female:	<u>27</u>	Other Female:	<u> </u>	Total Female: <u>107</u>
Faculty:						
White Male:	<u>73</u>	Black Male:	<u>9</u>	Other Male:	<u>10</u>	Total Male: <u>92</u>
White Female:	<u>56</u>	Black Female:	<u>3</u>	Other Female:	<u>1</u>	Total Female: <u>60</u>
Total White Male:						<u>132</u>
Total White Female:						<u>144</u>
Total Black Male:						<u>18</u>
Total Black Female:						<u>31</u>
Total Other Male:						<u>11</u>
Total Other Female:						<u>1</u>
Total White:						<u>276</u>
Total Black:						<u>49</u>
Total Other:						<u>12</u>
Total Minority:						<u>61</u>
Total Employees:						<u>337</u>

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Southern Arkansas University
June 30, 2007

<i>Finding:</i>	No findings noted
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**UNIVERSITY OF ARKANSAS SYSTEM
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

The University of Arkansas System Administration provides a wide variety of services in support of the Board of Trustees, the President, campuses and entities. The campuses and entities of the UA System include the following:

Institutions

University of Arkansas, Fayetteville
University of Arkansas at Fort Smith
University of Arkansas at Little Rock
University of Arkansas at Monticello
University of Arkansas for Medical Sciences
University of Arkansas at Pine Bluff
Cossatot Community College UA
Phillips Community College UA
UA Community College at Batesville
UA Community College at Hope
UA Community College at Morrilton

Entities

UA Division of Agriculture
UA Clinton School of Public Service
UA Criminal Justice Institute
Arkansas Archeology Survey
Arkansas School for Mathematics, Sciences & the Arts

INSTITUTION MISSION STATEMENT

The University of Arkansas System Administration carries out the governance and administration of the University of Arkansas System in accord with policies of the Board of Trustees and the President through delegated authority. The system administration provides assistance to the campuses and entities in achieving the comprehensive mission of the University of Arkansas System.

INSTITUTIONAL GOALS

Goal One: To provide administrative staff support for the governing Board of Trustees and the President as the chief executive officer of the University of Arkansas System.

Goal Two: To provide services that assist the individual campuses and entities in achieving the comprehensive mission of the University of Arkansas System.

Goal Three: To provide leadership and promote collaboration to increase degree productivity within the UA System.

**UNIVERSITY OF ARKANSAS SYSTEM
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

PROGRAMS AND DEFINITIONS

General Administration

General Administration includes activities related to general administrative operations, executive direction, and central executive-level activities concerned with management and long-range planning for the University of Arkansas System.

Goal One: To provide administrative staff support for the governing Board of Trustees and the President as the Chief Executive Officer of the University of Arkansas System.

Objective One: Provide financial and accounting services

Strategy One: Advance the development and implementation of policies of financial reporting for the Board of Trustees and other constituents.

Strategy Two: Develop for the Board of Trustees and the President a report on annual operating budgets with quarterly updates for all campuses and entities.

Strategy Three: Compose and produce the consolidated financial statement of the University of Arkansas System. Distribute to internal and external constituencies such as trustees, campus officials, bond disclosure agents, rating agencies, state officials, financial institutions, etc.

Objective Two: Provide legal and regulatory counsel.

Strategy One: Provide representation for the Board of Trustees and the President in all litigation that is a result of the performance of their System duties and responsibilities.

Objective Three: Promote community and government relations

Strategy One: Improve public relations and the coordination of media contacts for the Board of Trustees, the President and the University of Arkansas System.

Objective Four: Provide internal audit services

Strategy One: Prepare a risk assessment report for the University of Arkansas System for the Board of Trustees and the President.

**UNIVERSITY OF ARKANSAS SYSTEM
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

Institutional Support

Institutional Support includes legal and fiscal operations, planning and programming, internal audit, support services to campuses and entities; and activities concerned with community and government relations.

Goal One: To provide services that assist the individual campuses and entities in achieving the comprehensive mission of the University of Arkansas.

Objective One: Provide financial and accounting services

Strategy One: Provide and administer a program of employee benefits and risk management

Strategy Two: Provide financial and accounting advice, reporting and consultation and the preparation of consolidated financial reports.

Strategy Three: Coordinate, develop, and implement investment policies for all pooled funds of the University of Arkansas System.

Strategy Four: Strengthen overview of procedures and policies for all capital construction.

Objective Two: Provide legal and regulatory counsel

Strategy One: Provide legal and regulatory counsel to the campuses and entities of the University of Arkansas System to include representation in litigation.

Strategy Two: Minimize the risk of liability or loss to the University by coordination, communication and advice to the campuses and entities concerning applicable legal and regulatory matters.

Strategy Three: Support campuses and entities in the exercise of governance authority within applicable policies of the Board; state, federal and local laws and regulations; and judicial decisions.

Objective Three: Promote community and government relations

Strategy One: Serve as an advocate and liaison between the state and federal government and the campuses and entities of the System.

**UNIVERSITY OF ARKANSAS SYSTEM
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

Objective Four: Provide internal audit services

Strategy One: Provide risk assessed audit services to insure an accountable use of resources and the cost effective performance of the campuses and entities in accordance with applicable laws, regulations and Board of Trustee policies.

Objective Five: Promote cooperative and collaborative programs for campuses and entities

Strategy One: Coordinate the development of distance learning opportunities provided by campuses and entities.
Strategy Two: Expand strategies for planning, assessment and development that encourage consensus building among all campuses and entities.

University of Arkansas

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Recently revised by the Arkansas Higher Education Coordinating Board (AHECB), following is the role and scope designation for the University of Arkansas, Fayetteville.

Institutional Role and Scope

University of Arkansas, Fayetteville

1. Audiences

The University of Arkansas, Fayetteville (UAF) has a statewide mission. As such, its audiences are:

- Residents from throughout Arkansas who have excelled in high school studies and are seeking to complete baccalaureate degrees
- Individuals seeking graduate and professional degrees
- Employers, both public and private, seeking not only well-educated employees but technical assistance and applied research
- Economic development interests and entrepreneurs throughout the state
- Academic disciplines and the research community
- The community and area by providing a broad range of academic and cultural activities and public events.
- Two-year college transfer students

University of Arkansas

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

2. Array of Programs and Services

UAF offers:

- A broad range of baccalaureate, masters, doctoral and professional programs that include core arts and sciences, agriculture, architecture, journalism, information sciences, education, engineering, law, public administration, nursing, allied health, and business
- Basic and applied research
- Services specifically designed to meet the needs of statewide economic development— continuing professional education, technical and professional services, support of small businesses and entrepreneurs, and technology transfer

3. Special Features

- UAF is the state's 1862 land-grant institution and is classified as a Carnegie high research activity university.
- Nationally competitive research and economic development activity in emerging areas such as nanotechnology, laser technology, biotechnology, and sustainability
- Library resources and special holdings such as the Fulbright papers are made available through on-site and electronic access to student and faculty scholars and citizens throughout the state.

-- *AHECB Quarterly Agenda*, Item #7, July 25, 2008

University of Arkansas

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

As acknowledged by the AHECB, the University of Arkansas is the oldest and largest state institution of higher education and the primary state and land-grant university in Arkansas, offering the state's most comprehensive array of undergraduate, professional, graduate, and honors programs. Through these programs, students have the opportunity to participate in nationally competitive research, to study abroad, and to work in business, industry, and other institutions through internships. Courses and degree programs are offered by both traditional and technology-mediated instruction to students at other campuses and sites in Arkansas and on some military bases and at international sites.

The University of Arkansas also provides a wide range of public- and economic development-related services including—most especially—technical and professional services to further the economic growth of Arkansas. In addition, the University of Arkansas assists other institutions of public and higher education in Arkansas by providing specialized resources, such as computing, library, and information technology services and expertise in many disciplines. Public- and economic development-related services are provided through the various academic departments, schools, and colleges and by specialized units such as the Arkansas Leadership Academy, the Legal Clinic, the Small Business Development Center, the Center for Business and Economic Research, the Community Design Center, Genesis (the technology-based business incubator), the Global Campus of the School of Continuing Education and Academic Outreach, and the Arkansas Research and Technology Park.

Recognized as a Carnegie Research University with high research activity, the University of Arkansas is the only comprehensive research university in Arkansas. Pursuit of research, scholarly and creative endeavors is a significant responsibility of faculty members at the University of Arkansas, along with integrating original scholarship with teaching and public outreach activities. Such integrated efforts are designed to advance the frontiers of knowledge and to apply that knowledge to improve human understanding, advance economic development and the standard of living and quality of life of people in Arkansas, the nation, and the world. University of Arkansas research, scholarly and creative programs also play important roles in graduate education and increasingly in undergraduate programs as well.

University of Arkansas

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Research and scholarly efforts at the University of Arkansas are pursued by faculty members through the various academic departments, schools, and colleges and through specialized units such as the Business and Economic Research Center, the Mack Blackwell Rural Transportation Center, the High Density Electronics Center, the Center for Advanced Spatial Technologies, the Center for Protein Structure and Function, the Center for Semiconductor Physics in Nanostructures, and the Institute of Food Science and Engineering.

Nationally Competitive Students, Nationally Competitive University

The University of Arkansas is enrolling and graduating more students than any other institution in Arkansas. The qualifications of the entering freshmen classes have continued to increase in recent years as have the University's efforts to retain and graduate students. Through its competitive scholarship programs and endowed chairs and professorships, the University of Arkansas is retaining many of Arkansas' best students and attracting scholars from throughout the world. The University's progress brings positive national notice to the State of Arkansas in the higher education arena as well as in the areas of economic development, basic and applied research and scholarship. The University of Arkansas is committed to putting Students First to ensure that students receive the assistance they need to succeed both at the University and in their chosen careers upon graduation.

Fueling the Arkansas Economy

The University of Arkansas strives to fuel the Arkansas economy:

- Through its graduates

The knowledge-based economy of the 21st Century requires skilled, educated workers. University of Arkansas graduates receive the nationally competitive education necessary to succeed in the knowledge-based economy—the kind of education that will fuel the economic and cultural growth of the State of Arkansas.

- By attracting businesses to Arkansas

Proximity to nationally competitive public research universities is often a deciding factor when relocating existing businesses. Corporations such as Wal-Mart have cited the University of Arkansas as a major reason why they are

University of Arkansas

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

headquartered in Arkansas.

- By supporting new businesses

Since November of 2004, the Arkansas Research Technology Park (ARTP) grew its public/private affiliate base from 13 to 30 companies by the end of fiscal year 2007, which provides over 200 high-wage jobs in direct support of research and development. In addition, ARTP affiliate companies secured over \$22 million in Small Business Innovation Research awards from FY2005 to FY2007 that provide the research and development basis for these companies to grow their financial viability and number of employees.

University of Arkansas Research

In FY07, the University generated \$113.8 million, compared to \$63.2 million in FY99, in total research and development expenditures, as reported to the National Science Foundation. These totals include not only the external research awards actually spent in that year, but also institutional funds invested in research as well as indirect costs recovered by the University. The \$113.8 million in total R&D expenditures during the first year of the current biennium represents a 5.4 percent increase over the \$108 million expended in the first year of the previous biennium (FY05) and a 54 percent increase since 1997. In FY07 almost \$40 million of the University's research expenditures were derived from federal funding sources.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	199,368,291		197,860,265		205,354,569		246,356,912		216,644,607		253,519,731		223,254,885	
2 CASH	341,480,466		840,042,500		840,042,500		926,500,000		926,500,000		1,021,700,000		1,021,700,000	
3 TOBACCO - FAYETTEVILLE	1,331,424		2,129,476		2,375,563		2,375,563		2,375,563		2,375,563		2,375,563	
4 TOBACCO - AGRI EXPERIMENT	1,578,278		1,882,623		2,415,432		2,415,432		2,415,432		2,415,432		2,415,432	
5 CASH - SOILS & TESTING RESEARCH	1,214,827		5,742,264		5,742,264		6,316,750		6,316,750		6,948,300		6,948,300	
6 CASH - AERON	363,414		2,600,000		2,600,000		22,800,000		22,800,000		35,050,000		35,050,000	
7														
8														
9														
10														
11 TOTAL	\$545,336,700	5,634	\$1,050,257,128	5,829	\$1,058,530,328	6,992	\$1,206,764,657	7,021	\$1,177,052,352	6,946	\$1,322,009,026	7,021	\$1,291,744,180	6,946
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	183,447,465	34%	182,365,194	17%			245,406,912	20%	200,999,536	17%	252,569,731	19%	207,609,814	16%
14 EDUCATIONAL EXCELLENCE TRUST FUND	15,322,879	3%	14,695,071	1%				0%	14,695,071	1%		0%	14,695,071	1%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	343,058,707	63%	848,384,764	81%			955,616,750	79%	955,616,750	81%	1,063,698,300	80%	1,063,698,300	82%
17 SPECIAL REVENUES (FILING FEES)	486,034	0%	800,000	0%			800,000	0%	800,000	0%	800,000	0%	800,000	0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS	3,250,830	1%	4,012,099	0%			4,790,995	0%	4,790,995	0%	4,790,995	0%	4,790,995	0%
20 OTHER FUNDS	317,820	0%		0%			150,000	0%	150,000	0%	150,000	0%	150,000	0%
21 TOTAL INCOME	\$545,883,735	100%	\$1,050,257,128	100%			\$1,206,764,657	100%	\$1,177,052,352	100%	\$1,322,009,026	100%	\$1,291,744,180	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$547,035)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$30,277,057
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$12,056,242
INVENTORIES	\$5,270,638
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$37,410,177
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$24,460,000)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS SYSTEM OFFICE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 SALARIES	3,434,647	3,537,625	3,643,754	3,643,754	3,753,066	3,753,066
2 BENEFITS	978,180	905,897	933,074	933,074	961,066	961,066
3 EXTRA HELP	81,619	147,000	150,000	150,000	150,000	150,000
4 MAINTENANCE & OPERATIONS	1,136,612	1,063,338	1,490,350	1,383,699	1,596,068	1,464,683
5 DEBT SERVICE	427,618	260,924	260,924	260,924	260,924	260,924
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$6,058,676	\$5,914,784	\$6,478,102	\$6,371,451	\$6,721,124	\$6,589,739
17 NET LOCAL INCOME	2,261,748	2,318,063	2,433,966	2,433,966	2,555,664	2,555,664
18 PRIOR YEAR BALANCE***	61,987					
STATE FUNDS:						
19 GENERAL REVENUE	3,465,261	3,338,090	3,785,505	3,678,854	3,906,829	3,775,444
20 EDUCATIONAL EXCELLENCE	269,680	258,631	258,631	258,631	258,631	258,631
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$6,058,676	\$5,914,784	\$6,478,102	\$6,371,451	\$6,721,124	\$6,589,739

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS, FAYETTEVILLE
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	102,769,312	117,633,625	122,500,000	127,400,000
2 ALL OTHER FEES	3,794,334	75,000	75,000	75,000
3 OFF-CAMPUS CREDIT	2,927,507	381,000	385,000	385,000
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	3,354,260	4,760,000	1,500,000	1,500,000
7 OTHER CASH INCOME:	25,625,721	23,323,604	24,000,000	24,000,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	138,471,134	146,173,229	148,460,000	153,360,000
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$138,471,134	\$146,173,229	\$148,460,000	\$153,360,000

FORM 09-3

Detail on Line 7:

Actual:

Private Gifts, Grants & Contracts	5,991,108
Endowment Income	552,728
Sales & Svcs - Educational Activities	13,183,806
Legal Education Funds	1,343,810
Other - Misc.	<u>4,554,269</u>
Total Actual "Other Cash Income"	25,625,721

Budgeted:

Indirect Cost Recovery	7,000,000
Administrative Service Charge	200,000
Legal Education/Filing Fees Funds (Law School)	1,804,000
Dedicated (Departmentally-generated) Revenues	<u>14,319,604</u>
Total "Other"	23,323,604

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS SYSTEM OFFICE _____
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES				
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	80			
7 OTHER CASH INCOME:	2,261,668	2,318,063	2,433,966	2,555,664
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	2,261,748	2,318,063	2,433,966	2,555,664
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$2,261,748	\$2,318,063	\$2,433,966	\$2,555,664

FORM 09-3

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS, FAYETTEVILLE

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	97,846,718	101,156,161	111,727,404	115,433,524
2 RESEARCH	15,187,675	15,015,101	16,584,976	17,135,118
3 PUBLIC SERVICE	8,950,224	4,007,182	4,426,414	4,573,243
4 ACADEMIC SUPPORT	24,846,564	25,041,749	27,660,395	28,577,920
5 STUDENT SERVICES	17,165,578	16,048,525	17,727,104	18,315,131
6 INSTITUTIONAL SUPPORT	29,633,994	32,362,966	35,746,442	36,932,191
7 PHYSICAL PLANT M&O	28,422,478	30,725,476	33,934,052	35,059,682
8 SCHOLARSHIPS & FELLOWSHIPS	12,716,272	18,376,455	20,298,231	20,971,545
9 LAW SCHOOL	11,806,904	11,815,608		
10				
11				
12				
13 MANDATORY TRANSFERS	11,077,316	11,178,838	11,178,838	11,178,838
14 AUXILIARY TRANSFERS	188,998	189,085	189,085	189,085
15 NON-MANDATORY TRANSFERS	2,319,903			
16 TOTAL UNREST. E&G EXP.	\$260,162,624	\$265,917,146	\$279,472,941	\$288,366,277
17 NET LOCAL INCOME	138,471,134	146,173,229	148,460,000	153,360,000
18 PRIOR YEAR BALANCE***	252,441			
STATE FUNDS:				
19 GENERAL REVENUE	111,378,981	110,750,533	122,019,557	126,012,893
20 EDUCATIONAL EXCELLENCE	9,377,604	8,993,384	8,993,384	8,993,384
21 WORKFORCE 2000				
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	682,464			
24 TOTAL SOURCES OF INCOME	\$260,162,624	\$265,917,146	\$279,472,941	\$288,366,277

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Detail on "Other State Funds":

Act 431 Filing Fees Funds	486,035
KBM149B	50,000
KBM148Y	<u>146,429</u>
Total "Other State Funds"	682,464

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CAA 0000

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION 534

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /		LEGISLATIVE RECOMMENDATION	
	2007-08	2008-09	2008-09	AHECB RECOMMENDATION		2009-10	2010-11
1 REGULAR SALARIES	154,212,044	150,820,359	159,114,662	160,000,000	170,000,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	34,963,345	36,537,422	36,537,422	36,000,000	37,000,000		
5 OPERATING EXPENSES	8,116,052	8,425,634	7,625,635	17,836,989	13,394,267		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	2,076,850	2,076,850	2,076,850	2,076,850	2,076,850		
11 ARK.RESEARCH & EDUC. OPTICAL NETWK				730,768	783,768		
12							
13							
14 TOTAL APPROPRIATION	\$199,368,291	\$197,860,265	\$205,354,569	\$216,644,607	\$223,254,885	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	183,447,465	182,365,194		200,999,536	207,609,814		
17 EDUCATIONAL EXCELLENCE TRUST FUND	15,322,879	14,695,071		14,695,071	14,695,071		
18 SPECIAL REVENUES - LAW FILING FEES	486,034	800,000		800,000	800,000		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	317,820			150,000	150,000		
22 TOTAL INCOME	\$199,574,198	\$197,860,265		\$216,644,607	\$223,254,885	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$205,907)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Other State Treasury Funds includes Tuition Adjustment Funds and Special State Asset Forfeiture.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CAA 0000

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION 534

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	154,212,044	150,820,359	159,114,662	150,000,000	150,000,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	34,963,345	36,537,422	36,537,422	36,500,000	36,500,000		
5 OPERATING EXPENSES	8,116,052	8,425,634	7,625,635	9,433,415	9,433,415		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	2,076,850	2,076,850	2,076,850	2,076,850	2,076,850		
11							
12							
13							
14 TOTAL APPROPRIATION	\$199,368,291	\$197,860,265	\$205,354,569	\$198,010,265	\$198,010,265	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	183,447,465	182,365,194		182,365,194	182,365,194		
17 EDUCATIONAL EXCELLENCE TRUST FUND	15,322,879	14,695,071		14,695,071	14,695,071		
18 SPECIAL REVENUES - LAW FILING FEES	486,034	800,000		800,000	800,000		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	317,820			150,000	150,000		
22 TOTAL INCOME	\$199,574,198	\$197,860,265		\$198,010,265	\$198,010,265	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$205,907)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Other State Treasury Funds includes Tuition Adjustment Funds and Special State Asset Forfeiture.

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CAA 0000

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION 534

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /		LEGISLATIVE RECOMMENDATION	
	2007-08	2008-09	2008-09	AHECB RECOMMENDATION		2009-10	2010-11
1 REGULAR SALARIES	154,212,044	150,820,359	159,114,662	146,000,000	146,000,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	34,963,345	36,537,422	36,537,422	30,000,000	30,000,000		
5 OPERATING EXPENSES	8,116,052	8,425,634	7,625,635	7,167,851	7,167,851		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	2,076,850	2,076,850	2,076,850	2,076,850	2,076,850		
11							
12							
13							
14 TOTAL APPROPRIATION	\$199,368,291	\$197,860,265	\$205,354,569	\$185,244,701	\$185,244,701	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	183,447,465	182,365,194		169,599,630	169,599,630		
17 EDUCATIONAL EXCELLENCE TRUST FUND	15,322,879	14,695,071		14,695,071	14,695,071		
18 SPECIAL REVENUES - LAW FILING FEES	486,034	800,000		800,000	800,000		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	317,820			150,000	150,000		
22 TOTAL INCOME	\$199,574,198	\$197,860,265		\$185,244,701	\$185,244,701	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$205,907)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Other State Treasury Funds includes Tuition Adjustment Funds and Special State Asset Forfeiture.

**APPROPRIATION ACT FORM - STATE TREASURY - FORMULA WITHOUT REVISIONS
2009-11 BIENNIUM**

FUND CAA 0000

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION 534

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	154,212,044	150,820,359	159,114,662	160,000,000	170,000,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	34,963,345	36,537,422	36,537,422	36,000,000	37,000,000		
5 OPERATING EXPENSES	8,116,052	8,425,634	7,625,635	17,851,118	13,415,614		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	2,076,850	2,076,850	2,076,850	2,076,850	2,076,850		
11 ARK.RESEARCH & OPTICAL NETWORK				730,768	783,768		
12							
13							
14 TOTAL APPROPRIATION	\$199,368,291	\$197,860,265	\$205,354,569	\$216,658,736	\$223,276,232	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	183,447,465	182,365,194		201,013,665	207,631,161		
17 EDUCATIONAL EXCELLENCE TRUST FUND	15,322,879	14,695,071		14,695,071	14,695,071		
18 SPECIAL REVENUES - LAW FILING FEES	486,034	800,000		800,000	800,000		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	317,820			150,000	150,000		
22 TOTAL INCOME	\$199,574,198	\$197,860,265		\$216,658,736	\$223,276,232	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$205,907)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Other State Treasury Funds includes Tuition Adjustment Funds and Special State Asset Forfeiture.

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND TSF 0200

INSTITUTION TOBACCO FUNDS - FAYETTEVILLE

APPROPRIATION 319

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	359,272	651,050	610,322	610,322	610,322		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	40,481	193,879	138,360	138,360	138,360		
5 OPERATING EXPENSES	586,622	586,622	586,622	586,622	586,622		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	345,049	697,925	1,040,259	1,040,259	1,040,259		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$1,331,424	\$2,129,476	\$2,375,563	\$2,375,563	\$2,375,563	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS	1,625,415	2,129,476		2,375,563	2,375,563		
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$1,625,415	\$2,129,476		\$2,375,563	\$2,375,563	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$293,991)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

CARRY FORWARD OF ANY UNEXPENDED BALANCE OF APPROPRIATION AND/OR FUNDING FROM FISCAL YEAR 2008 TO FISCAL YEAR 2009

Agency: University of Arkansas at Fayetteville

Program UA-AR Biosciences Institute

Act #: 1292 of 2007 Section(s) #: 1 & 3

Estimated Carry Forward \$ 945,153.00 Appropriation Funds

Funding Source: Tobacco Settlement

Accounting Information:

Business Area: 0135 Funds Center: 319 Fund: TSF Functional Area: UNIV

Line Item	Commitment Item	Estimated Carry Forward Amount	Actual Carry Forward Amount
Regular Salaries	5010000	198,354.00	239,081.68
Personal Services Matching	5010003	39,647.00	95,195.92
Operating Expenses	5020002	246,308.00	0.00
Capital Outlay	5120011	460,844.00	695,210.45
Total		\$ 945,153.00	\$ 1,029,488.05

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward appropriation and/or funding for a program or a specific line item within a program from the first fiscal year of the biennium to the second fiscal year of the biennium.

Justification for carry forward of unexpended balance of appropriation and/or funding:

Carry forward is requested to complete ABI research objectives and ensure that progress is not interrupted in providing operational support as needed.

Actual Funding Carry Forward \$ 0.00

Current status of carry forward appropriation/funding:

Carry forward is being used in the designated commitment items to provide operational support to meet the ABI research objectives.

Dr. G. David Gearhart
Chancellor

08-08-2008
Date

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND TSF 0202

INSTITUTION TOBACCO FUNDS - AGRI EXPERIMENT STATION

APPROPRIATION 321

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	1,002,197	980,000	1,413,405	1,320,000	1,320,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	268,758	304,369	362,027	350,432	350,432		
5 OPERATING EXPENSES	204,229	371,348	375,000	360,000	360,000		
6 CONFERENCE FEES & TRAVEL	13,852	16,148	15,000	35,000	35,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)				150,000	150,000		
8 CAPITAL OUTLAY	89,242	210,758	250,000	200,000	200,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$1,578,278	\$1,882,623	\$2,415,432	\$2,415,432	\$2,415,432	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS	1,625,415	1,882,623		2,415,432	2,415,432		
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$1,625,415	\$1,882,623		\$2,415,432	\$2,415,432	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$47,137)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

CARRY FORWARD OF ANY UNEXPENDED BALANCE OF APPROPRIATION AND/OR FUNDING FROM FISCAL YEAR 2008 TO FISCAL YEAR 2009

Agency: University of Arkansas at Fayetteville

Program Div of Agriculture- AR Biosciences

Act #: 1292 of 2007 Section(s) #: 2 & 3

Estimated Carry Forward \$ 797,823.00 Appropriation Funds

Funding Source: Tobacco Settlement

Accounting Information:

Business Area: 0135 Funds Center: 321 Fund: TSF Functional Area: UNIV

Line Item	Commitment Item	Estimated Carry Forward Amount	Actual Carry Forward Amount
Regular Salaries	5010000	347,914.00	383,493.83
Personal Services Matching	5010003	80,354.00	86,170.24
Operating Expenses	5020002	220,035.00	170,771.28
Conference & Travel Expenses	5050009	378.00	1,148.32
Capital Outlay	5120011	149,142.00	160,758.24
Total		\$ 797,823.00	\$ 802,341.91

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward appropriation and/or funding for a program or a specific line item within a program from the first fiscal year of the biennium to the second fiscal year of the biennium.

Justification for carry forward of unexpended balance of appropriation and/or funding:

Carry forward is requested to complete ABI research objectives and ensure that progress is not interrupted in providing operational support as needed.

Actual Funding Carry Forward \$ 0.00

Current status of carry forward appropriation/funding:

Carry forward is being used to pay salaries and fringe benefits to meet the ABI research objectives and provide operational support for the awarding and continuation of scientific research grants.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2000000

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION B03

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	78,444,770	178,024,600	178,024,600	196,000,000	216,000,000		
2 EXTRA HELP WAGES	15,802,721	27,285,500	27,285,500	30,000,000	33,000,000		
3 OVERTIME	1,097,575	5,900,000	5,900,000	7,000,000	7,700,000		
4 PERSONAL SERVICES MATCHING	8,603,728	44,535,200	44,535,200	50,000,000	55,000,000		
5 OPERATING EXPENSES	103,412,919	160,200,000	160,200,000	176,000,000	194,000,000		
6 CONFERENCE FEES & TRAVEL	16,850,315	47,000,000	47,000,000	52,000,000	58,000,000		
7 PROFESSIONAL FEES AND SERVICES	14,973,934	55,000,000	55,000,000	60,000,000	66,000,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	12,004,086	58,000,000	58,000,000	64,000,000	70,500,000		
10 CAPITAL IMPROVEMENTS	89,872,712	246,597,200	246,597,200	272,000,000	300,000,000		
11 DEBT SERVICE	417,706	4,000,000	4,000,000	4,500,000	5,000,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		13,500,000	13,500,000	15,000,000	16,500,000		
13							
14							
15							
16 CONTINGENCY							
17 TOTAL APPROPRIATION	\$341,480,466	\$840,042,500	\$840,042,500	\$926,500,000	\$1,021,700,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	341,480,466	840,042,500		926,500,000	1,021,700,000		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$341,480,466	\$840,042,500		\$926,500,000	\$1,021,700,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS (See Note below)	5,634	5,829	6,992	7,021	6,946		
TOBACCO POSITIONS							
EXTRA HELP **	3,808	3,808	3,808	3,808	3,808		

FORM 09-5

NOTE: Regular Positions do not include Classified positions due to new submittal process.

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2000000

INSTITUTION U OF A SOILS TESTING AND RESEARCH

APPROPRIATION B76

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	650,103	881,219	881,219	969,300	1,066,200		
2 EXTRA HELP WAGES	32,887	143,990	143,990	158,400	174,200		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	176,502	194,555	194,555	214,000	235,400		
5 OPERATING EXPENSES	341,231	1,076,000	1,076,000	931,700	1,024,900		
6 CONFERENCE FEES & TRAVEL	10,367	85,000	85,000	93,500	102,800		
7 PROFESSIONAL FEES AND SERVICES		85,000	85,000	93,500	102,800		
8 DATA PROCESSING		16,500	16,500	18,150	20,000		
9 CAPITAL OUTLAY	3,737	608,000	608,000	559,000	614,900		
10 CAPITAL IMPROVEMENTS		1,805,000	1,805,000	1,875,500	2,063,000		
11 DEBT SERVICE							
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		847,000	847,000	931,700	1,024,900		
13							
14							
15							
16 CONTINGENCY				472,000	519,200		
17 TOTAL APPROPRIATION	\$1,214,827	\$5,742,264	\$5,742,264	\$6,316,750	\$6,948,300	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	1,214,827	5,742,264		6,316,750	6,948,300		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$1,214,827	\$5,742,264		\$6,316,750	\$6,948,300	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS							
TOBACCO POSITIONS							
EXTRA HELP **							

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2000400

INSTITUTION ARKANSAS RESEARCH & EDUCATION OPTICAL NETWORK

APPROPRIATION B03B

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	159,890	637,450	637,450	3,000,000	4,000,000		
2 EXTRA HELP WAGES				50,000	50,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	41,364	161,275	161,275	750,000	1,000,000		
5 OPERATING EXPENSES	18,863	1,200,275	1,200,275	5,000,000	7,000,000		
6 CONFERENCE FEES & TRAVEL	19,766	51,000	51,000	2,000,000	3,000,000		
7 PROFESSIONAL FEES AND SERVICES	116,328	450,000	450,000	2,000,000	4,000,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	7,203	100,000	100,000	5,000,000	7,000,000		
10 CAPITAL IMPROVEMENTS				5,000,000	9,000,000		
11 DEBT SERVICE							
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13							
14							
15							
16 CONTINGENCY							
17 TOTAL APPROPRIATION	\$363,414	\$2,600,000	\$2,600,000	\$22,800,000	\$35,050,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	363,414	2,600,000		22,800,000	35,050,000		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$363,414	\$2,600,000		\$22,800,000	\$35,050,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS							
TOBACCO POSITIONS							
EXTRA HELP **							

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS, FAYETTEVILLE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	59,675,014	51,655,384	5,234,076	2,785,554	51,757,305	46,110,031	5,647,274	0
2 RESIDENCE HALL	23,884,032	14,610,494	4,518,916	4,754,622	24,692,471	17,398,043	7,494,246	(199,818)
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES				0				0
6 COLLEGE UNION	2,847,101	2,251,266	925,042	(329,207)	2,457,573	1,985,902	471,671	0
7 BOOKSTORE	14,458,529	14,505,138		(46,609)	16,532,117	16,532,117		0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	1,383,907	1,280,192	216,794	(113,079)	1,731,763	1,731,763		0
9 STUDENT HEALTH SERVICES	5,392,384	4,621,191	335,045	436,148	5,292,156	4,903,597	388,559	0
10 OTHER	7,066,970	3,747,353	2,020,858	1,298,759	9,053,883	6,817,360	2,236,523	0
11 SUBTOTAL	\$114,707,937	\$92,671,018	\$13,250,731	\$8,786,188	\$111,517,268	\$95,478,813	\$16,238,273	(\$199,818)
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	1,147,685	5,843,207		(4,695,522)	189,085			189,085
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$115,855,622	\$98,514,225	\$13,250,731	\$4,090,666	\$111,706,353	\$95,478,813	\$16,238,273	(\$10,733)

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

Detail on "Other":	Income	Expense	Debt Svc	Net Income
Transit & Parking	6,889,721	3,268,770	2,020,858	1,600,093
Other Auxiliary Enterprises	177,249	478,583		(301,334)
Total "Other"	7,066,970	3,747,353	2,020,858	1,298,759

FORM 09-6

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS FUND

(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08 :						4,246
						(As of November 1, 2007)
Nonclassified Administrative Employees:						
White Male:	<u>145</u>	Black Male:	<u>11</u>	Other Male:	<u>2</u>	Total Male: <u>158</u>
White Female:	<u>90</u>	Black Female:	<u>10</u>	Other Female:	<u>4</u>	Total Female: <u>104</u>
Nonclassified Health Care Employees:						
White Male:	<u>2</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total Male: <u>3</u>
White Female:	<u>13</u>	Black Female:	<u>0</u>	Other Female:	<u>1</u>	Total Female: <u>14</u>
Classified Employees:						
White Male:	<u>1,147</u>	Black Male:	<u>75</u>	Other Male:	<u>133</u>	Total Male: <u>1,355</u>
White Female:	<u>1,377</u>	Black Female:	<u>122</u>	Other Female:	<u>131</u>	Total Female: <u>1,630</u>
Faculty:						
White Male:	<u>528</u>	Black Male:	<u>18</u>	Other Male:	<u>99</u>	Total Male: <u>645</u>
White Female:	<u>274</u>	Black Female:	<u>19</u>	Other Female:	<u>44</u>	Total Female: <u>337</u>
Total White Male:	<u>1,822</u>	Total Black Male:	<u>104</u>	Total Other Male:	<u>235</u>	Total Male: <u>2,161</u>
Total White Female:	<u>1,754</u>	Total Black Female:	<u>151</u>	Total Other Female:	<u>180</u>	Total Female: <u>2,085</u>
Total White:	<u>3,576</u>	Total Black:	<u>255</u>	Total Other:	<u>415</u>	Total Employees: <u>4,246</u>
				Total Minority:	<u>670</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas - Fayetteville
June 30, 2007

<p><i>Finding:</i></p>	<p><u>Data Access Security Section – Tuition (V-Cashier) Application:</u></p> <p>The Payment Card Industry Standards require limiting repeated access attempts by locking out the user ID after not more than six (unsuccessful) attempts and setting lockout duration to thirty minutes or until administrator enables the user ID.</p> <p>The V-Cashier System allows unlimited unsuccessful logon attempts.</p> <p>Failure to establish proper password controls increases the likelihood that an unauthorized person could gain access to the system. In addition, the University could be fined for non-compliance to the Payment Card Industry Standards.</p>
<p><i>Recommendation:</i></p>	<p>Management lock user accounts after three unsuccessful logon attempts. The account should remain locked until Security Administrator is notified and resets account.</p>
<p><i>Institution's Response:</i></p>	<p>We agree. Financial Affairs will coordinate with Computing Services to develop effective password controls. If campus-wide controls are not established by December 31, 2007, Financial Affairs will configure internal accounts on the commerce servers to ensure proper password controls are in place for the Tuition (V-Cashier) application.</p>
<p><i>Finding:</i></p>	<p><u>Backup and Recovery Section – Tuition (V-Cashier) and Housing (Q-Pay) Applications:</u></p> <p>Currently, back-up tapes are stored at the personal home of an employee. Back up tapes should be stored in suitable and secure off-site storage facility to reduce the risk of data being compromised.</p>
<p><i>Recommendation:</i></p>	<p>That back up tapes be stored at a suitable and secure off-site storage facility.</p>
<p><i>Institution's Response:</i></p>	<p>We agree that it would certainly provide a better appearance of security to store off-site media at a secure third party location. We will begin research to identify the best method to secure suitable off-site storage. This may include physical</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas - Fayetteville
June 30, 2007

	storage at an off-site location, a reciprocal agreement with another campus or utilization of a third party off-site provider. We anticipate that this research will be completed by June 30, 2008, and off-site media will be stored in a suitable secure location beginning July 1, 2008. However, we disagree that this is a major risk given the encrypted status of the data on the removable drives and the availability of the decryption key.
<i>Finding:</i>	<p><u>Encryption Section – Tuition (V-Cashier) and Housing (Q-Pay) Applications:</u></p> <p>UAF uses secure socket layer (SSL) encryption to protect the privacy of cardholder information. Currently the SSL-Encryption Certification is renewed every two years. The Payment Card Industry Standards require that the certificate be renewed annually.</p>
<i>Recommendation:</i>	That management update SSL-Encryption Certification annually.
<i>Institution's Response:</i>	We agree. We will obtain a one (1) year certificate and continue with annual renewals upon expiration of our current certificate. Our current certificate expires on January 30, 2008.
<i>Finding:</i>	<p><u>End-user Section – Tuition (V-Cashier) and Housing (Q-Pay) Applications:</u></p> <p>A formal security training program should be in place and required for employees who process or access cardholder data. Upon completion of security training, employees should be required to sign an acknowledgement that they understand their responsibility to protect cardholder data.</p> <p>There is no formal security training program in place for employees who process or access cardholder data.</p> <p>Without a formal security training program in place for employees who process or access cardholder data, there is an increased risk that cardholder data will not be protected. Also, the University could be fined for non-compliance to the Payment Card Industry Standards.</p>
<i>Recommendation:</i>	Management develop a formal security training program for employees who

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas - Fayetteville
June 30, 2007

	process or access cardholder data. Upon completion of security training, employees should be required to sign an acknowledgement that they understand their responsibility to protect cardholder data.
<i>Institution's Response:</i>	We agree. We have identified the need to develop a security training program for our employees who process or access cardholder data. A new position has been approved that will be responsible for the development and implementation of the program. We anticipate that this position will be filled in spring 2008. When training begins, we will coordinate with Human Resource Employee Development to ensure that the training is delivered to the appropriate University employees and supporting documentation evidencing completion is retained.
<i>Finding:</i>	<p><u>Data Access Security Section – Integrated Student Information System Student Financial (SF) and Financial Aid (FA) modules:</u> Effective data access security requires passwords to meet the following minimum standards: require password change at initial logon, require password change at least every one hundred twenty days, lock user account after a specified number of unsuccessful logon attempts, maintain password history file to prevent reuse of password for at least five generations, contain alpha and numeric characters and a minimum of eight characters with no repeating characters.</p> <p>The system does not meet the following password standard: System allows for unlimited unsuccessful logon attempts (account should lock after a specified number of unsuccessful logon attempts and require security administrators to reset account).</p> <p>Failure to establish proper password controls increases the likelihood that an unauthorized person could gain access to the system.</p>
<i>Recommendation:</i>	That management lock user accounts after a specified number of unsuccessful logon attempts. The account should remain locked until Security Administrator is notified and resets account.
<i>Institution's Response:</i>	We agree. The password requirements as described by the state standards have been implemented except for the lock out feature after a specified number

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas - Fayetteville
June 30, 2007

	<p>of unsuccessful logon attempts. We will evaluate the feasibility of implementing a lock out feature after a specified number of unsuccessful logon attempts, and anticipate that this control or another compensating control will be developed by June 30, 2008.</p>
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<p><i>Finding:</i></p>	<p>The Arkansas Department of Finance and Administration (DFA) is tasked with the responsibility of preparing the State's Schedule of Expenditures of Federal Awards (the Schedule). In order to ensure timely and accurate reporting, the Schedule is prepared by requiring each Agency and Institution of Higher Education to submit the required federal program information to DFA. Upon receipt of the federal program information, DFA compiles, reviews and makes the necessary adjustments to present the information on the Schedule. The audit for the year ended June 30, 2007 revealed that the University of Arkansas at Fayetteville omitted federal expenditures totaling \$17,239,681 for the Federal Perkins Loan Program- Federal Capital Contributions program (CFDA) 84.038 and the University of Arkansas at Little Rock failed to report expenditures totaling \$9,594,554 for the Federal Pell Grant Program (CFDA) 84.063. Appropriate audit adjustments have subsequently been made by DFA to correct the schedule.</p>
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<p><i>Recommendation:</i></p>	<p>We recommend the University of Arkansas at Fayetteville and the University of Arkansas at Little Rock develop and implement procedures to ensure the federal program information submitted to DFA is accurate and complete.</p>
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Arkansas Research and Education Optical Network (ARE-ON)

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

MISSION: The mission of ARE-ON is to promote, develop, and apply advanced communications technologies to support economic development by empowering and enhancing research, education, and public service.

STRATEGIES: ARE-ON will develop and focus advanced resources on four core agendas.

1. Basic and Applied Research
2. Academic enhancement/improvement
3. Telemedicine and telehealth
4. Emergency Preparedness, Response, and Recovery

GOALS and OBJECTIVES: ARE-ON will:

G 1. Facilitate and accelerate the adoption of advanced communications technologies among our current and future members in order to benefit the citizens of Arkansas.

O1 Design, deploy, and manage a carrier grade statewide optical network.

O2 Facilitate use of high speed networking and associated applications in each Strategic Strategic Agenda category.

G 2. Provide reliable, cost effective access to the advanced communication technologies and services required by our

Arkansas Research and Education Optical Network (ARE-ON)

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

current and future members.

- O1. Deliver current and future member access to affordable and sustainable regional ,national , and international Research and Education networks.
- O2. Deliver current and future member access to affordable and sustainable advanced communications technologies to include high performance computing.

G 3. Establish local, statewide, regional, national and international leadership roles for current and future ARE-ON members in the use of advanced communications technologies for research, teaching and learning.

- O1 Facilitate current and future member participation in advanced communications technology initiatives via Internet2,National LambdaRail, Great Plains Network, Southern Universities Research Association, EDUCAUSE, Southern Regional Education Board, and other evolving organizations focused upon our mission and priorities.
- O2 Secure a significant leadership position in establishment of a highly collaborative Regional Optical Network involving peer state organizations to include Arkansas, Louisiana, Texas, Oklahoma, and Kentucky.

G 4. Increase collaboration among our current and future members and participation in regional, national, and international initiatives that apply advanced technologies to economic development.

Arkansas Research and Education Optical Network (ARE-ON)

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- O1 Actively encourage and empower current and future members and peer organizations in other states and nations to collaborate on funding opportunities, shared infrastructure utilization, and shared infrastructure purchases via intrastate and interstate agreements.
 - O2 Facilitate sharing of current and future member intellectual capital and technology transfer opportunities.
- G 5. Empower and Enhance the capabilities of our current and future members to foster economic development opportunities within their service areas and beyond.
- O1 Actively promote collaboration and shared resource opportunities with workforce development organizations and initiatives.
 - O2 Actively support legislatively approved/sanctioned initiatives such as Connect Arkansas and the Arkansas Research Alliance as a means of translating ARE-ON Strategies , Goals, and objectives into quantifiable economic gains for the citizens of Arkansas.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

ARKANSAS RESEARCH & EDUCATION OPTICAL NETWORK
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 RESEARCH	363,414	2,600,000	23,480,768	23,480,768	35,783,768	35,783,768
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$363,414	\$2,600,000	\$23,480,768	\$23,480,768	\$35,783,768	\$35,783,768
17 NET LOCAL INCOME	363,414	2,600,000	22,750,000	22,750,000	35,000,000	35,000,000
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE			730,768	730,768	783,768	783,768
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$363,414	\$2,600,000	\$23,480,768	\$23,480,768	\$35,783,768	\$35,783,768

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

ARKANSAS RESEARCH & EDUCATION OPTICAL NETWORK
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES				
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME				
7 OTHER CASH INCOME:	363,414	2,600,000	22,800,000	35,050,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	363,414	2,600,000	22,800,000	35,050,000
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$363,414	\$2,600,000	\$22,800,000	\$35,050,000

FORM 09-3

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UNIVERSITY OF ARKANSAS DIVISION OF AGRICULTURE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

I. Introduction

The Division of Agriculture of the University of Arkansas System is unique in the overall scheme of higher education in Arkansas. It is composed of the Agricultural Experiment Station and the Cooperative Extension Service and has the basic mission of discovery of knowledge through research and, through extension education, helping Arkansans put that knowledge to work in their daily lives. Through its programs, the Division reaches out into all 75 counties and touches nearly every rural and urban citizen in the state.

Many Division faculty have joint appointments with institutions of higher education to carry their research and extension expertise into the classroom. Experiment Station scientists and Extension specialists are located at the University of Arkansas-Fayetteville, University of Arkansas-Monticello, University of Arkansas-Pine Bluff, University of Arkansas-Little Rock and at Arkansas State University in Jonesboro. In addition, faculty are headquartered at the Cooperative Extension Service in Little Rock and at four Research and Extension Centers at Hope, Keiser, Stuttgart and Monticello. There are seven branch research stations across the state. Programs are taken directly into local communities through County Extension offices in all 75 counties.

Because of the highly diverse programs ranging from basic science in areas like molecular genetics to practical applications in production agriculture to developing Arkansas youth for a better future through 4-H, the priority goals for the Division are equally broad and diverse.

II. Institutional Mission Statement

The University of Arkansas System is a comprehensive, multi-campus, publicly aided institution dedicated to the improvement of the mind and spirit through the development and dissemination of knowledge.

UNIVERSITY OF ARKANSAS DIVISION OF AGRICULTURE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

III. Institutional Goal(s)

The mission of the University of Arkansas Division of Agriculture is education and research. The Division is dedicated to improving the lives of Arkansans by generating knowledge through research and putting that knowledge to use through education. Within the broad context of this mission, the Division will:

- Foster the improvement of agriculture and agribusiness,
- Improve the stewardship of natural resources and the environment,
- Ensure a safe, nutritious food supply,
- Strengthen Arkansas families,
- Develop leadership skills and productive citizenship among youth and adults,
- Enhance economic security and financial responsibility among the citizens of the state, and
- Improve the quality of life in communities across Arkansas.

IV. Programs and Program Definitions

The University of Arkansas System reaches every county of the state through its Division of Agriculture. The Division includes the Arkansas Agricultural Experiment Station and the Cooperative Extension Service.

The Cooperative Extension Service has an office in all 75 Arkansas counties. County faculty and state specialists provide education, consultation and other services in agriculture, natural resources, economic and community development, family and consumer science, 4-H and youth development, and business development.

The Arkansas Agricultural Experiment Station is the primary research support agency for Arkansas agriculture and horticulture, forestry, food processing and related issues impacting the environment, families and communities.

UNIVERSITY OF ARKANSAS DIVISION OF AGRICULTURE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Research is conducted on three university campuses, at five research and extension centers, and at seven branch stations.

- **Program 1 - Arkansas Agricultural Experiment Station**

The Arkansas Agricultural Experiment Station is the statewide research component of the U of A System's Division of Agriculture. The mission of the Arkansas Agricultural Experiment Station is to generate, interpret and communicate new technologies and knowledge for use by individuals, families, communities and businesses. The Arkansas Agricultural Experiment Station serves as the primary research support agency for Arkansas farmers, food processors and related industries.

- **Goal 1 - An agricultural system that is highly competitive in the global economy.**

- **Objective 1**

- Develop sustainable plant and animal production systems

- **Strategies**

- Conduct research on all aspects of plant and animal production systems

- **Goal 2 - A safe and secure food and fiber system.**

- **Objective 1**

- Enhance food safety

- **Strategies**

- Conduct research to reduce the incidence of food borne risks (pathogens, chemicals, naturally occurring toxins)

**UNIVERSITY OF ARKANSAS
DIVISION OF AGRICULTURE**

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

Goal 3 - A healthy, well-nourished population.

- **Objective 1**
Improve human Nutrition
- **Strategies**
Conduct research on nutrient composition of foods/food components

Goal 4 - Greater harmony between agriculture and the environment.

- **Objective 1**
Improve forest, soil, water and wildlife conservation management
- **Strategies**
Conduct research to ensure ecosystems integrity and biodiversity, and protect, sustain and enhance water, soil & air resources

• **Program 2 - Arkansas Cooperative Extension Service**

The Arkansas Cooperative Extension Service is the statewide public service education component of the U of A System's Division of Agriculture. The mission of the Arkansas Cooperative Extension Service is to develop and transfer need based educational programs, in response to issues identified by citizens at the local level, to support economic, environmental and social goals. This is achieved through partnerships with the public and private sector, and through the use of new technologies and research-based information, transferred to individuals, families, communities and businesses across Arkansas. Through research and education, the Cooperative

**UNIVERSITY OF ARKANSAS
DIVISION OF AGRICULTURE**

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

Extension Service works:

- To empower the agricultural system with knowledge that will improve our competitiveness in domestic production, processing and marketing;
- To support and strengthen the health and economic well-being of Arkansas families;
- To provide experiential learning opportunities for the state's youth to support their growth and development in citizenship, leadership and life skills; and
- To foster individual, organizational and community development to maximize the leadership potential of all Arkansans.

Goal 1 - An agricultural system that is highly competitive in the global economy.

- **Objective 1**
Promote agricultural profitability and sustainability
 - **Strategies**
Teach and demonstrate appropriate plant and animal production systems practices

Goal 2 - A safe and secure food and fiber system.

- **Objective 1**
Promote food quality, safety and security
 - **Strategies**
Teach and demonstrate practices to enhance quality, safety and security of the food supply

**UNIVERSITY OF ARKANSAS
DIVISION OF AGRICULTURE**

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

Goal 3 - A healthy, well-nourished population.

- **Objective 1**
Improve human health and nutrition
- **Strategies**
Teach and demonstrate practices for better health and nutrition for all Arkansans

Goal 4 - Greater harmony between agriculture and the environment.

- **Objective 1**
Improve management of natural resource systems
- **Strategies**
Teach and demonstrate proper management of natural resources systems

Goal 5 - Enhanced economic opportunity and quality of life for Arkansans.

- **Objective 1**
Support Arkansas youth, families and communities
- **Strategies**
Teach and demonstrate practices leading to youth development, family support, economic development and leadership training

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS DIVISION OF AGRICULTURE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 Salaries - Nonclassified	41,591,651	46,594,551	54,068,781	48,924,278	55,323,665	50,392,006
2 Salaries - Classified	9,089,002	9,631,642	10,816,217	10,113,224	11,042,442	10,416,621
3 Staff Benefits	14,599,913	15,011,950	17,703,620	15,762,548	18,113,588	16,235,424
4 Operating Expenses	17,564,501	15,544,687	19,710,960	17,995,772	20,087,238	18,078,860
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS	155,214	100,456	100,456	100,456	100,456	100,456
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	4,089,677	1,200,000				
16 TOTAL UNREST. E&G EXP.	\$87,089,958	\$88,083,286	\$102,400,034	\$92,896,278	\$104,667,389	\$95,223,367
17 NET LOCAL INCOME	21,279,804	20,827,896	19,922,941	19,922,941	19,947,941	19,947,941
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	62,160,726	61,940,181	77,161,884	67,658,128	79,404,239	69,960,217
20 EDUCATIONAL EXCELLENCE	5,542,287	5,315,209	5,315,209	5,315,209	5,315,209	5,315,209
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **	30,000					
24 TOTAL SOURCES OF INCOME	\$89,012,817	\$88,083,286	\$102,400,034	\$92,896,278	\$104,667,389	\$95,223,367

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in :

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS DIVISION OF AGRICULTURE _____
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES				
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	744,673	500,000	550,000	560,000
7 OTHER CASH INCOME:	20,535,131	20,327,896	19,372,941	19,387,941
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	21,279,804	20,827,896	19,922,941	19,947,941
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$21,279,804	\$20,827,896	\$19,922,941	\$19,947,941

FORM 09-3

**UNIVERSITY OF ARKANSAS
ARKANSAS ARCHEOLOGICAL SURVEY**

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

INTRODUCTION:

The Arkansas Archeological Survey, an independent unit of the University of Arkansas System, operates a statewide program of archeological research, public service, and public education. Eleven Research Stations are strategically located in the state. The Coordinating Office is located in Fayetteville.

Archeologists at the eleven research stations conduct basic archeological research and provide assistance to citizens of Arkansas and to state and federal agencies. Eight research stations are located on university campuses (UAF, UAPB, UAM, UAFS, WRI, HSU, ASU, SAU). Research stations are also located at Toltec Mounds Archeological State Park, Parkin Archeological State Park, and the City of Blytheville. The Survey's Coordinating Office in Fayetteville houses the administrative offices, the State Archeologist's office, the Registrar's office, Computer Services, Sponsored Research Program, and the Publications Office. Survey administrative staff includes the Director, the Assistant Director for Financial Affairs, an administrative secretary, and an accounting technician. The Survey has 43 employees statewide.

HIGHLIGHTS OF RECENT WORK:

In 2007, Lela Donat, Survey Registrar, obtained a \$425,000 grant from the Arkansas Highway and Transportation Department (AHTD) to improve the Survey's computerized databases. The Survey already has a national reputation for digital applications in archeology, and the three year project will greatly improve the ability of AHTD and other state and federal agencies to access critical information needed for compliance with federal historic preservation laws.

With funding from the Arkansas Natural and Cultural Resource Council, Randy Guendling, project archeologist in the Coordinating Office, is conducting the first ever complete inventory of artifacts and materials from the Ozark bluff shelters. Most of the material was excavated in the 1930s by Sam Dellinger with the UAF Museum. Dellinger's career was celebrated in an exhibit at the Old State House Museum, guest curated by the Survey's Robert Mainfort, that won two regional awards and was viewed by over 70,000 visitors. The Ozark bluff shelters were named one of the ten most important archeological complexes in North America by a select group of nationally prominent archeologists. The inventory will provide information needed for the conservation of the collections and for future archeological research.

UNIVERSITY OF ARKANSAS ARKANSAS ARCHEOLOGICAL SURVEY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The Survey's Computer Services Program continues to provide geophysical technical support to state and federal agencies, law enforcement organizations, the FBI, and state cemetery preservation associations. The Survey's geophysical equipment (GPR, gradiometers, resistance, conductivity) helps locate graves, prehistoric palisades, house foundations, and other buried features. These technologies improve cost-efficiency of excavation and keep Arkansas at the forefront of developments in modern archeological field methods.

Since 1967, the Survey has published 106 monographs, technical reports, and popular reports dealing with Arkansas history and archeology. In addition, Survey archeologists have published scores of articles, book chapters, and books in national and regional journals and refereed university presses. As an example, the University of Florida Press recently published a book on the earliest archeological occupations of North and South America edited by Dr. Julie Morrow, ASU Research Station Archeologist. Survey archeologists wrote 38 articles for the Butler Center's *Encyclopedia of Arkansas History and Culture*.

Dr. Ann Early, State Archeologist, serves on the Department of Arkansas Heritage's Historic Site Review Board. Marion Haynes, Blytheville Research Station Assistant, serves on the Arkansas Governor's Earthquake Advisory Council. Dr. Jamie Brandon, SAU Research Station Archeologist, was recently appointed to the Arkansas Civil War Sesquicentennial Commission. They were appointed by the Governor to these boards and commissions.

Survey station archeologists taught 21 anthropology and archeology classes at 8 state universities to 633 students in the past year. The classes were taught at UAF, UALR, UAPB, UAM, ASU, HSU, ATU, and SAU. Station archeologists also have titles in the UAF Department of Anthropology and chair and serve on graduate committees. The Survey's contribution to teaching and mentoring students is significant as there currently is a shortage of qualified archeologists to work in private industry.

The Survey's websites average 32,000 hits per month. The websites are used by public school teachers, students, and the general public.

The Survey and Arkansas Archeological Society, a state-wide amateur organization, sponsor an archeological training program for amateurs every June. The program is 45 years old and the attendance averages around 100 people each year. The program is critical to the success of the Survey as it provides trained volunteers for emergency archeological projects in the state. This past year the training program was held in Malvern in cooperation with Entergy, Inc. and the Ouachita Technical College.

**UNIVERSITY OF ARKANSAS
ARKANSAS ARCHEOLOGICAL SURVEY**

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

The Survey worked with Representative Roy Ragland, members of the Arkansas Archeological Society, and the general public to pass Act 217 of 2007. This act increases the penalties for people who trespass on private and state land and destroy archeological sites.

FUNDING GOALS FOR 2009-2011 BIENNIUM:

1. Salary Equity: The Survey is grateful for the large increase in funds received for the 2007-2009 biennium. Despite putting 70% of this new money into salaries, the salaries of Survey employees are still well below comparable rates paid by state universities, federal and state agencies, and private industry. In order to attract and keep qualified archeologists additional funds are needed for salaries and this is the number one funding goal for the FY 2009-2011 biennium.

2. Computer Services Program: Funds are needed for additional personnel and salary equity for two existing employees in the Survey's computer services program. Additional help is needed to maintain the existing databases that are accessed by state and federal agencies and to maintain websites used by Arkansas teachers and students.

3. Maintenance Funds: Additional funds are needed to cover the increased cost of utilities and gasoline and for the replacement of low cost computer and research equipment.

4. Sponsored Research Program: The sponsored research program (SRP) provides essential services to the state, but it could provide better service to citizens and state agencies if it wasn't dependent on restricted funds.

JUSTIFICATION AND RATIONALE FOR FUNDING GOALS FOR 2007-2009 BIENNIUM:

1. Salary Equity: Survey salaries remain substantially below market value despite significant increases in FY08. Research Station Archeologists and other PhD-level archeologists in the Coordinating Office are well below the average salaries for comparable positions in history and anthropology at the University of Arkansas – Fayetteville. Survey archeologists have the same

**UNIVERSITY OF ARKANSAS
ARKANSAS ARCHEOLOGICAL SURVEY**

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

teaching, research, and public service responsibilities as faculty at major research institutions. The Survey has to compete with state research institutions for quality archeologists. In 2007, the Survey had only 13 applications for the Research Station Archeologist position at Toltec Mounds Archeological Research Station, and good jobs are scarce for PhDs in archeology.

Survey salaries for MA and BA level archeologists are well below similar positions requiring comparable training and experience at the Arkansas Highway and Transportation Department, the U.S. Forest Service and U.S. Army Corps of Engineers, and private archeological consulting firms. In the last two years the Survey has tried to recruit qualified MA or BA archeologists at three research stations. None were found willing to work for the wages offered. One position remains open, and the other two were filled with people with little experience. The Survey suffers serious setbacks in terms of delayed progress on important projects when we spend time training BA and MA level archeologists who, after gaining valuable experience, leave to take higher paying positions with state and federal agencies and private consulting firms.

An additional \$432,104 is needed to fully fund salary equity for FY09 based on a needs assessment prepared in March, 2008.

2. Computer Services Program: Two permanent, full-time employees work in the computer services program. Both are completely overworked and underpaid compared to university standards. One maintains the essential digital databases and supervises all geophysical remote sensing applications. The other maintains Survey websites and provides the expertise to maintain 90 Survey computers. Two additional people are needed to relieve the workload, and funds are needed for salary equity of the two existing employees.

An additional \$144, 047 is needed to accomplish these goals based on the needs assessment prepared in March, 2008.

3. Maintenance Funds: Additional funds are needed to cover increases in the price of gasoline and utilities. Also, the Survey owns 90 computers and 18 vehicles. The computers are low-cost desktop and portable computers (less than \$2500 each) that are as essential to modern archeology as shovels and trowels. Ideally, computers should be replaced every three to four years. The Survey's 18 vehicles are well-maintained and in good shape, although three have over 100,000 miles on them and one has 93,000 miles. The Survey has relied on special funding and grants to replace computers, and the state motor pool to replace vehicles. Ideally, the Survey should have enough funds in maintenance to replace one-quarter of its computers every year and at least one vehicle each year.

**UNIVERSITY OF ARKANSAS
ARKANSAS ARCHEOLOGICAL SURVEY**

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

Every year the Survey excavates significant archeological sites that are threatened with destruction due to land-leveling, housing subdivisions, or development projects. The Survey relies on unpaid members of the Arkansas Archeological Society who volunteer to help with these excavations. Special analyses, such as radiocarbon dating and faunal and floral identification, are needed to complete reports on these sites. Without such specialized studies, the results of archeological research simply would not be taken seriously. A modest amount of research funds are needed to help with the excavation of these sites and to produce quality reports.

An additional \$143,582 is requested for maintenance funds to cover these expenses. This figure is based on the needs assessment produced in March, 2008.

4. Sponsored Research Program: The sponsored research program (SRP) obtains grants and contracts from state and federal agencies. These are restricted funds, and the archeologists who work for SRP cannot work on other Survey activities where they are desperately needed. Ideally, SRP should be funded from General Revenue Funds. This would allow SRP archeologists to help with the salvage of archeological sites being destroyed, provide educational programs, and help with the backlog of reports and projects the Survey has acquired over the last 40 years. SRP salaries could also be used as match for grants and cost-share projects with federal agencies, which will increase the overall research productivity of the Survey.

Funds are needed for 2.25 fte's. A sum of \$127, 250 is needed for FY09.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS - ARKANSAS ARCHEOLOGICAL SURVEY
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 Non-Classified Salaries	1,460,287	1,454,499	2,031,229	1,668,864	2,092,166	1,711,413
2 Classified Salaries	164,379	158,818	163,583	182,225	168,490	186,871
3 Staff Benefits	422,413	419,463	570,652	481,284	587,771	493,555
4 Maintenance	360,280	355,460	362,289	407,848	369,323	418,246
5 Survey Publications	30,000	30,000	30,900	30,000	31,827	30,000
6 Contingency	91,050					
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$2,528,409	\$2,418,240	\$3,158,652	\$2,770,220	\$3,249,576	\$2,840,084
17 NET LOCAL INCOME	30,000	30,000	30,900	30,000	31,827	30,000
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	2,365,101	2,260,393	2,999,905	2,740,220	3,089,902	2,810,084
20 EDUCATIONAL EXCELLENCE	133,308	127,847	127,847		127,847	
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$2,528,409	\$2,418,240	\$3,158,652	\$2,770,220	\$3,249,576	\$2,840,084

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in :

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS - ARKANSAS ARCHEOLOGICAL SURVEY
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES				
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME				
7 OTHER CASH INCOME: *	30,000	30,000	30,000	30,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	30,000	30,000	30,000	30,000
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$30,000	\$30,000	\$30,000	\$30,000

* Survey Publications

FORM 09-3

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UNIVERSITY OF ARKANSAS CLINTON SCHOOL OF PUBLIC SERVICE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The University of Arkansas Clinton School of Public Service, now in its fourth academic year, is the first graduate school in the nation offering a Master of Public Service (MPS) degree program. The program is unique because a portion of its curriculum is made up of in-the-field, hands-on public service projects. Students complete group, international and individual public service projects for academic credit toward their degree. They also work heavily in the classroom, studying the aspects of public service ranging from communication and ethics, to dynamics of social change and leadership development among other areas. As the student population and field-work opportunities continue to grow, the School plans continued curriculum development surrounding aspects of public service both in the classroom and in the field. Resources will be dedicated to grow the school's faculty base and academic programs. Faculty and staff support are needed for this planned growth.

The MPS program is a full-time graduate program taking two years to complete. Core courses are completed in the first year, with nine hours of elective course-work and three major public service projects completed throughout the two-year program. The School's mission and values differ from those in traditional schools of public administration and public policy. While competency in management, policy assessment and policy formulation is important, the Clinton School's focus is more on building global leadership in issues related to such things as community and economic development, social change to improve the lives of local residents, civic engagement and enhancing the capacity of people to work across disciplinary, racial/ethnic, and geographic boundaries.

The MPS degree requires 36 semester credit hours for graduation. Twenty-one (25) credit hours are required from core and elective courses, with the remainder from three public service field projects:

The **Practicum** is a year-long required field service learning course that seeks to give students the opportunity to apply the skills learned in the classroom. The Practicum, which is taught in multiple sections, with each section involving a partnership with one or more Arkansas organizations, promotes the Clinton School's mission to educate leaders to build and sustain healthy, engaged and vibrant communities in Arkansas and around the world by emphasizing the "practice" of public service by working with community organizations to achieve partner and community objectives. Current Practicum projects are being completed in all four of Arkansas's Congressional districts in the areas of children's mental health, after-school care development, small business support and youth leadership development.

The **International Public Service Project** is designed to provide a practical or "hands-on" experience with placement in a public service agency or organization that is located overseas or carries out international work within the continental United States.

UNIVERSITY OF ARKANSAS CLINTON SCHOOL OF PUBLIC SERVICE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

International projects are designed to allow students to participate in the daily activities of the public service agency, serving as an integral member of the organizational staff. Past Clinton School students have served on all six of the world's inhabited continents.

The **Capstone** program is designed to provide students an opportunity to integrate the knowledge and skills that have been gained from their core and elective courses, plus earlier public service projects in the Practicum and international experience, into a new and more in-depth focus on those professional skills that will be needed in their field of future practice and/or specialization. Specifically, the project taps the knowledge, analytic abilities, writing and presentation skills, and the insights students have acquired through study, observation, and involvement in public service.

The School's curriculum schedule is as follows:

Required Core Courses

Analysis for Decision-making in Public Service	(3 hours)
Leadership in Public Service	(3 hours)
Communication Processes and Conflict Transformation	(3 hours)
Dynamics of Social Change	(3 hours)
Ethical and Legal Dimensions of Public Service	(2 hours)
Professionalism in Public Service	(2 hours)

Electives (9 hours)

Public Service Field Projects

Group Practicum I and II	(5 hours)
International public service project	(3 hours)
Capstone	(3 hours)

UNIVERSITY OF ARKANSAS CLINTON SCHOOL OF PUBLIC SERVICE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

As the Clinton School continues to grow, resources will be dedicated to further development of this curriculum, especially as it relates to the field-based public service projects. The focus for the next biennium is to further develop student projects, public service academic studies and enhance the schools' curriculum. The goal is to grow the Clinton School through continued development of its academic faculty and student population. This goal will also affect the overall reach of the Clinton School's practically-based public service projects and theories with the idea of creating positive social change through "real-world" academics.

To complete this objective of growth and overall practical impact of the Clinton School and its mission, the School plans to increase its student and faculty recruitment efforts as well as dedicate faculty and staff support toward new initiatives in and out of the classroom. Resources will be dedicated to identify and implementing new public service projects, as well as to offering more options for students interested in public service.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS CLINTON SCHOOL OF PUBLIC SERVICE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 Salaries - Unclassified	1,141,450	1,402,755	1,482,923	1,471,977	1,527,411	1,504,187
2 Extra Help Wages	93,674	22,140	21,958	21,795	22,616	22,272
3 Staff Benefits	324,816	366,443	387,272	384,414	398,890	392,825
4 Scholarships	533,660	480,000	476,049	472,535	490,330	482,875
5 Maintenance & Operating	752,620	614,519	639,415	634,697	658,599	648,585
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	500,000					
16 TOTAL UNREST. E&G EXP.	\$3,346,220	\$2,885,857	\$3,007,617	\$2,985,418	\$3,097,846	\$3,050,744
17 NET LOCAL INCOME	542,559	614,200	632,626	632,626	651,605	651,605
18 PRIOR YEAR BALANCE***	539,763					
STATE FUNDS:						
19 GENERAL REVENUE	2,263,898	2,271,657	2,374,991	2,352,792	2,446,241	2,399,139
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$3,346,220	\$2,885,857	\$3,007,617	\$2,985,418	\$3,097,846	\$3,050,744

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in :

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS CLINTON SCHOOL OF PUBLIC SERVICE
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	432,003	484,200	498,726	513,688
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	11,827	11,000	11,000	11,000
7 OTHER CASH INCOME:	98,729	119,000	122,900	126,917
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	542,559	614,200	632,626	651,605
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$542,559	\$614,200	\$632,626	\$651,605

FORM 09-3

Line 7 Other Cash Income comprised of UA Foundation reimbursements and book signings.

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**CRIMINAL JUSTICE INSTITUTE
UNIVERSITY OF ARKANSAS SYSTEM**

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

Mission Statement:

The mission of the Criminal Justice Institute (CJI), a division of the University of Arkansas System, is to enhance the professional and technical effectiveness of Arkansas' criminal justice entities by offering continuing education in the fields of management, forensic sciences, computer applications, and other specialized areas of law enforcement, together with providing research and technical assistance. CJI is committed to continuing and strengthening collaborative efforts with other higher education institutions and criminal justice agencies in order to provide advanced education and professional development for the Arkansas law enforcement community.

Goal:

To achieve its mission, CJI must design, enhance, and implement curriculum in management, forensic sciences, computer applications, and other specialized areas of law enforcement that meet the unique education and training needs of today's Arkansas criminal justice community. CJI is also committed to meeting the technical and informational support needs of this vitally important group.

Objective and Strategies:

Objective: CJI will enhance the knowledge and skills of the Arkansas law enforcement community in management, forensic sciences, computer applications, and other specialized areas of law enforcement, as well as provide needed services that allow Arkansas law enforcement agencies to better serve their constituencies.

Strategies: 1) CJI will enhance the managerial, administrative, and leadership capabilities of Arkansas law enforcement supervisors and executives

**CRIMINAL JUSTICE INSTITUTE
UNIVERSITY OF ARKANSAS SYSTEM**

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

by means of management and leadership curriculum, programs, and public services.

- 2) CJI will ensure that Arkansas law enforcement personnel keep pace with current trends and initiatives in forensic science and computer technology by means of curriculum, programs, and public services. CJI will strive to acquire funding to deliver these courses in locations statewide.
- 3) CJI will provide programs and resources to assist in reducing violence and violence-related behaviors in Arkansas schools. CJI will strive to enhance funding for the School Resource Officer Program to address the need for uniform standards and training statewide, safe school assessments and planning tools that address school safety.
- 4) CJI will address critical issues as they emerge by developing and delivering curriculum, programs, and public services targeted for areas of identified need within Arkansas law enforcement.
- 5) CJI will continue partnering with colleges and universities across the State to expand higher education opportunities for Arkansas law enforcement. Through two unique programs—Crime Scene Investigation and Law Enforcement Administration—law enforcement personnel can now obtain Certificates of Proficiency, Technical Certificates, and Associate of Applied Science Degrees in fields of study specific to the law enforcement profession.

**CRIMINAL JUSTICE INSTITUTE
UNIVERSITY OF ARKANSAS SYSTEM**

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

- 6) CJI will continue to apply for and obtain federal grant funds to assist in providing education, training, research, and technical assistance to the Arkansas criminal justice community.
- 7) CJI will strive to reacquire lost funding to better serve Arkansas law enforcement by reinstating and revising needed services that assist them in their continued efforts to best serve the communities of Arkansas. Services that would be reinstated and revised include a) job analyses and job descriptions, b) policy and procedures manuals, c) supplemental research activities, and d) curriculum and instruction for dispatchers.

UNIVERSITY OF ARKANSAS CLINTON SCHOOL OF PUBLIC SERVICE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

MPS Program: Semester by Semester Progression

Year 1 — Fall Semester:

Required Courses: 11 credit hours

Practicum: 2 credit hours

Year 1 — Spring Semester:

Required Courses: 5 credit hours

Practicum: 3 credit hours

Course Electives: 3 credit hours

Year 1 — Summer:

International Public Service Project: 3 credit hours

Year 2 — Fall Semester:

Capstone: 3 credit hours

Electives: 6 credit hours

Year 2 — Spring Semester:

Continuation of Capstone Project

Commencement

The School also hosts free public programs, guest lectures and community conversations featuring internationally-prominent leaders and timely topics. This series not only enhances the education of Clinton School students, but also provides a venue for the people of Arkansas to engage in intellectual discussions on the issues of the day. The Clinton School Distinguished Lecture Series features a diverse array of programs ranging from senators, congressman, cabinet officials and ambassadors to renowned academics, corporate CEOs, philanthropists, authors and journalists. The school also screens films and hosts book signings.

The presentations of over 150 speakers are posted on the Clinton School web site (www.clintonschool.uasys.edu) making them accessible to Arkansas high school and college students as well as faculty for research projects, papers and curriculum use.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

CRIMINAL JUSTICE INSTITUTE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 Public Service	2,396,606	2,625,637	2,858,471	2,097,064	2,939,725	2,146,116
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	116,372					
16 TOTAL UNREST. E&G EXP.	\$2,512,978	\$2,625,637	\$2,858,471	\$2,097,064	\$2,939,725	\$2,146,116
17 NET LOCAL INCOME	549,480	506,200				
18 PRIOR YEAR BALANCE***		165,096				
STATE FUNDS:						
19 GENERAL REVENUE	1,813,498	1,804,341	2,708,471	1,947,064	2,789,725	1,996,116
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **	150,000	150,000	150,000	150,000	150,000	150,000
24 TOTAL SOURCES OF INCOME	\$2,512,978	\$2,625,637	\$2,858,471	\$2,097,064	\$2,939,725	\$2,146,116

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

**Other State Funds - Special State Asset Forfeiture

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

CRIMINAL JUSTICE INSTITUTE

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES				
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME				
7 OTHER CASH INCOME: *	549,480	506,200		
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	549,480	506,200	0	0
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$549,480	\$506,200	\$0	\$0

* Indirect Cost Recovery

FORM 09-3

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Institution Mission Statement

The mission of the Arkansas School for Mathematics, Sciences and the Arts (ASMSA), is to create, encourage and sustain, throughout the State of Arkansas, an educational community of academically talented students, faculty and staff that pursues knowledge of mathematics, sciences and the arts. To accomplish this mission, ASMSA strives to:

- enhance the future of Arkansas by educating eleventh and twelfth grade students who are academically talented in mathematics, sciences and the arts;
- prepare students for post secondary education by providing innovative learning experiences;
- provide courses and learning opportunities through distance education to improve instruction for students and teachers throughout the State;
- increase the public's awareness of the importance of advanced education in mathematics, sciences and the arts;
- serve as a model for Arkansas schools; and
- serve as a center for teacher education.

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Institutional Goals

Goal One: To provide a challenging academic and residential life program for students who are educationally gifted.

Goal Two: To provide distance education programs in academic areas in which school districts in Arkansas need assistance due to a teacher shortage.

Goal Three: To develop and deliver teacher enhancement opportunities to districts throughout Arkansas.

Goal Four: To provide adequate facilities for the residential and distance education programs through implementation of the campus master plan.

Programs and Definitions

- **Residential Academic Program:**

This program includes all students who reside on campus for the two-year program. ASMSA is responsible, by law, for their residential and academic programs.

Goal One: To provide a comprehensive program that ensures a safe living environment and a comprehensive academic program.

Objective One: Provide residential programming

Strategy One: Continue to improve physical facilities to meet student, staff and faculty needs outside of the classroom.

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Strategy Two: Provide professional development for residential staff members that will ensure the safety and welfare of our residential students.

Strategy Three: Increase safety and health measures to meet or exceed compliance with current safety codes and ADA requirements.

Objective Two: Provide a dynamic and rigorous academic program

Strategy One: Implement revisions that enhance the curricular offerings and allow for additional student research opportunities and individual enhancement.

Strategy Two: Implement a “Tools for Success” program for incoming juniors to increase retention and academic success

Strategy Three: Upgrade technology equipment on a three-year rotation schedule.

Strategy Four: Seek funding for the purchase of biotechnology equipment to enhance the science curriculum.

Strategy Five: Continue to offer enhancement courses to entering students who have deficient mathematical skills.

Strategy Six: Offer summer mathematics, science and humanities programs for students from underrepresented populations and areas of the state.

Strategy Seven: Add a minimum of one new arts course per year.

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- **Distance Education Program**

The Office of Distance Education has grown from serving 228 students during its first year of operation (1998-99) to over 3200 students in AY2008-09.

Goal one: Deliver quality instruction to school districts by use of distance education media in order to provide equitable educational opportunities for all Arkansas students.

Objective One: Provide services requested by school districts.

Strategy One: Continue to gather and analyze curriculum data from school districts on needs.

Strategy Two: Develop new courses as needed.

Strategy Three: Develop standards for course assessment, data analysis, and reporting to the school districts.

Objective Two: Promote distance education.

Strategy One: Attend workshops, conferences, and meetings to promote distance education.

Strategy Two: Work with other institutions and agencies to coordinate distance education efforts to maximize efficiency and cost savings.

Strategy Three: Develop teacher enhancement programs that utilize distance education.

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Objective Three: Promote new methods for the delivery of distance education.

Strategy One: Continue writing and submitting federal grants for distance education.

Strategy Two: Examine new distance education technologies for instructional and cost effectiveness.

- **Teacher Enhancement includes programs that assist Arkansas teachers with maintaining areas of expertise.**

Goal One: To provide professional development and continuing education programs for teachers.

Objective One: Determine professional development needs of Arkansas teachers

Strategy One: Offer professional development workshops linked to the State standards at locations during the school year.

Strategy Two: Offer summer programs for teacher professional development on the ASMSA campus.

Strategy Three: Continue to present at State and National conferences to offer Arkansas teachers the most up-to-date information and techniques for improving instruction.

Strategy Four: Work with State agencies in determining teacher needs in Arkansas.

- **Facilities Enhancement**

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

This program includes the overall development of the campus that impacts the three core areas of the ASMSA mission statement.

Goal One: Implement the campus master plan.

Objective One: To provide adequate facilities for residential student living and instructional needs.

Strategy One: Work with the State to secure funding for construction of facilities to replace residential and instructional buildings that are deemed unsafe or not cost effective to continue to repair.

Strategy Two: Ensure that the existing facilities are brought up to code, are ADA compliant, and reflect the special missions of ASMSA.

Note:

One of our biennial budget goals is to request that a separate line be drafted in the amended Revenue Stabilization Act of 2009 for any general revenues that may be appropriated to the Arkansas School of the Mathematics, Sciences and the Arts Fund. Currently, our general revenue funds flow through the University of Arkansas Fund and this will allow us to administer our funds with greater efficiency.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	9,165,536		8,031,156		8,094,863		8,311,710		8,269,705		8,561,061		8,428,513	
2 CASH	1,577,839		9,440,000		13,965,000		19,425,000		19,425,000		20,690,000		20,690,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$10,743,375	104	\$17,471,156	106	\$22,059,863	123	\$27,736,710	130	\$27,694,705	130	\$29,251,061	130	\$29,118,513	130
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	958,678	9%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	894,581	8%	1,018,481	6%			1,299,035	5%	1,257,030	5%	1,548,386	5%	1,415,838	5%
14 EDUCATIONAL EXCELLENCE TRUST FUND	7,312,277	68%	7,012,675	40%			7,012,675	25%	7,012,675	25%	7,012,675	24%	7,012,675	24%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	1,577,839	15%	9,440,000	54%			19,425,000	70%	19,425,000	70%	20,690,000	71%	20,690,000	71%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$10,743,375	100%	\$17,471,156	100%			\$27,736,710	100%	\$27,694,705	100%	\$29,251,061	100%	\$29,118,513	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$472,144
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$10,781
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$233,721
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$868,390
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$640,749)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	3,787,820	4,012,950	4,148,504	4,156,499	4,242,855	4,220,307
2 ACADEMIC SUPPORT	938,862	997,376	1,047,376	1,047,376	1,057,376	1,047,376
3 STUDENT SERVICES	1,181,840	1,176,000	1,276,000	1,276,000	1,376,000	1,376,000
4 INSTITUTIONAL SUPPORT	1,173,157	1,172,710	1,172,710	1,172,710	1,222,710	1,172,710
5 OPERATION & MAINT OF PLANT	1,405,709	1,672,120	1,772,120	1,722,120	1,772,120	1,722,120
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	1,198,837	0				
16 TOTAL UNREST. E&G EXP.	\$9,686,224	\$9,031,156	\$9,416,710	\$9,374,705	\$9,671,061	\$9,538,513
17 NET LOCAL INCOME	1,500,148	1,000,000	1,105,000	1,105,000	1,110,000	1,110,000
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	894,581	1,018,481	1,299,035	1,257,030	1,548,386	1,415,838
20 EDUCATIONAL EXCELLENCE	7,312,277	7,012,675	7,012,675	7,012,675	7,012,675	7,012,675
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$9,707,006	\$9,031,156	\$9,416,710	\$9,374,705	\$9,671,061	\$9,538,513

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES				
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT	1,329,525	900,000	1,000,000	1,000,000
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	98,588	70,000	75,000	80,000
7 OTHER CASH INCOME:	72,035	30,000	30,000	30,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	1,500,148	1,000,000	1,105,000	1,110,000
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$1,500,148	\$1,000,000	\$1,105,000	\$1,110,000

FORM 09-3

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CMS0000

INSTITUTION ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

APPROPRIATION 2FD

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,894,384	3,930,000	3,930,000	4,000,000	4,100,000		
2 EXTRA HELP WAGES	11,509	15,000	25,000	10,000	10,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,112,983	1,139,700	1,139,700	1,120,000	1,148,000		
5 OPERATING EXPENSES	2,559,843	2,270,163	2,270,163	2,934,705	2,965,513		
6 CONFERENCE FEES & TRAVEL	96,903	65,000	90,000	50,000	50,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	490,796	475,000	475,000	20,000	20,000		
8 CAPITAL OUTLAY	404,625	136,293	165,000	125,000	125,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 CONSTRUCTION	594,495			10,000	10,000		
12							
13							
14 TOTAL APPROPRIATION	\$9,165,536	\$8,031,156	\$8,094,863	\$8,269,705	\$8,428,513	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	958,678						
16 GENERAL REVENUE	894,581	1,018,481		1,257,030	1,415,838		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	7,312,277	7,012,675		7,012,675	7,012,675		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$9,165,536	\$8,031,156		\$8,269,705	\$8,428,513	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CMS0000

INSTITUTION ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

APPROPRIATION 2FD

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,894,384	3,930,000	3,930,000	3,900,000	4,000,000		
2 EXTRA HELP WAGES	11,509	15,000	25,000	10,000	10,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,112,983	1,139,700	1,139,700	1,092,000	1,120,000		
5 OPERATING EXPENSES	2,559,843	2,270,163	2,270,163	2,824,156	2,696,156		
6 CONFERENCE FEES & TRAVEL	96,903	65,000	90,000	50,000	50,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	490,796	475,000	475,000	20,000	20,000		
8 CAPITAL OUTLAY	404,625	136,293	165,000	125,000	125,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 CONSTRUCTION	594,495			10,000	10,000		
12							
13							
14 TOTAL APPROPRIATION	\$9,165,536	\$8,031,156	\$8,094,863	\$8,031,156	\$8,031,156	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	958,678						
16 GENERAL REVENUE	894,581	1,018,481		1,018,481	1,018,481		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	7,312,277	7,012,675		7,012,675	7,012,675		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$9,165,536	\$8,031,156		\$8,031,156	\$8,031,156	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CMS0000

INSTITUTION ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

APPROPRIATION 2FD

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,894,384	3,930,000	3,930,000	3,900,000	4,000,000		
2 EXTRA HELP WAGES	11,509	15,000	25,000	10,000	10,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,112,983	1,139,700	1,139,700	1,092,000	1,120,000		
5 OPERATING EXPENSES	2,559,843	2,270,163	2,270,163	2,752,862	2,624,862		
6 CONFERENCE FEES & TRAVEL	96,903	65,000	90,000	50,000	50,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	490,796	475,000	475,000	20,000	20,000		
8 CAPITAL OUTLAY	404,625	136,293	165,000	125,000	125,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 CONSTRUCTION	594,495			10,000	10,000		
12							
13							
14 TOTAL APPROPRIATION	\$9,165,536	\$8,031,156	\$8,094,863	\$7,959,862	\$7,959,862	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	958,678						
16 GENERAL REVENUE	894,581	1,018,481		947,187	947,187		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	7,312,277	7,012,675		7,012,675	7,012,675		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$9,165,536	\$8,031,156		\$7,959,862	\$7,959,862	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2000300

INSTITUTION ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

APPROPRIATION B03

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	95,074	4,000,000	4,000,000	5,000,000	5,500,000		
2 EXTRA HELP WAGES		50,000	100,000	75,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	111,008	1,000,000	1,000,000	1,400,000	1,540,000		
5 OPERATING EXPENSES	397,459	2,990,000	2,990,000	4,000,000	4,500,000		
6 CONFERENCE FEES & TRAVEL	2,920	125,000	125,000	200,000	250,000		
7 PROFESSIONAL FEES AND SERVICES		275,000	275,000	300,000	350,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	971,378	1,000,000	5,000,000	5,000,000	5,000,000		
10 CAPITAL IMPROVEMENTS							
11 DEBT SERVICE			450,000	450,000	450,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13 CONSTRUCTION				2,000,000	2,000,000		
14 PROMOTIONAL ITEMS			25,000				
15							
16 CONTINGENCY				1,000,000	1,000,000		
17 TOTAL APPROPRIATION	\$1,577,839	\$9,440,000	\$13,965,000	\$19,425,000	\$20,690,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	1,329,525	9,440,000		19,425,000	20,690,000		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS	248,315						
22 TOTAL INCOME	\$1,577,839	\$9,440,000		\$19,425,000	\$20,690,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$0)	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	104	106	123	130	130		
TOBACCO POSITIONS							
EXTRA HELP **	4	4	5	10	10		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>112</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>16</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>17</u>
White Female:	<u>32</u>	Black Female:	<u>4</u>	Other Female:	<u>1</u>	Total Female: <u>37</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Faculty:						
White Male:	<u>27</u>	Black Male:	<u>0</u>	Other Male:	<u>2</u>	Total Male: <u>29</u>
White Female:	<u>19</u>	Black Female:	<u>2</u>	Other Female:	<u>8</u>	Total Female: <u>29</u>
Total White Male:	<u>43</u>	Total Black Male:	<u>1</u>	Total Other Male:	<u>2</u>	Total Male: <u>46</u>
Total White Female:	<u>51</u>	Total Black Female:	<u>6</u>	Total Other Female:	<u>9</u>	Total Female: <u>66</u>
Total White:	<u>94</u>	Total Black:	<u>7</u>	Total Other:	<u>11</u>	Total Employees: <u>112</u>
				Total Minority:	<u>18</u>	

FORM 09-8

UNIVERSITY OF ARKANSAS – FORT SMITH

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

I. INTRODUCTION

The University of Arkansas – Fort Smith respectfully submits the following request for legislative appropriation for the biennium ending June 30, 2011. The request is aligned with the University's strategic planning documents and is consistent with its Board approved institutional role and scope. In brief, the revenue requested is needed to maintain existing programs at a quality level and to provide for new programs needed by the citizens and communities served by UA Fort Smith while bringing University funding to a level that supports actual enrollment.

We note with pride all that has been done since joining the UA System and becoming a four-year university in 2002. Full-time enrollment has increased more than 50 percent. The number of baccalaureate degrees offered has gone from none in 2002 to 32 in 2008. Retention has improved, and in 2008 the institution crossed a major transition hurdle when for the first time it awarded more baccalaureate than two-year degrees and certificates. Full-time faculty ranks have expanded by 50 percent to 216 with an increasing number holding terminal degrees in their discipline. The campus has grown in area by 44 percent and facility square footage by 24 percent.

One thing that has not changed is our affordability. UA Fort Smith maintains the distinction of being the most affordable of the state's four-year institutions and has done so without compromising quality. It has been challenging, however, to preserve affordability and quality as state funding for UA Fort Smith on a per student basis has dropped more than \$700 from \$5,113/student in FY2001, our last year as a community college, to \$4,378 in FY2008. We appreciate the growth in total appropriated funds provided our institution by the legislature, but the growth in dollars has not kept pace with the growth in enrollment.

It is not just about dollars. UA Fort Smith is distinctive for reasons other than affordability alone. For instance:

- We continue to offer one- and two-year certificate and associate degree programs along with a growing inventory of selected baccalaureate degrees that make sense to the region we serve in terms of job opportunity, availability,

UNIVERSITY OF ARKANSAS – FORT SMITH

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

and growth potential.

- We responded to the need to raise education levels by creating degree programs specifically tailored for the non-traditional student who holds an associate of applied science degree. These programs provide an avenue for students to build general education credits on top of on their two-year technical degree to earn a bachelor's degree in nursing, applied science, diagnostic medical sonography, or organizational leadership.
- We have partnered with five community colleges in the award-winning Arkansas Delta Training and Education Consortium (ADTEC) to address critical workforce and economic development needs in eastern Arkansas. As an ADTEC partner, we are pleased to offer a BS in information technology through the University Center on the campus of Mid-South Community College to Arkansans from the Delta. We also partner in the Arkansas Delta Science, Technology, Engineering, and Mathematics Talent Expansion Program (ADSTEP) in delivering the bachelor of applied science program to the citizens of east Arkansas.
- We have a campus built almost 100 percent by local citizens who tax themselves and give generously of their personal resources for the benefit of UA Fort Smith. This is the only four-year institution receiving local assistance, and our citizens are rightfully proud of the award-winning UA Fort Smith campus they have had a hand in building.
- We work upstream with the public schools. Over 400 high school students from 25 school districts in six counties of western Arkansas arrive on the UA Fort Smith campus each afternoon to receive instruction in the Western Arkansas Technical Center (WATC). This ongoing partnership with school superintendents in the region maximizes resource effectiveness, shortens the learning cycle, and promotes workforce education. Most significantly, WATC introduces the possibility of college to a number of students who might otherwise never consider going beyond high school.
- We do not hire faculty into tenure track positions and yet we are still attracting high-caliber faculty. We are finding

UNIVERSITY OF ARKANSAS – FORT SMITH

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

faculty in all disciplines who are confident in their ability and motivated by the chance to make a difference through teaching.

- We are a regional university with a community focus as evidenced by our partnership with the City of Fort Smith and the Chamber of Commerce in the Innovation and Entrepreneurship Center, our ongoing support of an SBA Small Business Development Center, and the Family Enterprise Center developed in response to a need expressed by the business community for a resource that addressed the unique needs of the closely held family business.
- We are also a regional university with an emerging global perspective, having signed this past year exchange agreements with foreign institutions in four countries (Japan, China, Korea, and Thailand). The agreements are aimed at opening doors for the exchange of students and faculty as well as the exchange of fine arts and library resources for the enrichment of all students and the larger community.

A lot has happened. Even so, much work remains and requires a look to the future. That look to the future is contained in the institution's strategic plan, which is summarized below. This plan is the corporate product of faculty, staff, students, administrators, and community stakeholders and it incorporates the foundational assumptions, core convictions, and imperatives that will shape the future of the institution in coming years. The plan builds on the conviction that this institution remains focused on and committed to positively changing lives and communities through education and training. The plan focuses not only on what UA Fort Smith is going to do, but also our preferred future—what we will become.

UA Fort Smith will continue to be a truly unique university that prides itself on its responsiveness to our region and the quality of our graduates. We will measure and publish the results of our efforts and continually seek growth and improvement. UA Fort Smith will demonstrate our successful connections to those we serve and document the success of our students. The entire University community, as well as Fort Smith and the surrounding region, is asked to participate in the efforts that emerge from this plan over the months and years ahead.

UNIVERSITY OF ARKANSAS – FORT SMITH

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

II. MISSION

The mission of the University of Arkansas - Fort Smith is to employ global perspective in creating opportunities for education and training that positively impact the economic development and cultural vitality of the community, the state, and the region.

The UA Fort Smith mission is about positively changing lives through education and training and improving the civic and economic health of the region by means of a more educated populace. It will make a:

- **Contribution to the Individual.** Graduates will possess a broad range of knowledge to thrive in a complex, dynamic environment. Through the University's focus on learning, our students communicate and reason effectively, apply technological skills, possess a knowledge and appreciation of history, culture and shared values, and commit to ethical practice and personal integrity in all aspects of their lives.
- **Contribution to Economic Development.** The University's contributions to economic development are measured in financial growth of the region and by the positive influence of our faculty and graduates in shaping and maintaining healthy, productive organizations and institutions through their leadership and initiative.
- **Contribution to Community and Culture.** The University utilizes and enriches a strong relationship with the community – locally, regionally, and globally – to prepare our students to take responsibility in shaping and maintaining their world, initiating dynamic change to create and improve the sense of place and culture.

UA Fort Smith will address the mission by providing high quality baccalaureate, associate, and certificate programs and a wide range of credit and noncredit educational services for the region. Education and training services designed to meet the workforce education and retraining needs of business, industry, and healthcare throughout the region are an additional responsibility of the institution.

UNIVERSITY OF ARKANSAS – FORT SMITH

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

III. STRATEGIC DIRECTIONS AND OUTCOMES:

The following strategic directions and stated outcomes will guide the efforts of the University of Arkansas - Fort Smith through the next biennium and beyond as we become a results-oriented university that provides each graduate with the knowledge, competencies, and actual experience needed for success in life.

- **STRATEGIC DIRECTION ONE:** UA Fort Smith will place students and their success at the center of its enterprise.
 - The enrollment of viable students will increase by a minimum of three percent in each of the next five years, reaching an enrollment of 9,000 students by 2014.
 - The fall-to-fall retention rate for first-time, full-time freshmen will increase by a minimum of three percent per year so as to meet or exceed the Arkansas public four-year rate by 2014, while raising academic standards.
 - Graduation rates will increase by a minimum of four percent in each of the next five years and will meet and surpass the state average rate for graduation by the year 2014.
 - Each year, each graduate will measurably demonstrate appropriate skills as well as knowledge for the degree he/she has earned.
 - The number of students achieving their stated educational goals will increase each year by two percent or more. By 2014, those in business, education, health sciences, and technical areas will be placed in jobs related to their majors or graduate school at an 80 percent placement rate within one year of graduation.

- **STRATEGIC DIRECTION TWO:** UA Fort Smith will achieve academic excellence and will enjoy a regional reputation for quality in all areas.
 - UA Fort Smith will attract and develop high-quality faculty and staff with appropriate credentials, experience, and a commitment to our vision.

UNIVERSITY OF ARKANSAS – FORT SMITH

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- National accreditation will be earned and maintained by every program for which national accreditation and standards exist.
- Student learning with qualitative and quantitative improvements will be increased in each program each year and documented by means of measured outcomes.
- The average ACT score for first-time, full-time freshmen will be a 23 composite by 2014.
- Technology in instruction and campus operations will be enhanced to support increased expectations for and accomplishments by students.
- UA Fort Smith will continue to develop as a distinctive university known for our accomplishments and the fact that the institution operates within a specified structure of being the very best in everything we do.

➤ **STRATEGIC DIRECTION THREE:** UA Fort Smith will actively engage regional, national, and global communities.

- UA Fort Smith faculty and staff will develop and use community connections to create “hands-on, real-life experiences” for each student each year in each major.
- Regional economic development efforts and community enrichment through the arts will be enhanced through expanded and documented collaboration with other regional partners utilizing the special talents of our faculty and staff.
- Community/workforce development efforts and effectiveness will be measurably increased so as to enhance the national and global competitiveness of the region’s enterprises.
- UA Fort Smith will collaborate with a wide variety of community businesses and organizations to create the “quality of place” desired by our region.
- UA Fort Smith will enrich community and student life by providing access to, and participation in, first-class programs in the performing and visual arts.

UNIVERSITY OF ARKANSAS – FORT SMITH

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- **STRATEGIC DIRECTION FOUR:** UA Fort Smith will demonstrate good stewardship in the development and use of resources to further the mission of the University.
 - UA Fort Smith will build and present a strong case for increased funding that results, by 2011, in state funding equal to that received on average by Arkansas' public four-year institutions as measured by state dollars per full-time equivalent students.
 - UA Fort Smith will initiate and complete a successful major gifts campaign to build the endowment necessary to be a distinctive university.
 - UA Fort Smith will explore additional sources of funding.
 - UA Fort Smith will develop plans to build additional facilities to meet identified needs.

Detailed and measurable strategies have been identified.

IV. OBJECTIVES FOR BIENNIAL APPROPRIATION REQUEST

Fulfilling our purpose and achieving our mission to raise the education level of the citizens and communities we serve requires more than status quo funding. Achievement of the objectives identified above requires resources. We will energetically seek private funds and grant support, but **need the State of Arkansas to become our strongest partner in the enterprise.** Our request is not internally focused, but responds to the needs of those we serve. We want to:

- Raise the education levels of our citizens.
- Be a force for economic development.
- Be quick on our feet in bringing new learning opportunities to the street.

UNIVERSITY OF ARKANSAS – FORT SMITH

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

It is with these ideals in mind that we respectfully request your support of the funding recommendation for the University of Arkansas - Fort Smith and for all higher education in the state. Arkansas will be better for it.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS - FORT SMITH

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	23,298,842		22,795,759		23,785,422		28,077,039		24,832,586		29,025,099		25,594,035	
2 CASH	36,484,555		52,878,139		147,555,289		154,849,900		154,849,900		156,308,031		156,308,031	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$59,783,397	780	\$75,673,898	833	\$171,340,711	924	\$182,926,939	1,110	\$179,682,486	1,024	\$185,333,130	1,110	\$181,902,066	1,024
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	147,857	0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	19,908,364	33%	19,786,844	26%			25,068,124	14%	21,823,671	12%	26,016,184	14%	22,585,120	12%
14 EDUCATIONAL EXCELLENCE TRUST FUND	3,137,463	5%	3,008,915	4%			3,008,915	2%	3,008,915	2%	3,008,915	2%	3,008,915	2%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	34,917,099	58%	51,624,897	68%			154,849,900	85%	154,849,900	86%	156,308,031	84%	156,308,031	86%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	1,419,599	2%	1,253,242	2%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS**	253,015	0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$59,783,397	100%	\$75,673,898	100%			\$182,926,939	100%	\$179,682,486	100%	\$185,333,130	100%	\$181,902,066	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$3,237,485
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,416,795
INVENTORIES	\$64,454
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$675,771
INSURANCE DEDUCTIBLES	\$80,000
MAJOR CRITICAL SYSTEMS FAILURES	\$0
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$4,065,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	\$0
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$3,064,535)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** Tuition Adjustment funds

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS - FORT SMITH
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	18,616,317	19,856,190	21,643,247	22,125,847
2 RESEARCH				
3 PUBLIC SERVICE	380,936	278,029	297,491	304,124
4 ACADEMIC SUPPORT	4,856,351	6,751,015	5,803,683	5,933,093
5 STUDENT SERVICES	3,017,618	3,531,032	3,848,825	3,934,645
6 INSTITUTIONAL SUPPORT	7,364,132	8,153,531	7,732,338	7,904,753
7 PHYSICAL PLANT M&O	5,338,370	5,204,632	5,712,056	5,839,423
8 SCHOLARSHIPS & FELLOWSHIPS	2,778,475	2,562,657	2,793,296	2,855,581
9				
10				
11				
12				
13 MANDATORY TRANSFERS	4,066,315	3,862,696	5,126,120	5,137,014
14 AUXILIARY TRANSFERS				
15 NON-MANDATORY TRANSFERS	3,246,921	1,232,445	627,199	641,184
16 TOTAL UNREST. E&G EXP.	\$49,665,435	\$51,432,227	\$53,584,255	\$54,675,664
17 NET LOCAL INCOME	26,634,662	28,636,468	28,751,669	29,081,629
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	19,908,364	19,786,844	21,823,671	22,585,120
20 EDUCATIONAL EXCELLENCE	3,137,463	3,008,915	3,008,915	3,008,915
21 WORKFORCE 2000				
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	253,015			
24 TOTAL SOURCES OF INCOME	\$49,933,504	\$51,432,227	\$53,584,255	\$54,675,664

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Amount reported on Line 23 represents Tuition Adjustment funds.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS - FORT SMITH
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	18,755,641	21,087,262	21,403,572	21,724,624
2 ALL OTHER FEES	95,920	72,879	73,243	73,610
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	711,698	1,221,512	854,037	862,578
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	25,821	32,322	32,322	32,322
6 INVESTMENT INCOME	325,721	132,810	146,570	146,570
7 OTHER CASH INCOME*	6,719,861	6,089,683	6,241,925	6,241,925
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	26,634,662	28,636,468	28,751,669	29,081,629
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$26,634,662	\$28,636,468	\$28,751,669	\$29,081,629

FORM 09-3

*Line 7:

County Sales Tax	5,700,152	5,300,000	5,664,000	5,664,000
Grants and Contracts	427,834	485,352	242,676	242,676
Other Miscellaneous Income	591,875	304,331	335,249	335,249
TOTAL Other Cash Income	6,719,861	6,089,683	6,241,925	6,241,925

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CWW0000

INSTITUTION UNIVERSITY OF ARKANSAS - FORT SMITH

APPROPRIATION 568

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	16,461,127	16,045,587	16,950,000	17,272,165	17,617,608		
2 EXTRA HELP WAGES	675,000	610,000	695,250	701,500	708,515		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	3,587,066	3,646,225	3,646,225	3,799,876	3,875,874		
5 OPERATING EXPENSES	2,558,189	2,476,487	2,476,487	3,041,585	3,374,578		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	17,460	17,460	17,460	17,460	17,460		
11							
12							
13							
14 TOTAL APPROPRIATION	\$23,298,842	\$22,795,759	\$23,785,422	\$24,832,586	\$25,594,035	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	19,908,364	19,786,844		21,823,671	22,585,120		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	3,137,463	3,008,915		3,008,915	3,008,915		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	253,015						
22 TOTAL INCOME	\$23,298,842	\$22,795,759		\$24,832,586	\$25,594,035	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Tuition Adjustment Funds

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CWW0000

INSTITUTION UNIVERSITY OF ARKANSAS - FORT SMITH

APPROPRIATION 568

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	16,461,127	16,045,587	16,950,000	16,045,587	16,045,587		
2 EXTRA HELP WAGES	675,000	610,000	695,250	610,000	610,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	3,587,066	3,646,225	3,646,225	3,646,225	3,646,225		
5 OPERATING EXPENSES	2,558,189	2,476,487	2,476,487	2,476,487	2,476,487		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	17,460	17,460	17,460	17,460	17,460		
11							
12							
13							
14 TOTAL APPROPRIATION	\$23,298,842	\$22,795,759	\$23,785,422	\$22,795,759	\$22,795,759	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	19,908,364	19,786,844		19,786,844	19,786,844		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	3,137,463	3,008,915		3,008,915	3,008,915		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	253,015						
22 TOTAL INCOME	\$23,298,842	\$22,795,759		\$22,795,759	\$22,795,759	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Tuition Adjustment Funds

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY- 7% REDUCTION
2009-11 BIENNIUM**

FUND CWW0000

INSTITUTION UNIVERSITY OF ARKANSAS - FORT SMITH

APPROPRIATION 568

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	16,461,127	16,045,587	16,950,000	15,267,130	15,267,130		
2 EXTRA HELP WAGES	675,000	610,000	695,250	510,000	510,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	3,587,066	3,646,225	3,646,225	3,402,225	3,402,225		
5 OPERATING EXPENSES	2,558,189	2,476,487	2,476,487	2,231,325	2,231,325		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	17,460	17,460	17,460	0	0		
11							
12							
13							
14 TOTAL APPROPRIATION	\$23,298,842	\$22,795,759	\$23,785,422	\$21,410,680	\$21,410,680	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	19,908,364	19,786,844		18,401,765	18,401,765		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	3,137,463	3,008,915		3,008,915	3,008,915		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	253,015						
22 TOTAL INCOME	\$23,298,842	\$22,795,759		\$21,410,680	\$21,410,680	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Tuition Adjustment Funds

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FORMULA WITHOUT REVISIONS
2009-11 BIENNIUM**

FUND CWW0000

INSTITUTION UNIVERSITY OF ARKANSAS - FORT SMITH

APPROPRIATION 568

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	16,461,127	16,045,587	16,950,000	17,272,165	17,617,608		
2 EXTRA HELP WAGES	675,000	610,000	695,250	701,500	708,515		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	3,587,066	3,646,225	3,646,225	3,799,876	3,875,874		
5 OPERATING EXPENSES	2,558,189	2,476,487	2,476,487	3,043,763	3,377,921		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	17,460	17,460	17,460	17,460	17,460		
11							
12							
13							
14 TOTAL APPROPRIATION	\$23,298,842	\$22,795,759	\$23,785,422	\$24,834,764	\$25,597,378	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	19,908,364	19,786,844		21,825,849	22,588,463		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	3,137,463	3,008,915		3,008,915	3,008,915		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	253,015						
22 TOTAL INCOME	\$23,298,842	\$22,795,759		\$24,834,764	\$25,597,378	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Tuition Adjustment Funds

FORM 09-4

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2160000

INSTITUTION UNIVERSITY OF ARKANSAS - FORT SMITH

APPROPRIATION B12

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /		LEGISLATIVE RECOMMENDATION	
	2007-08	2008-09	2008-09	AHECB RECOMMENDATION	AHECB RECOMMENDATION	2009-10	2010-11
1 REGULAR SALARIES	9,181,436	9,990,508	22,454,470	23,577,194	23,812,965		
2 EXTRA HELP WAGES	837,977	889,876	4,091,326	4,295,892	4,338,851		
3 OVERTIME	212,404	233,024	763,518	801,694	809,711		
4 PERSONAL SERVICES MATCHING	3,883,825	4,118,016	9,703,679	10,188,863	10,290,752		
5 OPERATING EXPENSES	10,704,268	11,239,481	22,599,757	23,729,745	23,967,042		
6 CONFERENCE FEES & TRAVEL	488,819	513,260	952,034	999,636	1,009,632		
7 PROFESSIONAL FEES AND SERVICES	570,347	598,864	4,084,019	4,288,220	4,331,102		
8 DATA PROCESSING		125,000	1,519,962	1,595,960	1,611,920		
9 CAPITAL OUTLAY	4,952,295	5,199,910	31,904,470	33,499,694	33,834,690		
10 CAPITAL IMPROVEMENTS		8,797,933	8,799,909	9,239,904	9,332,303		
11 DEBT SERVICE		5,134,832	9,723,118	10,126,120	10,137,014		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	5,583,271	5,862,435	30,784,027	32,323,228	32,646,461		
13 PROMOTIONAL ITEMS	69,913	175,000	175,000	183,750	185,588		
14							
15							
16							
17 TOTAL APPROPRIATION	\$36,484,555	\$52,878,139	\$147,555,289	\$154,849,900	\$156,308,031	\$0	\$0
18 PRIOR YEAR FUND BALANCE***	147,857						
19 LOCAL CASH FUNDS	34,917,099	51,624,897		154,849,900	156,308,031		
20 FEDERAL CASH FUNDS	1,419,599	1,253,242					
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$36,484,555	\$52,878,139		\$154,849,900	\$156,308,031	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
	2007-08	2008-09	2007-09	2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	780	833	924	1,110	1,024		
TOBACCO POSITIONS							
EXTRA HELP **	224	250	910	910	910		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS - FORT SMITH
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	1,394,358	2,005,566		(611,208)	1,701,687	2,443,530		(741,843)
2 RESIDENCE HALL	2,152,610	1,450,686	1,120,275	(418,351)	2,325,074	901,419	1,272,135	151,520
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	496,494	561,743		(65,249)	25,000	25,000		0
6 COLLEGE UNION		59,169		(59,169)		101,675		(101,675)
7 BOOKSTORE	3,275,218	2,766,820		508,398	3,530,000	3,273,371		256,629
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	2,019,457	1,333,694		685,763	2,310,044	1,464,081		845,963
9 STUDENT HEALTH SERVICES				0				0
10 OTHER	132,480	-12,230		144,710	81,000	30,000		51,000
11 SUBTOTAL	\$9,470,617	\$8,165,448	\$1,120,275	\$184,894	\$9,972,805	\$8,239,076	\$1,272,135	\$461,594
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	(110,297)			(110,297)	(461,594)			(461,594)
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$9,360,320	\$8,165,448	\$1,120,275	\$74,597	\$9,511,211	\$8,239,076	\$1,272,135	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

Line 10:

Interest Earned	119,317	81,000
Recovery of Bad Debt & Other Misc Income	13,163	
Allowance for Doubtful Accounts Adjustment	(12,230)	30,000

Line 13:

Transfers to plant funds for capital expenditures	(110,297)	(461,594)
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**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS - FORT SMITH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>506</u>
						(As of November 1, 2007)
Nonclassified Administrative Employees:						
White Male:	<u>13</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>13</u>
White Female:	<u>13</u>	Black Female:	<u>2</u>	Other Female:	<u>0</u>	Total Female: <u>15</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>69</u>	Black Male:	<u>6</u>	Other Male:	<u>9</u>	Total Male: <u>84</u>
White Female:	<u>160</u>	Black Female:	<u>8</u>	Other Female:	<u>23</u>	Total Female: <u>191</u>
Faculty:						
White Male:	<u>98</u>	Black Male:	<u>2</u>	Other Male:	<u>5</u>	Total Male: <u>105</u>
White Female:	<u>87</u>	Black Female:	<u>2</u>	Other Female:	<u>9</u>	Total Female: <u>98</u>
Total White Male:	<u>180</u>	Total Black Male:	<u>8</u>	Total Other Male:	<u>14</u>	Total Male: <u>202</u>
Total White Female:	<u>260</u>	Total Black Female:	<u>12</u>	Total Other Female:	<u>32</u>	Total Female: <u>304</u>
Total White:	<u>440</u>	Total Black:	<u>20</u>	Total Other:	<u>46</u>	Total Employees: <u>506</u>
				Total Minority:	<u>66</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas – Fort Smith
June 30, 2007

<i>Finding:</i>	No findings noted
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UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

In 2004-2006, UALR conducted an extensive strategic planning process that resulted in a strong vision for the future of UALR. It concluded with a set of goals, objectives, and strategies as a basis for implementation of the vision. Goals One through Five are directed at the core mission of the university—teaching, research, and public service. Goals Six through Eight address the means for accomplishing Goals One through Five.

The non-formula program enhancement activities in public service and research are primarily directed at goal one, objective three, and goals three, four, and five.

Specific strategies related to economic development and other critical state needs are included.

GOAL ONE *UALR will provide programs of study that will educate students to live, work, and lead in the complex, technological, diverse world of the 21st Century.*

OBJECTIVE 1: The university will ensure the quality of its educational programs.

OBJECTIVE 2: The university, in accord with its mission, will graduate students who understand the roles they can play to make a difference in society.

OBJECTIVE 3: The university will give priority to new academic programs which promise the greatest impact on meeting the needs of Arkansas in such areas as economic development, health care, education, and social welfare.

STRATEGIES:

- The university will develop a process to evaluate new program proposals using the categories of need, demand, institutional mission, resource requirements, and duplication.
- The university will expand engineering offerings as CyberCollege programs in

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Systems Engineering and in Information Science mature.

- The university will consider additions of programs in such areas of emphasis as the Life Sciences (healthcare, biotechnology, toxicology, etc.), automotive industry engineering support, non-profit management, hotel and restaurant management, and innovative entrepreneurship.

OBJECTIVE 4: The university will increase the number of certified, K-12 teachers it graduates by 40 percent in five years.

OBJECTIVE 5: The university will increase the number of nurses it graduates by 100 percent in five years.

OBJECTIVE 6: The university will expand its cultural programs to contribute to the quality of life in central Arkansas.

OBJECTIVE 7: The university will increase the number of baccalaureate degree graduates by 20 percent in five years.

OBJECTIVE 8: The university will be the high-tech campus in Arkansas where relevant technology is prominently available and used extensively by students, faculty, and staff.

GOAL TWO *UALR will provide a student-centered educational environment.*

OBJECTIVE 1: The university will organize its operations and shape its practices, policies, and procedures to be as student-centered as possible, as evidenced by increased student satisfaction and success.

OBJECTIVE 2: The university will strengthen the sense of campus community through expanded on-campus student housing, specific academic offerings, strengthened extracurricular programs, and selected faculty activities.

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

OBJECTIVE 3: The university will implement research-based strategies for increasing persistence (retention) and graduation rates of UALR undergraduate students by 20 percent in five years.

GOAL THREE *UALR will continue to expand its graduate offerings to address regional and state needs.*

OBJECTIVE: The university will expand graduate offerings, particularly those that address regional and state needs and those that contribute significantly to the economic development of the state.

STRATEGIES:

- The university will develop a process to evaluate new graduate program proposals using the categories of need, demand, institutional mission, resource requirements, and duplication.
- The university will implement proposals for three joint doctoral programs, one needed in the state as a result of changes in professional licensure standards and two in response to the application of information technology to medical research and to the burgeoning health-care industry:
 - a. Ph.D. in Communication Sciences (with UAMS and UCA)
 - b. Au.D. (Audiology) (with UAMS)
 - c. Ph.D. in Bioinformatics (with UAMS)
- UALR will explore adding a material science track to the Ph.D. in Applied Science.
- UALR will explore adding a Ph.D. or graduate-level certificate in technical writing.
- UALR will continue to propose masters and doctoral-level programs which respond to demonstrated regional and state needs.
- UALR will initiate certificate programs to meet the varying, limited-scope,

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educational needs of Arkansas citizens.

- UALR will maximize other opportunities with the health care community and UAMS, including the addition of a public health law concentration in the J.D. curriculum of the William H. Bowen School of Law.

GOAL FOUR *UALR will expand its research capabilities to support UALR's academic mission and to strengthen regional and state economic development plans.*

OBJECTIVE: The university will increase its commitment to research.

STRATEGIES:

- The university will build upon the nanotechnology infrastructure funded by the governor and General Assembly to strengthen research and development activities at UALR and will include other universities as partners to support and expand technology-based businesses in Arkansas.
- The university will increase both the number of grant submissions and the dollar amount of grant awards by 25 percent in five years.
- UALR will build on its strong foundation in graduate programming and research by creating the position of Vice Provost for Research and Dean of the Graduate School and reorganizing the graduate and research programs to meet the institution's graduate and research goals.
- The university will consider adoption of an incentive policy that would permit faculty members who apply for and win external grants to supplement their salaries.
- The university will reevaluate its management of intellectual property, patents, and copyright.

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- The university will explore applying for accreditation by the Association for the Accreditation of Human Research Protection Programs in order to ensure that the institution has the process and procedures in place to support the sophisticated level of research which its faculty and students undertake.
- The university will work to find ways to provide research start-up funds for new faculty as needed.
- The university will give a high priority to support for the Ottenheimer Library for the purchase of materials, periodicals, and technology to support faculty and student research.
- The university will strengthen the Archives and Special Collections unit of the Ottenheimer Library as well as the Sequoyah Research Center in order to provide increased access and use of their unique holdings.
- The university will increase the number of graduate assistants and their level of pay.
- The university will implement an initiative to create endowed graduate student stipends in all graduate programs.
- The university will increase opportunities for students, both undergraduate and graduate, to participate in research.
- The university will ensure that its research focus, codified in documents defining faculty roles and evaluation, is broadly defined to value all forms of scholarship defined by Boyer (discovery, integration, application, and teaching), thus achieving a resonance with the institution's traditional and continuing commitments to teaching and community engagement.

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

GOAL FIVE *UALR will provide exceptional service through partnerships and outreach activities.*

OBJECTIVE 1: The university will build mutually beneficial partnerships with community institutions and organizations.

STRATEGIES:

- The university will offer joint degree programs with UAMS, will provide support to the research and development (R&D) efforts of UAMS, and will develop research programs complementary to those of UAMS.
- The university will work to expand the mutually beneficial relationship with its neighbor, the University of Arkansas Cooperative Extension Service, beginning with a Memorandum of Understanding that will lead to a sharing of resources in as many areas as feasible, such as the fitness center, computing services, library, public safety, and a greenhouse.
- The university will expand its relationships with Pulaski Technical College, the Clinton Presidential Library and the UA Clinton School of Public Service, Arkansas Children's Hospital, Winrock International, Heifer International, Central Arkansas Libraries, public schools, and area cultural organizations.
- The university will strengthen its ties with the Arkansas Department of Economic Development, Arkansas Capital Corporation, and other organizations and state agencies working to speed the economic development of the state and region.

OBJECTIVE 2: The university will continue to offer its services as an honest broker and a neutral convener in efforts to address community issues and problems.

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

STRATEGIES:

- The university will strengthen its mediation and conflict resolution capabilities in order to play its role as honest broker and neutral convener.
- The university will strengthen its capacity to assist governmental units striving to accommodate a larger citizen voice in decision making.
- The university will provide leadership in regard to race relations through an annual survey of racial attitudes in the community as the cornerstone of widening efforts to address issues of race.
- The university will host an annual summit focused on regionalism as the cornerstone of widening efforts to speed the development of cooperative approaches to issues within the central Arkansas region.

OBJECTIVE 3: The university will offer its services to the community through campus units which have community-focused missions.

STRATEGIES:

- The university will enhance processes to allow better accessibility of its intellectual resources by the community through such bridging organizations on campus as the Institute of Government, the Institute for Economic Advancement, the Arkansas Small Business Development Center, the Law Clinic, and the Office of Community Engagement.
- The university will create a directory of programs focused on community needs for use by organizations needing information about UALR.
- The university will move proposals forward for the following centers:
 - a. Center for Stuttering Research and Treatment;
 - b. Center for Nonprofit Organizations;
 - c. Center for Public Health Law;

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- d. Center for Reading Recovery;
- e. Center for Presidential Studies.
- The university views four proposed centers—Entrepreneurial Studies, Educational Policy, Public Conflict Resolution, and Mathematics Recovery (in conjunction with the Center for Reading Recovery)—as on a "watch list" and will determine with study whether or not to move them forward.
- The William H. Bowen School of Law will consider the creation of two institutes: The Arkansas Law Institute and the Appellate Practice Institute.
- The university will propose new centers and institutes in response to demonstrated regional and state needs.

OBJECTIVE 4: The university will be an integral player in the cultural life of central Arkansas.

OBJECTIVE 5: The university will be a leader in efforts to revitalize the University District.

GOAL SIX *UALR will support and strengthen its human resources.*

OBJECTIVE 1: The university will support faculty, its key human resource, by providing expanded professional development opportunities.

OBJECTIVE 2: The university will reward the faculty appropriately as evidenced by faculty salaries at or above Southern Regional Education Board averages.

OBJECTIVE 3: The university will continue to provide professional development opportunities and to reward staff appropriately as evidenced by salaries at the appropriate market rate and by the satisfaction level of staff.

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

GOAL SEVEN *UALR will provide the institutional infrastructure necessary to achieve its educational mission.*

OBJECTIVE 1: The university will be a model of responsible stewardship of the physical resources of the campus.

OBJECTIVE 2: The university will continue to expand the information technology resources of the campus to ensure effective and efficient use of technology.

OBJECTIVE 3: The university will consistently engage in benchmarking and in process improvement efforts to make the functioning of the institution more efficient and user-friendly.

GOAL EIGHT *UALR will develop a strategy to enhance resources to accomplish its mission.*

OBJECTIVE 1: The university will develop a funding strategy that will align potential sources—such as internal reallocations, state appropriations, tuition, fees, grants, contracts, foundation awards, federal earmarks, private donations, local tax support—with appropriate goals.

OBJECTIVE 2: The university will vigorously communicate who it is and what it does for the people of Arkansas in order to increase understanding and support at local, state, and Federal levels.

NEW PROGRAMS/CENTERS OF EXCELLENCE

UALR has eliminated the Graduate certificate in Orientation and Mobility for the Blind, the Bachelor of Arts in Radio, TV and Film, and the Bachelor of Arts in journalism. The dollars committed to these programs have been reallocated to new programs in the same departments and colleges.

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

UALR has established its **Nanotechnology Center** as a Center of Excellence. The Center's remarkable research record for the short time it has been operational portends an exceptional future and a significant contribution to the economic future of Arkansas. The Center's collaboration with business and industry and the availability of its research equipment to other colleges and universities through the Affiliate Scientists Program have leveraged the state's investment. The excellence of the UALR scientists and the equipment in the center has been confirmed by the high level of interest and visits by scientists around the country and the world. Continuation of this remarkable early success will be dependent on receiving operational funding in the next biennium.

PERFORMANCE MEASURES

In the strategic plan, UALR has set some ambitious goals including increasing the number of teachers and nurses to address critical state needs. We proposed in 2003-2004 that we increase the number of Nursing graduates by 100% in five years. In 2003-04 we graduated 64 nurses. In 2007 we graduated 107. We are on target to meet this goal. In 2003-2004 we set a goal of increasing the teacher graduates by 40% in five years. We have progressed from 84 in 2003- 2004 to 115 in 2007. We are on track for meeting this goal.

There were three goals related to retention, graduation and number of bachelor's degrees on which we proposed a 20% increase over five years. We are making slower progress on these:

- Number of baccalaureate graduates from 1020 to 1034
- Retention rate from 68.7% to 69.6%

We are in the process of focusing on the retention/graduation issues with six initiatives:

1. Mandatory new student orientation.
2. Required First Year Experience course.

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

3. Enforcement of policy on developmental courses.
4. Mid-term grade in freshman and sophomore courses.
5. Early declaration of major.
6. Strengthened student advising.

We believe over the long term these initiatives will yield some significant improvements.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	65,021,768		64,898,210		67,501,285		83,630,115		71,902,175		85,994,610		74,134,102	
2 CASH	53,304,326		371,200,000		371,200,000		213,217,300		213,217,300		213,217,300		213,217,300	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$118,326,094	1,865	\$436,098,210	1,867	\$438,701,285	2,283	\$296,847,415	2,203	\$285,119,475	2,198	\$299,211,910	2,203	\$287,351,402	2,198
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	59,116,721	50%	58,883,401	14%			77,615,306	26%	65,887,366	23%	79,979,801	27%	68,119,293	24%
14 EDUCATIONAL EXCELLENCE TRUST FUND	5,437,598	5%	5,214,809	1%			5,214,809	2%	5,214,809	2%	5,214,809	2%	5,214,809	2%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	53,304,326	45%	371,200,000	85%			213,217,300	72%	213,217,300	75%	213,217,300	71%	213,217,300	74%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS	486,034	0%	800,000	0%			800,000	0%	800,000	0%	800,000	0%	800,000	0%
21 TOTAL INCOME	\$118,344,679	100%	\$436,098,210	100%			\$296,847,415	100%	\$285,119,475	100%	\$299,211,910	100%	\$287,351,402	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$18,585)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$9,087,657
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$6,548,325
INVENTORIES	\$124,406
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$14,857,379
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$12,492,453)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	50,050,212	53,301,794	55,770,473	56,683,697
2 RESEARCH	2,433,399	2,608,756	2,729,581	2,774,277
3 PUBLIC SERVICE	510,474	352,441	368,764	374,803
4 ACADEMIC SUPPORT	13,697,869	14,531,246	15,204,262	15,453,227
5 STUDENT SERVICES	5,558,362	6,086,493	6,368,390	6,472,670
6 INSTITUTIONAL SUPPORT	11,098,897	10,775,246	11,274,303	11,458,916
7 PHYSICAL PLANT M&O	10,035,888	9,263,011	9,692,028	9,850,732
8 SCHOLARSHIPS & FELLOWSHIPS	8,673,203	7,887,950	8,253,281	8,388,426
9 OTHER ENTITY - LAW	9,099,384	9,075,235	9,024,714	9,172,491
10				
11				
12				
13 MANDATORY TRANSFERS	3,668,405	3,790,094	3,965,633	4,030,569
14 AUXILIARY TRANSFERS	2,061,245	1,690,838	1,769,149	1,798,119
15 NON-MANDATORY TRANSFERS	3,353,758	1,468,713	1,536,737	1,561,900
16 TOTAL UNREST. E&G EXP.	\$120,241,096	\$120,831,817	\$125,957,315	\$128,019,827
17 NET LOCAL INCOME	58,613,504	59,839,764	59,866,142	59,866,142
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	55,582,310	55,327,244	60,876,364	62,938,876
20 EDUCATIONAL EXCELLENCE	5,437,598	5,214,809	5,214,809	5,214,809
21 WORKFORCE 2000				
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	686,034	450,000		
24 TOTAL SOURCES OF INCOME	\$120,319,446	\$120,831,817	\$125,957,315	\$128,019,827

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in .

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Other State Funds Actual include:

UALR - Law School Legal Education (CEA0100)
AR Strive appropriation (Fund CEA0000)

**Other State Funds Budgeted include:

UALR - Law School Legal Education (CEA0100)

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK - RAPS/NANOTECHNOLOGY
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	328,338					
2 RESEARCH	767,515	915,942	1,545,058	961,954	1,572,868	995,692
3 PUBLIC SERVICE	2,313,465	2,666,593	3,274,302	2,800,548	3,365,331	2,898,771
4 ACADEMIC SUPPORT	180,284					
5 NANOTECHNOLOGY/RESEARCH	3,315,063	2,683,251	2,098,500	1,248,500	2,161,455	1,285,954
6 NANOTECHNOLOGY/SCHOLARSH	11,664					
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS	85,066					
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	13,516					
16 TOTAL UNREST. E&G EXP.	\$7,014,911	\$6,265,786	\$6,917,860	\$5,011,002	\$7,099,654	\$5,180,417
17 NET LOCAL INCOME	1,839,495	26,378	2,218		2,285	
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	3,534,411	3,556,157	6,915,642	5,011,002	7,097,369	5,180,417
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **	1,641,005	2,683,251				
24 TOTAL SOURCES OF INCOME	\$7,014,911	\$6,265,786	\$6,917,860	\$5,011,002	\$7,099,654	\$5,180,417

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Other State Funds include GIF (2005 appropriation for Nano center) and \$1.6 million from ASTA

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	40,347,813	42,747,523	42,747,523	42,747,523
2 ALL OTHER FEES	1,484,408	1,546,172	1,546,172	1,546,172
3 OFF-CAMPUS CREDIT	12,274,437	12,197,602	12,197,602	12,197,602
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	1,213,201	948,916	948,916	948,916
6 INVESTMENT INCOME	986,966	750,000	750,000	750,000
7 OTHER CASH INCOME:**	2,459,777	1,675,929	1,675,929	1,675,929
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	58,766,602	59,866,142	59,866,142	59,866,142
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$58,766,602	\$59,866,142	\$59,866,142	\$59,866,142

FORM 09-3

**Sources of Other Cash Income:
Indirect Cost Recovery
Gifts
Parking Income & Violations
Contract Income

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CEA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION 297

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	54,078,428	53,735,537	54,859,224	59,607,180	61,478,275		
2 EXTRA HELP WAGES			1,192,153				
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	10,460,819	10,362,673	10,649,908	11,494,995	11,855,827		
5 OPERATING EXPENSES	373,544	800,000	800,000	800,000	800,000		
6 CONFERENCE FEES & TRAVEL	108,977						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 CONTINGENCY							
12							
13							
14 TOTAL APPROPRIATION	\$65,021,768	\$64,898,210	\$67,501,285	\$71,902,175	\$74,134,102	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	59,116,721	58,883,401		65,887,366	68,119,293		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	5,437,598	5,214,809		5,214,809	5,214,809		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	486,034	800,000		800,000	800,000		
22 TOTAL INCOME	\$65,040,353	\$64,898,210		\$71,902,175	\$74,134,102	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$18,585)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

Other State Treasury Funds include Legal Education.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CEA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION 297

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	54,078,428	53,735,537	54,859,224	53,735,537	53,735,537		
2 EXTRA HELP WAGES			1,192,153				
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	10,460,819	10,362,673	10,649,908	10,362,673	10,362,673		
5 OPERATING EXPENSES	373,544	800,000	800,000	800,000	800,000		
6 CONFERENCE FEES & TRAVEL	108,977						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 CONTINGENCY							
12							
13							
14 TOTAL APPROPRIATION	\$65,021,768	\$64,898,210	\$67,501,285	\$64,898,210	\$64,898,210	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	59,116,721	58,883,401		58,883,401	58,883,401		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	5,437,598	5,214,809		5,214,809	5,214,809		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	486,034	800,000		800,000	800,000		
22 TOTAL INCOME	\$65,040,353	\$64,898,210		\$64,898,210	\$64,898,210	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$18,585)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

Other State Treasury Funds include Legal Education.

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CEA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION 297

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	54,078,428	53,735,537	54,859,224	50,280,071	50,280,071		
2 EXTRA HELP WAGES			1,192,153				
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	10,460,819	10,362,673	10,649,908	9,696,301	9,696,301		
5 OPERATING EXPENSES	373,544	800,000	800,000	800,000	800,000		
6 CONFERENCE FEES & TRAVEL	108,977						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 CONTINGENCY							
12							
13							
14 TOTAL APPROPRIATION	\$65,021,768	\$64,898,210	\$67,501,285	\$60,776,372	\$60,776,372	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	59,116,721	58,883,401		54,761,563	54,761,563		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	5,437,598	5,214,809		5,214,809	5,214,809		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	486,034	800,000		800,000	800,000		
22 TOTAL INCOME	\$65,040,353	\$64,898,210		\$60,776,372	\$60,776,372	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$18,585)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

Other State Treasury Funds include Legal Education.

**APPROPRIATION ACT FORM - STATE TREASURY - FORMULA WITHOUT REVISIONS
2009-11 BIENNIUM**

FUND CEA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION 297

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	54,078,428	53,735,537	54,859,224	59,612,708	61,486,618		
2 EXTRA HELP WAGES			1,192,153				
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	10,460,819	10,362,673	10,649,908	11,496,061	11,857,437		
5 OPERATING EXPENSES	373,544	800,000	800,000	800,000	800,000		
6 CONFERENCE FEES & TRAVEL	108,977						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 CONTINGENCY							
12							
13							
14 TOTAL APPROPRIATION	\$65,021,768	\$64,898,210	\$67,501,285	\$71,908,769	\$74,144,055	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	59,116,721	58,883,401		65,893,960	68,129,246		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	5,437,598	5,214,809		5,214,809	5,214,809		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	486,034	800,000		800,000	800,000		
22 TOTAL INCOME	\$65,040,353	\$64,898,210		\$71,908,769	\$74,144,055	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$18,585)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Other State Treasury Funds include Legal Education.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2010000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION A68

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	14,204,328	72,828,000	72,828,000	41,832,408	41,832,408		
2 EXTRA HELP WAGES	1,201,818	12,000,000	12,000,000	6,892,801	6,892,801		
3 OVERTIME		1,000,000	1,000,000	574,400	574,400		
4 PERSONAL SERVICES MATCHING	7,406,102	20,808,000	20,808,000	11,952,117	11,952,117		
5 OPERATING EXPENSES	22,611,813	39,900,000	39,900,000	22,976,003	22,976,003		
6 CONFERENCE FEES & TRAVEL	1,796,585	5,000,000	5,000,000	2,872,000	2,872,000		
7 PROFESSIONAL FEES AND SERVICES	289,607	10,000,000	10,000,000	5,744,001	5,744,001		
8 DATA PROCESSING		1,000,000	1,000,000	574,400	574,400		
9 CAPITAL OUTLAY	2,015,238	35,000,000	35,000,000	17,232,002	17,232,002		
10 CAPITAL IMPROVEMENTS		123,264,000	123,264,000	34,464,004	34,464,004		
11 DEBT SERVICE	3,578,805	10,000,000	10,000,000	5,744,001	5,744,001		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	200,030	40,000,000	40,000,000	22,976,003	22,976,003		
13 PROMOTIONAL ITEMS		400,000	400,000	114,880	114,880		
14							
15							
16 CONTINGENCY				39,268,280	39,268,280		
17 TOTAL APPROPRIATION	\$53,304,326	\$371,200,000	\$371,200,000	\$213,217,300	\$213,217,300	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	53,382,676	371,200,000		213,217,300	213,217,300		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$53,382,676	\$371,200,000		\$213,217,300	\$213,217,300	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$78,350)	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	1,865	1,867	2,283	2,203	2,198		
TOBACCO POSITIONS							
EXTRA HELP **	465	465	1,330	1,330	1,330		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	4,996,946	5,872,739		(875,793)	5,187,363	6,158,952		(971,589)
2 RESIDENCE HALL	2,860,624	1,036,897	1,415,697	408,030	2,673,797	1,209,800	1,687,799	(223,802)
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES		18,689		(18,689)				0
6 COLLEGE UNION	930,535	1,601,756	204,303	(875,524)	904,596	1,526,926		(622,330)
7 BOOKSTORE				0				0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	623,073	565,972		57,101	646,370	646,370		0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER	943,282	646,739	296,889	(346)	1,731,878	1,464,872		267,006
11 SUBTOTAL	\$10,354,460	\$9,742,792	\$1,916,889	(\$1,305,221)	\$11,144,004	\$11,006,920	\$1,687,799	(\$1,550,715)
12 ATHLETIC TRANSFER **	875,793			875,793	971,589			971,589
13 OTHER TRANSFERS ***	445,766			445,766	579,126			579,126
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$11,676,019	\$9,742,792	\$1,916,889	\$16,338	\$12,694,719	\$11,006,920	\$1,687,799	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

*** Other Transfers include Student Fee Transfers and DSC Fac/Staff Use

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						1408
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	178	Black Male:	30	Other Male:	17	Total Male: <u>225</u>
White Female:	228	Black Female:	51	Other Female:	14	Total Female: <u>293</u>
Nonclassified Health Care Employees:						
White Male:	_____	Black Male:	_____	Other Male:	_____	Total Male: <u>0</u>
White Female:	_____	Black Female:	_____	Other Female:	_____	Total Female: <u>0</u>
Classified Employees:						
White Male:	93	Black Male:	65	Other Male:	7	Total Male: <u>165</u>
White Female:	110	Black Female:	118	Other Female:	13	Total Female: <u>241</u>
Faculty:						
White Male:	231	Black Male:	14	Other Male:	20	Total Male: <u>265</u>
White Female:	187	Black Female:	20	Other Female:	12	Total Female: <u>219</u>
Total White Male:	502	Total Black Male:	109	Total Other Male:	44	Total Male: <u>655</u>
Total White Female:	525	Total Black Female:	189	Total Other Female:	39	Total Female: <u>753</u>
Total White:	1027	Total Black:	298	Total Other:	83	Total Employees: <u>1408</u>
				Total Minority:	381	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas- Little Rock
June 30, 2007

<i>Finding:</i>	The University has policies to receipt and deposit revenue and post expenditures to the general ledger. We noted 15 warrants from State of Arkansas totaling \$90,372 payable to the University that were deposited directly into the Arkansas Construction Education Foundation bank account. The University acts as the pass-through agent for funds received from the Department of Workforce Education to be paid to the Arkansas Construction Education Foundation. The University Construction Manager Department received state warrants payable to the University from the Department of Workforce Education. Internal controls over procedures to issue receipts, record related revenues, as well as recording the corresponding expenditures into the general ledger were circumvented.
<i>Recommendation:</i>	All funds received be receipted, deposited into the University's bank account, and all revenues and expenditures be recorded in the general ledger.
<i>Institution's Response:</i>	The University has reminded the campus that all funds received, whether cash or check, must be receipted through the Cashier Office. The Cashier Office will record to the official books receiving the check or cash, issue a receipt, and deposit the funds to the bank of record.
<i>Finding:</i>	We noted the University misclassified \$8.2 million as deferred revenue which should have been classified as revenue when received in the previous and current years. The University also classified \$3.9 million in federal assistance as state assistance. The University agreed the above items were misclassified and made the appropriate adjusting entries which are reflected in the financial statements for fiscal year 2007. The previous year's revenues and net assets were not restated. The misclassifications were due to lack of controls over the process of recording revenue transactions for restricted funds to the general ledger. Revenues and net assets were understated on the Statement of Net Assets and the Statement of Changes in Revenues, Expenditures and Net Assets.
<i>Recommendation:</i>	The University should take care in properly classifying revenues and related net assets when receiving federal and state assistance.
<i>Institution's</i>	The University made adjustments to its revenue recognition procedures to

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas- Little Rock
June 30, 2007

<i>Response:</i>	identify the true source of funds and all restrictions, if any to assure that revenue is properly classified in the accounting records.
<i>Finding:</i>	The University's travel policy requires original documentation for travel expenditures to be maintained with the employee's travel reimbursement request; however, conference registration, travel (airfare) and hotel accommodations are often paid with the University's travel credit card. We noted no reconciliation of original documentation with charges reflected on the University's credit card which were attached to employee's reimbursement request. We selected one card from the 52 cards on campus, and selected one month's transactions for testing. Documentation was examined at the department level and only six of 22 transactions were adequately supported according to the University's policy. This condition could allow unauthorized travel credit card charges and duplicate payments.
<i>Recommendation:</i>	That all original documentation be reviewed upon payment of the University's travel credit card and determine charges were not duplicated on an employee's travel reimbursement.
<i>Institution's Response:</i>	The University redesigned travel related documents to provide better documentation of credit card charges related to each specific Travel Authorization and Reimbursement. An additional step has been added to reconcile and assure prepaid items are not included in the travel's request for reimbursement.
<i>Finding:</i>	The Arkansas Department of Finance and Administration (DFA) is tasked with the responsibility of preparing the State's Schedule of Expenditures of Federal Awards (the Schedule). In order to ensure timely and accurate reporting, the Schedule is prepared by requiring each Agency and Institution of Higher Education to submit the required federal program information to DFA. Upon receipt of the federal program information, DFA compiles, reviews and makes the necessary adjustments to present the information on the Schedule. The audit for the year ended June 30, 2007 revealed that the University of Arkansas at Fayetteville omitted federal expenditures totaling \$17,239,681 for the Federal Perkins Loan Program- Federal Capital Contributions program (CFDA) 84.038 and the University of Arkansas at Little Rock failed to report

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas- Little Rock
June 30, 2007

	expenditures totaling \$9,594,554 for the Federal Pell Grant Program (CFDA) 84.063. Appropriate audit adjustments have subsequently been made by DFA to correct the schedule.
<i>Recommendation:</i>	We recommend the University of Arkansas at Fayetteville and the University of Arkansas at Little Rock develop and implement procedures to ensure the federal program information submitted to DFA is accurate and complete.

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UNIVERSITY OF ARKANSAS AT MONTICELLO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

I. Introduction

As one of the few remaining open admissions universities in the region, the University of Arkansas at Monticello is proud of its heritage of offering educational opportunities to the people of Arkansas. Founded in 1909 as the Fourth District Agricultural School, UAM completes its 99th year with a renewed commitment to meeting the challenges of higher education in the 21st century. The University of Arkansas at Monticello (UAM) is a comprehensive institution offering undergraduate and graduate programs. UAM provides degree opportunities for both traditional and non-traditional students and provides an environment which nurtures individual achievement and personal development. UAM offers associate and bachelor's degrees in the liberal arts and sciences and it also offers pre-professional and professional, and applied programs to prepare graduates for careers and advanced study. Master's degrees are offered in Elementary and Secondary Education and in Forest Resources. UAM also offers and receives courses via video conferencing and the Internet. The UAM College of Technology at Crossett, the UAM College of Technology at McGehee, and the Arkansas Heavy Equipment Operator Training Academy in Warren incorporate technical and workforce education into the offerings of the University. These campuses offer programs leading to technical certificates, certificates of proficiency and the associate of applied science awarded by UAM in various technical fields. UAM also cooperates with other institutions to bring services and programs to the southeast region of the state.

The University of Arkansas at Monticello is committed to providing a campus environment conducive to inspired teaching and learning. Therefore, the primary focus for faculty members at UAM is excellence in teaching. To enrich teaching and learning, UAM faculty are also expected to be involved in research, scholarship and/or creative activities. A stronger emphasis is placed on applied research in the Division of Agriculture and the School of Forest Resources as UAM partners with the University of Arkansas at Fayetteville (UAF) and the Arkansas Agriculture Experiment Station and Cooperative Extension service and their related missions.

The University of Arkansas at Monticello, as the only state university in southeastern Arkansas, has a special role in providing cultural opportunities for students and citizens within its service area. In this regard, the campus

UNIVERSITY OF ARKANSAS AT MONTICELLO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

serves as an advocate and sponsor for many activities and events in seeking to promote the growth and development of the region. UAM also seeks to collaborate with and serve the needs of public schools, business and industry, transportation, agriculture, economic development agencies and the wood products industry. UAM, in particular, assumes a leadership role in the delivery of services and resources in the areas of community, leadership, economic and industrial development, and cooperative educational programs with emphases on basic education literacy, disadvantaged youth, and workforce training and retraining. UAM is also committed to K-12 enrichment programs and educational reform and actively collaborates with area schools and the regional educational cooperative.

The History of the University and the Mission Statement of the University are enduring and used by the campus community as the foundation for the daily operations of the University and strategic plan work. The Mission Statement of the University is used as a benchmark to measure UAM's success.

II. Institutional Mission Statement

The University of Arkansas at Monticello shares with all universities the commitment to search for truth and understanding through scholastic endeavor. The University seeks to enhance and share knowledge, to preserve and promote the intellectual content of society, and to educate people for critical thought. The University provides learning experiences which enable students to synthesize knowledge, communicate effectively, use knowledge and technology with intelligence and responsibility, and act creatively within their own and other cultures.

The University strives for excellence in all its endeavors. Educational opportunities encompass the liberal arts, basic and applied sciences, selected professions, and vocational and technical preparation. These opportunities are founded in a strong program of general education and are fulfilled through contemporary disciplinary curricula, certification programs, and vocational/technical education or workforce training. The University assures opportunities in higher education for both traditional and non-traditional students and strives to provide an environment which fosters individual achievement and personal development.

UNIVERSITY OF ARKANSAS AT MONTICELLO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The University of Arkansas at Monticello seeks to fulfill its mission by:

1. Offering quality educational opportunities in the form of master's, baccalaureate, and associate degree preparation, as well as certification in a variety of vocational/technical programs, or workforce training;
2. Offering a well-rounded program of general education designed to broaden and enrich students' awareness of the world around them;
3. Providing contemporary curricula which prepare students for careers in selected fields, for personal development, and for meeting societal needs;
4. Strengthening students' capabilities as thoughtful contributors to society by encouraging them to take personal responsibility and seek the benefits of life-long learning;
5. Providing support programs which increase the probability of success for those students needing additional academic preparation to meet college standards;
6. Assisting students in developing interpersonal skills needed by responsible and productive members of society;
7. Providing viable programs of public service, continuing education in selected areas, and cooperative programs with other educational institutions;
8. Promoting research programs which strengthen the institution and contribute new information to the existing body of knowledge and the extension of knowledge to serve the public;
9. Providing cultural and aesthetic experiences that will serve to enhance appreciation of the arts;
10. Maintaining regional and national recognition of the institution and its academic and technical programs by continuing to meet the standards of accrediting bodies, and seeking similar recognition of appropriate programs for which accreditation is available but to be achieved;
11. Preparing students to live and work in a technological and global society.

UNIVERSITY OF ARKANSAS AT MONTICELLO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

III. Institutional Goal(s)

Knowing that its reputation stands on the quality of its programs, the University of Arkansas at Monticello will establish and maintain instructional, service and research programs supportive of UAM's academic mission focused on career oriented, professional, and liberal arts and sciences programs which creatively meet the needs of the constituents we serve.

IV. Programs and Program Definitions

Program 1: Instruction

University instruction is the creative and inspired sharing of information and knowledge, the preservation and promotion of the intellectual content of society, and the education of people for critical thought.

Goal 1: The University of Arkansas at Monticello will offer quality educational opportunities.

Objective 1: To prepare students for jobs, careers, life-long learning, citizenship, and personal life satisfaction.

Objective 1 Strategies:

- Develop innovative and relevant curricula
- Design well-rounded general education program
- Enrich courses through technology
- Maintain quality and accessibility to library and other learning resources

Objective 2: To improve instruction

UNIVERSITY OF ARKANSAS AT MONTICELLO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Objective 2 Strategies:

- Attract and retain highly qualified and diverse faculty
- Recognize and reward excellence in teaching
- Provide staff development that encourages a wide range of pedagogical approaches
- Encourage collaborative teaching

Program 2: Non-Credit Instruction, Business and Industry Training, and Community Service

This program includes all activities associated with the instructional delivery of courses and services to business and industry. All community education courses are included in this program.

Goal 1: The UAM College of Technology at Crossett, the UAM College of Technology at McGehee, and the Arkansas Heavy Equipment Operator Training Academy will provide quality, relevant training to area business and industry through diverse and innovative educational programs.

Objective 1: To provide business and industry that serves the needs of the local workforce.

Objective 1 Strategies:

- Identify and address new training opportunities needed by students and local business and industry.
- Assess quality of program offerings in workforce training.
- Enrich courses through technology and other institutional support.

Goal 2: The University of Arkansas at Monticello Colleges of Technology in Crossett and McGehee will provide a broad range of life-long learning opportunities and community service within the service area.

UNIVERSITY OF ARKANSAS AT MONTICELLO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Objective 2 Strategies:

- Offer wide selection of non-credit courses and workshops.
- Offer cultural enrichment opportunities.
- Enrich courses through technology and other institutional support.

Program 3: Public Service

University public service is providing for the continuing education needs, the cultural and intellectual needs, and the special service needs of the community. It is also the university's cooperation with other educational institutions and agencies to help meet the education and service needs of the community.

Goal 1: The University of Arkansas at Monticello will provide viable programs of public service.

Objective 1: To provide continuing education in selected areas

Objective 1 Strategies:

- Offer opportunities for lifelong learning
- Create educational partnerships with public schools, community colleges, and regional economic development agencies
- Provide outreach and educational activities and opportunities for young people, older adults, and special populations.

Objective 2: To provide cultural and aesthetic experiences

Objective 2 Strategies:

- Hosts special guest speakers and lecturers

UNIVERSITY OF ARKANSAS AT MONTICELLO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Promote performing arts
- Promote visual arts through exhibits, and artistic shows
- Provide a wide variety of musical performances

Program 4: Research/Scholarship/creative activities

University research is the search for new knowledge and the contribution of new information to the existing body of knowledge. Research is also the sharing of information, knowledge, and creative activities in order to serve the public.

Goal 1: The University of Arkansas at Monticello will promote research programs with strengthen the institution and contribute new knowledge

Objective 1: To encourage faculty to participate in basic and applied research

Objective 1 Strategies:

- Recognize and reward faculty research efforts
- Encourage collaboration in research between departments, with other universities, and with community and regional partners

Objective 2: To encourage pedagogical research to improve instruction

Objective 2 Strategies:

- Encourage departmental and college faculty collaboration
- Encourage pedagogical research
- Encourage faculty/undergraduate student research projects

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	17,839,682		18,242,644		19,978,234		19,274,704		19,226,850		19,643,054		19,594,618	
2 CASH	25,325,550		27,549,033		59,050,000		59,705,332		59,705,332		59,705,332		59,705,332	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$43,165,232	444	\$45,791,677	447	\$79,028,234	747	\$78,980,036	610	\$78,932,182	610	\$79,348,386	610	\$79,299,950	610
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	521,995	1%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	15,515,190	36%	15,626,948	34%			16,659,008	21%	16,611,154	21%	17,027,358	21%	16,978,922	21%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,092,534	3%	1,047,771	2%			1,047,771	1%	1,047,771	1%	1,047,771	1%	1,047,771	1%
15 WORKFORCE 2000	1,231,958	3%	1,217,925	3%			1,217,925	2%	1,217,925	2%	1,217,925	2%	1,217,925	2%
16 CASH FUNDS	17,090,789	40%	17,524,033	38%			29,405,332	37%	29,405,332	37%	29,405,332	37%	29,405,332	37%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	7,712,766	18%	10,025,000	22%			30,300,000	38%	30,300,000	38%	30,300,000	38%	30,300,000	38%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER STATE FUNDS-Timber Severance Tax		0%	350,000	1%			350,000	0%	350,000	0%	350,000	0%	350,000	0%
21 TOTAL INCOME	\$43,165,232	100%	\$45,791,677	100%			\$78,980,036	100%	\$78,932,182	100%	\$79,348,386	100%	\$79,299,950	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$4,337,004
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,161,802
INVENTORIES	\$196,774
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$65,801
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,141,210
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$228,583)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS AT MONTICELLO
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	10,999,593	10,862,587	11,312,587	11,531,838
2 RESEARCH	19,543	15,000	20,000	20,500
3 PUBLIC SERVICE	147,924	146,161	151,161	152,257
4 ACADEMIC SUPPORT	1,573,445	1,645,182	1,699,537	1,725,537
5 STUDENT SERVICES	1,349,423	1,396,462	1,412,744	1,427,744
6 INSTITUTIONAL SUPPORT	3,547,336	4,150,711	4,170,711	4,180,711
7 PHYSICAL PLANT M&O	3,165,608	3,090,213	3,125,017	3,130,017
8 SCHOLARSHIPS & FELLOWSHIPS	2,816,071	2,748,169	2,820,000	2,830,000
9				
10				
11				
12				
13 MANDATORY TRANSFERS	333,041	332,818	332,818	332,818
14 AUXILIARY TRANSFERS	750,000	508,220	508,220	508,220
15 NON-MANDATORY TRANSFERS	88,210			
16 TOTAL UNREST. E&G EXP.	\$24,790,194	\$24,895,523	\$25,552,795	\$25,839,642
17 NET LOCAL INCOME	10,380,332	10,651,545	10,651,545	10,651,545
18 PRIOR YEAR BALANCE***	521,995			
STATE FUNDS:				
19 GENERAL REVENUE	12,795,333	12,846,207	13,503,479	13,790,326
20 EDUCATIONAL EXCELLENCE	1,092,534	1,047,771	1,047,771	1,047,771
21 WORKFORCE 2000				
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **		350,000	350,000	350,000
24 TOTAL SOURCES OF INCOME	\$24,790,194	\$24,895,523	\$25,552,795	\$25,839,642

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Other State Funds includes Timber Severance Tax Funds.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

UAM-CROSSETT

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	913,755	1,178,272	1,247,523	1,285,210
2 RESEARCH				
3 PUBLIC SERVICE				
4 ACADEMIC SUPPORT	44,921	50,714	55,714	55,714
5 STUDENT SERVICES	161,567	164,839	164,839	164,839
6 INSTITUTIONAL SUPPORT	348,951	461,033	461,033	461,033
7 PHYSICAL PLANT M&O	160,882	180,081	180,081	180,081
8 SCHOLARSHIPS & FELLOWSHIPS	14,446	39,950	39,950	39,950
9				
10				
11				
12				
13 MANDATORY TRANSFERS	47,553	47,521	47,521	47,521
14 AUXILIARY TRANSFERS	43,057	53,679	53,679	53,679
15 NON-MANDATORY TRANSFERS	385,000			
16 TOTAL UNREST. E&G EXP.	\$2,120,132	\$2,176,089	\$2,250,340	\$2,288,027
17 NET LOCAL INCOME	442,599	451,442	451,442	451,442
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	1,131,651	1,137,864	1,212,115	1,249,802
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	593,543	586,783	586,783	586,783
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **				
24 TOTAL SOURCES OF INCOME	\$2,167,793	\$2,176,089	\$2,250,340	\$2,288,027

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

UAM-MCGEHEE

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	1,552,275	1,695,077	1,910,760	1,953,994
2 RESEARCH				
3 PUBLIC SERVICE	65,800	61,905	61,905	61,905
4 ACADEMIC SUPPORT	12,030	18,652	55,652	55,652
5 STUDENT SERVICES	129,040	130,380	130,380	130,380
6 INSTITUTIONAL SUPPORT	432,305	583,913	583,913	583,913
7 PHYSICAL PLANT M&O	219,729	212,265	212,265	212,265
8 SCHOLARSHIPS & FELLOWSHIPS	8,667	22,520	22,520	22,520
9				
10				
11				
12				
13 MANDATORY TRANSFERS	63,423	63,380	63,380	63,380
14 AUXILIARY TRANSFERS	112,467	128,186	128,186	128,186
15 NON-MANDATORY TRANSFERS	75,000			
16 TOTAL UNREST. E&G EXP.	\$2,670,736	\$2,916,278	\$3,168,961	\$3,212,195
17 NET LOCAL INCOME	642,292	642,259	642,259	642,259
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	1,588,206	1,642,877	1,895,560	1,938,794
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	638,415	631,142	631,142	631,142
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **				
24 TOTAL SOURCES OF INCOME	\$2,868,913	\$2,916,278	\$3,168,961	\$3,212,195

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS AT MONTICELLO
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	9,700,924	10,033,570	10,033,570	10,033,570
2 ALL OTHER FEES	130,738	57,475	57,475	57,475
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	56,115	4,000	4,000	4,000
6 INVESTMENT INCOME	81,198	240,000	240,000	240,000
7 OTHER CASH INCOME:	411,357	316,500	316,500	316,500
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	10,380,332	10,651,545	10,651,545	10,651,545
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$10,380,332	\$10,651,545	\$10,651,545	\$10,651,545

FORM 09-3

*Detail of Line 7 Other Cash Income	Actual	Budgeted
Sales and Services	82,214	71,000
Traffic Fines	38,340	35,000
Library Fines and Book Replacement	5,475	3,500
Administrative/Indirect Cost	126,543	105,000
Testing Services	14,117	10,000
Band Camps	92,573	73,000
Breakage and Key Replacement	17,110	15,000
Library Holdings Funds	15,000	
Royalties	9,004	
Other Sources Fees and Charges	10,981	4,000
	<u>411,357</u>	<u>316,500</u>

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UAM-CROSSETT

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	423,244	437,392	437,392	437,392
2 ALL OTHER FEES	4,860	5,050	5,050	5,050
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	1,080	1,000	1,000	1,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	12,692	8,000	8,000	8,000
7 OTHER CASH INCOME:*	723			
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	442,599	451,442	451,442	451,442
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$442,599	\$451,442	\$451,442	\$451,442

FORM 09-3

*Detail of Line 7 Other Cash Income

	Actual
M&R Sales	89
Miscellaneous Income	634
	<hr/> 723

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UAM-MCGEHEE

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	544,243	561,104	561,104	561,104
2 ALL OTHER FEES	37,095	32,155	32,155	32,155
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	47,210	40,000	40,000	40,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	11,531	4,000	4,000	4,000
7 OTHER CASH INCOME:*	2,213	5,000	5,000	5,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	642,292	642,259	642,259	642,259
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$642,292	\$642,259	\$642,259	\$642,259

FORM 09-3

*Detail of Line 7 Other Cash Income

	Actual	Budgeted
Miscellaneous Income	2,213	5,000

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CIA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION 298

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	11,523,965	11,279,544	12,255,133	11,750,000	11,950,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	975,000	960,000	1,025,556	995,000	1,000,000		
5 OPERATING EXPENSES	1,338,902	1,954,434	1,687,701	2,081,250	2,163,097		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	50,000	50,000	50,000	75,000	75,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$13,887,867	\$14,243,978	\$15,018,390	\$14,901,250	\$15,188,097	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	12,795,333	12,846,207		13,503,479	13,790,326		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,092,534	1,047,771		1,047,771	1,047,771		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***		350,000		350,000	350,000		
22 TOTAL INCOME	\$13,887,867	\$14,243,978		\$14,901,250	\$15,188,097	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Other State Treasury Funds includes Timber Severance Tax Funds.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CIA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION 298

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	11,523,965	11,279,544	12,255,133	11,279,544	11,279,544		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	975,000	960,000	1,025,556	960,000	960,000		
5 OPERATING EXPENSES	1,338,902	1,954,434	1,687,701	1,954,434	1,954,434		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	50,000	50,000	50,000	50,000	50,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$13,887,867	\$14,243,978	\$15,018,390	\$14,243,978	\$14,243,978	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	12,795,333	12,846,207		12,846,207	12,846,207		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,092,534	1,047,771		1,047,771	1,047,771		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***		350,000		350,000	350,000		
22 TOTAL INCOME	\$13,887,867	\$14,243,978		\$14,243,978	\$14,243,978	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Other State Treasury Funds includes Timber Severance Tax Funds.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CIA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION 298

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	11,523,965	11,279,544	12,255,133	10,769,544	10,769,544		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	975,000	960,000	1,025,556	809,750	809,750		
5 OPERATING EXPENSES	1,338,902	1,954,434	1,687,701	1,765,450	1,765,450		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	50,000	50,000	50,000				
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$13,887,867	\$14,243,978	\$15,018,390	\$13,344,744	\$13,344,744	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	12,795,333	12,846,207		11,946,973	11,946,973		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,092,534	1,047,771		1,047,771	1,047,771		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***		350,000		350,000	350,000		
22 TOTAL INCOME	\$13,887,867	\$14,243,978		\$13,344,744	\$13,344,744	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Other State Treasury Funds includes Timber Severance Tax Funds.

**APPROPRIATION ACT FORM - STATE TREASURY - FORMULA WITHOUT REVISIONS
2009-11 BIENNIUM**

FUND CIA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION 298

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	11,523,965	11,279,544	12,255,133	11,750,000	11,950,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	975,000	960,000	1,025,556	995,000	1,000,000		
5 OPERATING EXPENSES	1,338,902	1,954,434	1,687,701	2,081,250	2,163,097		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	50,000	50,000	50,000	75,000	75,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$13,887,867	\$14,243,978	\$15,018,390	\$14,901,250	\$15,188,097	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	12,795,333	12,846,207		13,503,479	13,790,326		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,092,534	1,047,771		1,047,771	1,047,771		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***		350,000		350,000	350,000		
22 TOTAL INCOME	\$13,887,867	\$14,243,978		\$14,901,250	\$15,188,097	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Other State Treasury Funds includes Timber Severance Tax Funds.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CIA0000

INSTITUTION UAM-CROSSETT

APPROPRIATION 1MG

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	1,080,000	1,080,000	1,402,727	1,120,000	1,150,000		
2 EXTRA HELP WAGES	65,000	100,000	107,000	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	240,000	225,000	339,076	240,000	245,000		
5 OPERATING EXPENSES	340,194	319,647	439,303	338,898	341,585		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$1,725,194	\$1,724,647	\$2,288,106	\$1,798,898	\$1,836,585	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	1,131,651	1,137,864		1,212,115	1,249,802		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	593,543	586,783		586,783	586,783		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$1,725,194	\$1,724,647		\$1,798,898	\$1,836,585	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CIA0000

INSTITUTION UAM-CROSSETT

APPROPRIATION 1MG

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	1,080,000	1,080,000	1,402,727	1,080,000	1,080,000		
2 EXTRA HELP WAGES	65,000	100,000	107,000	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	240,000	225,000	339,076	225,000	225,000		
5 OPERATING EXPENSES	340,194	319,647	439,303	319,647	319,647		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$1,725,194	\$1,724,647	\$2,288,106	\$1,724,647	\$1,724,647	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	1,131,651	1,137,864		1,137,864	1,137,864		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	593,543	586,783		586,783	586,783		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$1,725,194	\$1,724,647		\$1,724,647	\$1,724,647	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CIA0000

INSTITUTION UAM-CROSSETT

APPROPRIATION 1MG

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	1,080,000	1,080,000	1,402,727	1,020,000	1,020,000		
2 EXTRA HELP WAGES	65,000	100,000	107,000	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	240,000	225,000	339,076	215,000	215,000		
5 OPERATING EXPENSES	340,194	319,647	439,303	309,997	309,997		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$1,725,194	\$1,724,647	\$2,288,106	\$1,644,997	\$1,644,997	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	1,131,651	1,137,864		1,058,214	1,058,214		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	593,543	586,783		586,783	586,783		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$1,725,194	\$1,724,647		\$1,644,997	\$1,644,997	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CIA0000

INSTITUTION UAM-MCGEHEE

APPROPRIATION 1MF

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	1,470,000	1,490,000	1,662,149	1,605,000	1,640,000		
2 EXTRA HELP WAGES	55,000	100,000	105,000	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	290,000	285,000	419,512	320,000	325,000		
5 OPERATING EXPENSES	411,621	399,019	485,077	501,702	504,936		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$2,226,621	\$2,274,019	\$2,671,738	\$2,526,702	\$2,569,936	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	1,588,206	1,642,877		1,895,560	1,938,794		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	638,415	631,142		631,142	631,142		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$2,226,621	\$2,274,019		\$2,526,702	\$2,569,936	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CIA0000

INSTITUTION UAM-MCGEHEE

APPROPRIATION 1MF

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	1,470,000	1,490,000	1,662,149	1,490,000	1,490,000		
2 EXTRA HELP WAGES	55,000	100,000	105,000	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	290,000	285,000	419,512	285,000	285,000		
5 OPERATING EXPENSES	411,621	399,019	485,077	399,019	399,019		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$2,226,621	\$2,274,019	\$2,671,738	\$2,274,019	\$2,274,019	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	1,588,206	1,642,877		1,642,877	1,642,877		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	638,415	631,142		631,142	631,142		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$2,226,621	\$2,274,019		\$2,274,019	\$2,274,019	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CIA0000

INSTITUTION UAM-MCGEHEE

APPROPRIATION 1MF

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	1,470,000	1,490,000	1,662,149	1,410,000	1,410,000		
2 EXTRA HELP WAGES	55,000	100,000	105,000	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	290,000	285,000	419,512	260,000	260,000		
5 OPERATING EXPENSES	411,621	399,019	485,077	389,018	389,018		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$2,226,621	\$2,274,019	\$2,671,738	\$2,159,018	\$2,159,018	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	1,588,206	1,642,877		1,527,876	1,527,876		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	638,415	631,142		631,142	631,142		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$2,226,621	\$2,274,019		\$2,159,018	\$2,159,018	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2030000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION A69

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,768,057	3,500,000	10,698,960	8,000,000	8,000,000		
2 EXTRA HELP WAGES	965,887	1,000,000	2,250,000	2,000,000	2,000,000		
3 OVERTIME			50,000	50,000	50,000		
4 PERSONAL SERVICES MATCHING	874,065	1,000,000	3,080,800	2,000,000	2,000,000		
5 OPERATING EXPENSES	5,781,510	6,005,332	12,000,000	9,500,000	9,500,000		
6 CONFERENCE FEES & TRAVEL	105,384	125,000	650,000	400,000	400,000		
7 PROFESSIONAL FEES AND SERVICES	576,289	600,000	1,650,000	650,000	650,000		
8 DATA PROCESSING			50,000	50,000	50,000		
9 CAPITAL OUTLAY	303,920	350,000	6,000,000	2,000,000	2,000,000		
10 CAPITAL IMPROVEMENTS	5,622,710	6,000,000	7,082,620	6,500,000	6,500,000		
11 DEBT SERVICE			1,150,000	650,000	650,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	5,960,227	6,500,000	10,500,000	9,500,000	9,500,000		
13							
14							
15							
16 CONTINGENCY				14,080,332	14,080,332		
17 TOTAL APPROPRIATION	\$22,958,049	\$25,080,332	\$55,162,380	\$55,380,332	\$55,380,332	\$0	\$0
18 PRIOR YEAR FUND BALANCE***	521,995						
19 LOCAL CASH FUNDS	10,380,332	10,380,332		10,380,332	10,380,332		
20 FEDERAL CASH FUNDS	6,940,639	9,200,000		28,500,000	28,500,000		
21 OTHER CASH FUNDS	5,115,083	5,500,000		16,500,000	16,500,000		
22 TOTAL INCOME	\$22,958,049	\$25,080,332		\$55,380,332	\$55,380,332	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	377	378	610	495	495		
TOBACCO POSITIONS							
EXTRA HELP **	75	75	790	790	790		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2030000

INSTITUTION UAM-CROSSETT

APPROPRIATION B83

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	464,794	500,000	774,240	625,000	625,000		
2 EXTRA HELP WAGES	69,836	70,000	75,000	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	171,755	200,000	258,080	225,000	225,000		
5 OPERATING EXPENSES	335,968	331,442	725,000	625,000	625,000		
6 CONFERENCE FEES & TRAVEL	38		25,000	25,000	25,000		
7 PROFESSIONAL FEES AND SERVICES	6,776		50,000	50,000	50,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	47,968	50,000	50,000	75,000	75,000		
10 CAPITAL IMPROVEMENTS							
11 DEBT SERVICE			50,000	50,000	50,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13							
14							
15							
16 CONTINGENCY				300,000	300,000		
17 TOTAL APPROPRIATION	\$1,097,135	\$1,151,442	\$2,007,320	\$2,075,000	\$2,075,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	442,599	451,442		451,442	451,442		
20 FEDERAL CASH FUNDS	467,306	500,000		900,000	900,000		
21 OTHER CASH FUNDS	187,230	200,000		723,558	723,558		
22 TOTAL INCOME	\$1,097,135	\$1,151,442		\$2,075,000	\$2,075,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	30	31	63	52	52		
TOBACCO POSITIONS							
EXTRA HELP **	18	18	36	36	36		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2030000

INSTITUTION UAM-MCGEHEE

APPROPRIATION B82

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	450,978	500,000	744,240	625,000	625,000		
2 EXTRA HELP WAGES	73,981	75,000	75,000	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	189,182	150,000	196,060	275,000	275,000		
5 OPERATING EXPENSES	506,356	542,259	740,000	750,000	750,000		
6 CONFERENCE FEES & TRAVEL	941		25,000	25,000	25,000		
7 PROFESSIONAL FEES AND SERVICES	6,761		50,000	50,000	50,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	42,167	50,000	50,000	50,000	50,000		
10 CAPITAL IMPROVEMENTS							
11 DEBT SERVICE				75,000	75,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13							
14							
15							
16 CONTINGENCY				300,000	300,000		
17 TOTAL APPROPRIATION	\$1,270,366	\$1,317,259	\$1,880,300	\$2,250,000	\$2,250,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	642,292	642,259		642,259	642,259		
20 FEDERAL CASH FUNDS	304,821	325,000		900,000	900,000		
21 OTHER CASH FUNDS	323,253	350,000		707,741	707,741		
22 TOTAL INCOME	\$1,270,366	\$1,317,259		\$2,250,000	\$2,250,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	37	38	74	63	63		
TOBACCO POSITIONS							
EXTRA HELP **	6	6	36	36	36		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS AT MONTICELLO
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	632,652	2,281,638	126,846	(1,775,832)	583,870	2,214,843	126,760	(1,757,733)
2 RESIDENCE HALL	1,061,349	419,925	412,147	229,277	1,107,618	386,184	413,785	307,649
3 MARRIED STUDENT HOUSING	44,458	13,548		30,910	45,705	4,500		41,205
4 FACULTY HOUSING	8,776	4,125		4,651	7,500	6,087		1,413
5 FOOD SERVICES	985,466	881,485		103,981	1,097,252	896,350		200,902
6 COLLEGE UNION				0				0
7 BOOKSTORE	1,612,339	1,388,630		223,709	1,550,300	1,352,519		197,781
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER-Detail Attached	589,363	125,732		463,631	617,061	116,498		500,563
11 SUBTOTAL	\$4,934,403	\$5,115,083	\$538,993	(\$719,673)	\$5,009,306	\$4,976,981	\$540,545	(\$508,220)
12 ATHLETIC TRANSFER **	750,000			750,000	508,220			508,220
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$5,684,403	\$5,115,083	\$538,993	\$30,327	\$5,517,526	\$4,976,981	\$540,545	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

University of Arkansas at Monticello

Form 09-6

Detail of Line Other

	Actual 2007-08	Budgeted 2008-09
Income:		
Trotter House Bed & Breakfast	9,984	14,400
Checking Interest	24	
Post Office Rent	12,000	12,000
Facilities Fees	516,123	559,870
Locker Rent	306	291
Vendor Sales	33,086	25,000
Royalties	7,413	5,500
Miscellaneous Income	647	
Stadium Facilities Usage	6,000	
Raffle Ticket Sales	3,780	
Total	589,363	617,061
Operating Expenses:		
Stadium	11,969	12,500
Practice Football Field	2,705	2,500
Post Office	312	300
Cablevision	27,595	27,595
Trotter House Bed & Breakfast	48,406	39,603
Weightroom	291	1,000
End Zone Facility	1,097	2,500
Old Student Union	2,967	
Field House	20,930	11,000
Softball Field	2,802	3,000
Baseball Field	3,783	3,000
Tennis Courts	250	1,000
Stadium Facilities Usage	1,968	
Other	657	12,500
	125,732	116,498

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UAM-CROSSETT

(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES				0				0
6 COLLEGE UNION				0				0
7 BOOKSTORE	139,151	163,423		(24,272)	100,000	141,233		(41,233)
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER-Trotter House B&B	5,022	23,807		(18,785)	7,200	19,646		(12,446)
11 SUBTOTAL	\$144,173	\$187,230	\$0	(\$43,057)	\$107,200	\$160,879	\$0	(\$53,679)
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	43,057			43,057	53,679			53,679
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$187,230	\$187,230	\$0	\$0	\$160,879	\$160,879	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UAM-MCGEHEE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES				0				0
6 COLLEGE UNION				0				0
7 BOOKSTORE	163,414	157,816		5,598	123,000	117,804		5,196
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER-Trotter House B&B/Child Care	47,371	165,436		(118,065)	42,200	175,582		(133,382)
11 SUBTOTAL	\$210,785	\$323,252	\$0	(\$112,467)	\$165,200	\$293,386	\$0	(\$128,186)
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	112,467			112,467	128,186			128,186
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$323,252	\$323,252	\$0	\$0	\$293,386	\$293,386	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT MONTICELLO
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>394</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>35</u>	Black Male:	<u>3</u>	Other Male:	<u> </u>	Total Male: <u>38</u>
White Female:	<u>42</u>	Black Female:	<u>13</u>	Other Female:	<u> </u>	Total Female: <u>55</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>42</u>	Black Male:	<u>7</u>	Other Male:	<u> </u>	Total Male: <u>49</u>
White Female:	<u>74</u>	Black Female:	<u>30</u>	Other Female:	<u> </u>	Total Female: <u>104</u>
Faculty:						
White Male:	<u>70</u>	Black Male:	<u>4</u>	Other Male:	<u>6</u>	Total Male: <u>80</u>
White Female:	<u>60</u>	Black Female:	<u>5</u>	Other Female:	<u>3</u>	Total Female: <u>68</u>
Total White Male:						<u>147</u>
Total White Female:						<u>176</u>
Total Black Male:						<u>14</u>
Total Black Female:						<u>48</u>
Total Other Male:						<u>6</u>
Total Other Female:						<u>3</u>
Total White:						<u>323</u>
Total Black:						<u>62</u>
Total Other:						<u>9</u>
Total Minority:						<u>71</u>
Total Employees:						<u>394</u>

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas – Monticello
June 30, 2007

<i>Finding:</i>	No findings noted
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UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

I. Introduction

The University of Arkansas at Pine Bluff (UAPB) is an 1890 Land Grant institution and is the second oldest public university in Arkansas. UAPB maintains an 83-acre main campus that includes 77 buildings, one plaza, and a Minority Grant Office site, in addition to a research farms at Lonoke, Arkansas and Mariana, Arkansas. There are 15 academic departments from within which forty-three baccalaureate degrees and eleven master's degrees are offered in several areas. UAPB employs 164 full-time faculty, of which 103 hold doctoral degrees and 61 hold master's degrees. Student involvement and leadership opportunities are provided through 84 registered student organizations, a school newspaper, a yearbook, performing and visual arts opportunities, and radio and television laboratory facilities. Headcount Enrollment at UAPB, since 2005, reflects a plus or minus difference of less than 3.2%. From among first-time, full-time entering degree-seeking students, the graduation rate is 35%; the one-year retention rate is 62.7%. Although the expected length of time required to complete a bachelor's degree is 4 years, 36 per cent of the students with a bachelor's degree goal who started at a 4-year Title IV institution in 2000-01 actually finished their degree in that period of time, according to the National Center for Education Statistics (NCES). And so the graduation rate, which is mission reflective, does not mirror the commonly held perception of what a college student likely to graduate in four years looks like—he or she receives good academic preparation in high school, enters college immediately after high school, enrolls in college full time, and is continuously enrolled. Importantly, however, UAPB through its array of programs and services makes a positive difference in the lives of students and in outreach to the Arkansas Delta.

Continuing with examples of student achievements, the graduation rate for student athletes at UAPB was 46% for the 1998-99 Cohort Class; 49% for the fall 1999-00 Cohort Class; and 56% for the 2000-01 Cohort Class. In the fall 2007, the overall average for all sports was 2.87. Women's softball posts a cumulative GPA of 3.30; Women's bowling, 3.13; Men's tennis posts a 2.96 GPA. UAPB utilizes data-driven tracking and placement procedures for managing the learning and growth activities of students such as ACT, Mathematics Placement, Arkansas Assessment of General Education (Rising Junior), comprehensive examinations, PRAXIS I and II, and IPED.

UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

UAPB is accredited by the Higher Learning Commission (North Central Association), the National Council for the Accreditation of Teacher Education, the Arkansas State Board of Nursing, National Association of Schools of Music, The National League of Nursing, The National Association of Schools of Art and Design, The American Association of Family and Consumer Sciences, American Dietetics Association, National Association of Industrial Technology; and the National Schools of Social Work.

Unique Programs and Contributions

The University of Arkansas at Pine Bluff remains committed to the continuation and enhancement of its Center of Excellence (Aquaculture), and its areas of emphasis (science and mathematics, minority business development, teacher education, and student development and leadership). The University offers premier programs in aquaculture and Regulatory Science. Aquaculture and Fisheries, the UAPB Center of Excellence, is internationally recognized for its educational distinction and for its economic value. A highly qualified independent committee of Aquaculture experts concluded that UAPB's proposal for a Ph.D. in Aquaculture was justifiable. The production of the faculty in the program possesses an international reputation. A Title III Grant has been funded to support the Ph.D. program. Arkansas leads the nation in the production of baitfish and UAPB is attributed with much of Arkansas' successful performance in the catfish and baitfish industries. The UAPB Bachelor of Science degree in Regulatory Science is not only the exclusive choice for that study in the nation, but also the program is designated by the U. S. Department of Agriculture as a Center of Excellence, and anchors a vital partnership with other national governmental agencies such as the United States Department of Transportation, the United States Department of Commerce, and other agencies. UAPB provides technical and outreach services, directly or through more than 100 corporate and educational alliances, related to minority business development, agriculture, human sciences, teacher education, and student leadership development in fulfillment of the university's role in society: to lead, to educate, and to serve. The downtown business incubator and office complex is nearly a full service operation. The Incubator is an extension of the University's past and present economic development activities. Already several small businesses have been assisted in becoming established. In addition the UAPB Plaza on University Drive has infused retail establishments into the area and helped them become viable. In particular the development of a grocery store in the plaza removed a more than thirty year hiatus of such an entity in the community.

UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Programs such as FastTrac Entrepreneurial Training, Management and Technical Assistance (i.e., business planning, loan packaging, etc.) will be conveniently delivered by the staff of the Economic Research and Development Center and through partnership arrangements with other technical assistance providers. In addition, UAPB faculty, staff, and selected business and community leaders are solicited to serve as mentors providing business expertise to incubator tenants.

Internationally, prestige accrues to UAPB through the generous contributions of faculty to the richness of the learning experience and to the knowledge base of their disciplines. More than 16,000 alumni represent UAPB internationally and in many professions. The survey administered to applicants for graduation applicants reveals a high level of satisfaction and that UAPB met and exceeded their expectations with regard to personal social skills, critical thinking, computer application and technology, teachers' knowledge of the subject matter, teacher availability for consultation, advisor's knowledge and availability, and clear communication and fair application of rules, policies, and practices.

As the fifth largest employer in Jefferson County generating more than \$113 million in economic activity, directly and indirectly, and creating more than 1,500 jobs, UAPB has more than 135 years of experience in solving problems related to matters of education, health, welfare, economic development, and governmental affairs for the Pine Bluff community, Arkansas, and the nation. For an extended time, UAPB has been and continues to be the "Flagship of the Delta". The "Flagship of the Delta" designation (copywrite) is justified by its historical service to all citizens of the Arkansas Delta, especially African Americans. Over the years UAPB (formerly A.M.&N. College) carried a tremendous burden for the social inequities of Arkansas and became skilled in doing so.

Planning, Management and Evaluation

UAPB continues to enjoy a enviable history of producing African American professionals. The Ron McNair, STEM and others and the concomitant role models on the UAPB campus have contributed to a disproportionate production level. Also, the Industrial Technology program is producing highly sought after graduates who are spirited away to other states because of attractive starting salaries; PhD's in Computer Science, Physics, Recreation and Chemistry at major institutions are recent graduates. UAPB successfully addresses culture and core values. Student and faculty expectations, governing boards and agencies expectations, responsibility and work ethics among faculty, technology, levels of academic

UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

preparedness with students, and projected decline in enrollment. What is likely to be different five years from now, the institution notes such changes as an increased number of non-traditional and foreign students, expanded language program, more multi-disciplinary programs, more competition, availability of on-line registration, more flexible scheduling, technology-based delivery of services, greater alignment of resources with learning environment, access to long-distance learning, availability of courses on the WEB, increase in self-instruction texts, stabilized finances, stabilized enrollment, optimized resources (people most important), improved quality of service to customers. An improved environment for teaching, learning and mentoring the Living-Learning self contained dormitory complex is expected to improve graduation and retention rates.

Given those strong opportunities, what new products and services then should UAPB expect to provide and strengthen? Some visionary commitments include English as a second language program, broader and global emphasis in curriculum development, stronger community outreach, stronger upper level programs, more support services for non-traditional students, efficient and effective cyber technologies, information access to all who have a right to know, the establishment of university wide Total Quality Management of policies and procedures, concern for retention, use of data-driven student tracking, alignment of academic programs and population links, reduced vulnerability to environment, and willingness to embrace business models of operation, e.g. market segmentation, target marketing. In addition the Hispanic Institute funded by a Title III Grant is expected to draw students from the second sized minority population.

Equally important during deliberations were the insight and objectivity about products and services that the institution possibly should not be providing five years from now. For that analysis, criteria such as programs and services determined to low-productivity, based on agreed to prescribe measures of UAPB and governing boards and agencies, were used.

As the University of Arkansas at Pine Bluff continually and strategically engages in a cyclical study and planning process, careful attention is given to maintaining the values that have sustained this institution over the years. Salient points of those deliberations include providing a general education, preparing graduates for specific occupations and solving educational, economic, social, political, and environmental problems. Further discussions lead to elements such as 1890 Land Grant, historically black university, first-generation students, traditional and non-traditional students and commuting and resident students. Then more points surfaced such as open admission, issues peculiar to the Delta, and education outside the classroom. After putting the institution's continuing challenges on a grid with its strengths, it is determined

UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

that the quality of the learning environment, the strong sense of identity and mission, the strong sense of community, the commitment to mind and character, the partnerships and other alliances, and the historic and programmatic ties to higher education will be the building blocks to the health and vitality of UAPB.

The University of Arkansas at Pine Bluff is committed to an institutionalized set of procedures which translate the institutional vision and mission into definitive goals and related objectives, developed policies and operational procedures to achieve stated goals and objectives, and monitored and assessed achievement against intended documented outcomes.

The enrollment management plan of the University of Arkansas at Pine Bluff serves as a blueprint for coordinating the enrollment and retention efforts of the entire university “community”. The development of the plan involved an analysis of the factors that affect enrollment and an understanding of the university’s priorities and mission.

During the 2003-2004 year, a campus wide committee was commissioned to examine all aspects of UAPB for efficiency and effectiveness strategies toward the themes of sustaining quality, reducing cost, increasing revenue, and enhancing efficiency. The report has been and will continue to be directional to the decision makers at UAPB. Also, a faculty committee was appointed to develop strategies for the improvement of faculty salaries. A second faculty-staff committee developed and implemented a pilot boot camp program designed to provide low ACT SCORE Achievers with a summer experience to enhance their academic performance. If the results are positive then it will be expanded as resources become available.

Major Fiscal Challenges for this Biennium

What challenges UAPB strongly now is the need for resources not based on enrollment for programs and services. This need is because of the conditions of some aging facilities and the requisite level of support to technology. UAPB knows that about \$20 million is needed for major repairs and maintenance to several buildings along with about \$15 million directed toward library expansion, diversity center construction, and partial funding toward noninstructional facilities. UAPB also knows that continuing support to the national leading edge technology that it has managed to put in place over time requires approximately \$1million annually, before cost recovery, but is necessary to the efficiency and effectiveness

UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

of the planning, management, and assessment functions of teaching, research, and service. UAPB is committed to full compliance with the American Disability Act, and to continue the work, additional funding will be required to complete that initiative. Finally, the continuation of the 1890 Match will ensure that a major tenet of the UAPB mission, research and service, is not compromised.

We must have a shift in our thinking and that of our constituencies about graduate education and its role in the attainment of the level of excellence we desire. A substantially expanded graduate studies effort is critical to our academic aspirations and to our effectiveness as a continuing significant and contributing land-grant university. Broadening the academic programs to include master's programs in Human Resources Management, Business Management, Information Technology and Regulatory Sciences is a growth area. Also, the PhD in Aquaculture is an urgent need for the support of the Aquaculture Industry in Arkansas; UAPB is one of a few 1890 Land Grant Institutions which does not offer a PhD. A focus on the preparation of service professionals and the development of service industries is needed and can be accomplished through doctoral offerings especially in Aquaculture/Fisheries (currently designated as a Center of Excellence), Agriculture Industries, and in educational leadership. The UAPB role and scope needs to be expanded to offer masters degrees generically. The university is currently limited to master's degrees in three areas: education, aquaculture/fisheries and addiction studies. Also, by 2010, the role and scope must be expanded to include select doctoral degrees, especially in aquaculture/fisheries, agriculture, education and addiction studies. These programs will build on existing strengths, uniqueness and service to the Delta and to the state of Arkansas in the areas of market development and healthy human capital development. In the following sections, the framework of the university's participation in higher education and this biennial request is delineated and includes the mission and vision statements and the corresponding institutional goals, objectives and strategies.

II. Institutional Mission and Vision Statements

A—Historical

UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The University of Arkansas at Pine Bluff was created in 1873 for the convenience and well being of the poorer “classes”. A second statutory Act in 1890 designated the institution as Land Grant, and made the Morrill Act of 1862 applicable in every respect to Agricultural, Mechanical and Normal College.

The Act of 1862 charges the College, without its excluding other scientific and classical studies, and including military tactics, to teach such branches of learning as are related to agriculture and the mechanic arts.

B—Evolving

While the University of Arkansas at Pine Bluff will continue to maintain a special sensitivity to the needs, aspirations, problems, and opportunities of its historic constituents it shall expand its mission with a high degree of excellence and with a sense of constantly improving quality.

To fulfill its mission of service to this heterogeneous student clientele differing academically, socially, racially, ethnically and culturally, UAPB shall develop creative and innovative activities that produce new curricular models in the fields of aesthetics, social and political institutions, and scientific technical development.

The thrusts of this new and expanded mission could and should result in the re-examination and improvement of value systems and moral behavior of political institutions and of the economic system. Such a mission does not merely support the advancements of science and technology; it uses science and technology to help solve economic, physical, social, political, racial and cultural problems.

The UAPB two fold mission is a result of the merger agreement which resulted in the formerly A.M.&N. College being merged into the University of Arkansas System.

UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The agreement specified that UAPB would continue to be especially sensitive to its traditional clientele. Further it was specified that UAPB would expand its service to a more diverse population and demonstrate how these groups could work in harmony. The evolving mission cannot be realized until UAPB becomes a true university.

Vision Statement

We believe it is important to the future of the University of Arkansas at Pine Bluff (UAPB) that;

UAPB be known as a comprehensive, 1890 Land-Grant institution that provides open door liberal and professional education for its traditional clientele as well as lifelong learning experience for other population groups with concern for the success of all students; that

UAPB be known for its Center of Excellence—Aquaculture/fisheries biology, and its Centers of Emphasis—teacher education, mathematics and science, minority business development, and student leadership development; that

UAPB offer comprehensive research and services and be an active partner and neighbor in the educational, business and industrial communities of Arkansas and the nation; that

UAPB provide accessible and affordable offerings that are innovative and relevant, and where course offerings and student performance ascribe the highest principles and standards of academic excellence; that curricular plans be designed to prepare students for functioning in a global environment where human and economic interdependencies are ever increasing; that

UAPB maintain a climate that fosters the intellectual and social development of a diversified student body; that is caring friendly, and respectful of the dignity of all individuals; that

UNIVERSITY OF ARKANSAS AT PINE BLUFF

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

UAPB be a model of resource and environmental stewardship; that

UAPB take pride in itself as a dynamic, creative, future-oriented place of learning and growth and that UAPB accept the responsibility to develop excellence within us and produce students and graduates that reflect some consciousness, intellectual prowess and the highest levels of professionalism and integrity in their lives and work.

III. Institutional Programs and Goals

Program 1. Meet the historical and evolving specifics of our 1890 Land-Grant Mission related to curricular offerings.

Program 2. Satisfy the requirements of our 1890 Land-Grant University with regard to research.

Program 3. Satisfy the requirements of our 1890 Land-Grant University with regard to public service.

Program 4. Meet the historical and evolving mission of our 1890 land grant heritage related to institution wide units necessary to the support of teaching and learning.

Program 5. Meet the historical and evolving mission of our 1890 land grant heritage along with the expectations of governing bodies related to planning, management, and evaluation.

Program I. Teaching - Meet the historical and evolving mission of our 1890 land grant heritage related to curricular offerings.

Goal 1: Strengthen institutional quality through graduate and undergraduate programs of excellence in in-class and out-of-class teaching and learning activities. (Academic Affairs, Student Affairs)

Objectives:

UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS

- Promote program excellence and access, epitomized by high expectations and effective, a global perspective, technology literacy, and responsive teaching and learning.
- Create a network of support mechanisms that will facilitate excellence in teaching and learning.
- Promote and support faculty/staff excellence through effective recruitment, engagement, appropriate recognition and rewards, development, and opportunities for career advancement.

- Promote and support student excellence through appropriate recognition, ongoing assessment, advisement, appropriate curricular offerings and research engagement experiences, counseling and testing, health and wellness, leadership training and experiences, as well as opportunities for academic, social, and career advancement.
- Develop and implement effective strategies to increase recruitment, retention, and graduation rates.

Program 4. Meet the historical and evolving mission of our 1890 land grant heritage related to institution wide units necessary to the support of teaching and learning.

- Develop an effective and efficient infrastructure for distance learning that facilitates increased course offerings at a distance.
- Develop new programs that meet the continuously evolving needs of constituencies.

Program 2: Research- Meet the historical and evolving mission of our 1890 land grant heritage related to research and creative works.

Goal 2: Engage faculty/staff and students in mission-oriented research and creative activities to solve stakeholder problems and enhance the quality of life.

Objectives:

- Establish institutional mechanisms (i.e., release time, support staff, facilities, and grants management) to promote research, scholarly, and creative activities.

UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS

- cost
- Provide financial incentives and rewards to recognize to recognize faculty productivity in research and scholarly activities.
 - Establish partnerships and collaborations with other institutions and agencies to broaden the scope and productivity of research and creative activities.
 - Increase the university's investment in research and scholarly activities through seed grants and indirect recovery.

Program 3: Public Service - Meet the historical and evolving mission of our 1890 land grant heritage related to public service

Goal 3: Strengthen the institution's quality in scholarship through engaging the entire university in fulfilling its public service mission.

Objectives:

- Identify and provide resources to support public service and engagement.
- Provide opportunities for service initiatives in every curriculum
- Establish collaborative partnership efforts across units, programs, and communities to facilitate the University's public service mission.
- Provide incentives and recognition for engagement and public service initiatives.

Program 4: Institutional Support - Meet the historical and evolving mission of our 1890 land grant heritage related to institution wide units necessary to the support of teaching and learning.

Goal 4: Enhance services offered by support units in executive management, finance and administration, and student affairs.

Objectives:

UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS

- Enhance services offered by the office of human resources, financial aid and student accounts.
- Enhance services offered by office of research and sponsored programs in seeking and administering funds for research and sponsored programs.
- Provide alternative methods of storing files and records
- Maintain and develop physical facilities that will allow a safe and effective teaching, learning, research, and service environment.
- Strengthen information technology resources to enhance all of the university's activities.
- Maintain sufficient reserves in the university's fund balance.
- Promote awareness among clientele, potential clientele, potential funders, and other constituencies about UAPB activities and their impact.

Objectives:

Program 5: Assessment and Planning- Meet the historical and evolving mission of our 1890 land grant heritage along with the expectations of governing bodies related to planning, management, and evaluation.

Goal 5: Ensure accountability and continuous improvement of programs and services by implementing a comprehensive institutional assessment system with a planning and budgeting model.

Objectives

- Establish an office of academic assessment and accountability.
- Integrate assessment plan and activities into an effective assessment system
- Apply results generated from assessment data to ensure academic quality improvements at the departmental level.
- Develop and implement a mechanism for timely response to changing state and federal academic policies.

UNIVERSITY OF ARKANSAS AT PINE BLUFF

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

- Use resources effectively to meet constituent needs.
- Implement student evaluations to enhance student achievement
- Institutionalize a mechanized, institution-wide data base that empowers managers to make data driven decisions.
- Implement a cyclical planning, managing, and evaluating system.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	26,772,148		26,967,583		27,453,632		27,994,474		27,957,859		28,579,320		28,438,703	
2 CASH	62,451,115		110,000,000		110,000,000		114,180,000		114,180,000		116,349,420		116,349,420	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$89,223,263	677	\$136,967,583	681	\$137,453,632	975	\$142,174,474	928	\$142,137,859	925	\$144,928,740	928	\$144,788,123	925
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	24,875,532	28%	25,148,675	18%			26,175,566	18%	26,138,951	18%	26,760,412	18%	26,619,795	18%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,896,616	2%	1,818,908	1%			1,818,908	1%	1,818,908	1%	1,818,908	1%	1,818,908	1%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	15,500,527	17%	50,000,000	37%			55,000,000	39%	55,000,000	39%	57,000,000	39%	57,000,000	39%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	46,950,588	53%	60,000,000	44%			59,180,000	42%	59,180,000	42%	59,349,420	41%	59,349,420	41%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$89,223,263	100%	\$136,967,583	100%			\$142,174,474	100%	\$142,137,859	100%	\$144,928,740	100%	\$144,788,123	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$2,065,110
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,277,587
INVENTORIES	\$30,858
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$4,286,281
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$4,779,616)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS AT PINE BLUFF
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	11,295,114	11,473,708	11,909,709	12,135,993
2 RESEARCH	247,522	343,555	356,610	363,386
3 PUBLIC SERVICE	156,336	170,426	176,902	180,263
4 ACADEMIC SUPPORT	4,023,887	3,673,052	3,812,628	3,885,068
5 STUDENT SERVICES	3,583,074	3,813,218	3,958,120	4,033,325
6 INSTITUTIONAL SUPPORT	5,755,989	6,131,092	6,070,797	6,053,306
7 PHYSICAL PLANT M&O	4,883,738	5,292,487	5,493,602	5,597,980
8 SCHOLARSHIPS & FELLOWSHIPS	3,362,330	4,146,048	4,146,048	4,146,048
9			0	
10			0	
11			0	
12			0	
13 MANDATORY TRANSFERS	1,426,661	1,552,820	1,552,820	1,552,820
14 AUXILIARY TRANSFERS	1,262,446	1,262,446	1,262,446	1,262,446
15 NON-MANDATORY TRANSFERS	1,500,000	0	0	
16 TOTAL UNREST. E&G EXP.	\$37,497,097	\$37,858,852	\$38,739,682	\$39,210,634
17 NET LOCAL INCOME	15,500,527	14,541,684	14,541,684	14,541,684
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	21,233,676	21,498,260	22,379,090	22,850,042
20 EDUCATIONAL EXCELLENCE	1,896,616	1,818,908	1,818,908	1,818,908
21 WORKFORCE 2000				
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **				
24 TOTAL SOURCES OF INCOME	\$38,630,819	\$37,858,852	\$38,739,682	\$39,210,634

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS AT PINE BLUFF
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 Research	1,881,753	1,836,694	1,911,381	1,891,417	1,966,672	1,896,363
2 Public Service	1,760,103	1,813,721	1,885,095	1,868,444	1,943,698	1,873,390
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$3,641,856	\$3,650,415	\$3,796,476	\$3,759,861	\$3,910,370	\$3,769,753
17 NET LOCAL INCOME						
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	3,641,856	3,650,415	3,796,476	3,759,861	3,910,370	3,769,753
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$3,641,856	\$3,650,415	\$3,796,476	\$3,759,861	\$3,910,370	\$3,769,753

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS AT PINE BLUFF
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	14,218,233	13,846,684	13,846,684	13,846,684
2 ALL OTHER FEES	87,490	139,000	139,000	139,000
3 OFF-CAMPUS CREDIT	104,904	36,000	36,000	36,000
4 NON-CREDIT INSTRUCTION	47,528			
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	89,891	145,000	145,000	145,000
6 INVESTMENT INCOME	62,322	10,000	10,000	10,000
7 OTHER CASH INCOME*	890,159	365,000	365,000	365,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	15,500,527	14,541,684	14,541,684	14,541,684
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$15,500,527	\$14,541,684	\$14,541,684	\$14,541,684

*Other Cash Income (Indirect Cost Recovery, Trademarks, M&R & Vending)

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CGA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION 616

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	16,834,446	17,000,140	17,186,190	17,624,402	17,927,522		
2 EXTRA HELP WAGES	749,990	794,765	794,764	823,950	838,121		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	4,328,145	4,192,844	4,492,844	4,346,809	4,421,570		
5 OPERATING EXPENSES	3,619,567	3,739,834	3,739,834	3,913,885	3,998,399		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	240,000	240,000	240,000	248,813	253,092		
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$26,772,148	\$26,967,583	\$27,453,632	\$27,957,859	\$28,438,703	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	24,875,532	25,148,675		26,138,951	26,619,795		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,896,616	1,818,908		1,818,908	1,818,908		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$26,772,148	\$26,967,583		\$27,957,859	\$28,438,703	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CGA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION 616

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	16,834,446	17,000,140	17,186,190	17,000,140	17,000,140		
2 EXTRA HELP WAGES	749,990	794,765	794,764	794,765	794,765		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	4,328,145	4,192,844	4,492,844	4,192,844	4,192,844		
5 OPERATING EXPENSES	3,619,567	3,739,834	3,739,834	3,739,834	3,739,834		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	240,000	240,000	240,000	240,000	240,000		
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$26,772,148	\$26,967,583	\$27,453,632	\$26,967,583	\$26,967,583	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	24,875,532	25,148,675		25,148,675	25,148,675		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,896,616	1,818,908		1,818,908	1,818,908		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$26,772,148	\$26,967,583		\$26,967,583	\$26,967,583	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CGA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION 616

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	16,834,446	17,000,140	17,186,190	15,893,486	15,893,486		
2 EXTRA HELP WAGES	749,990	794,765	794,764	731,442	731,442		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	4,328,145	4,192,844	4,492,844	4,192,844	4,192,844		
5 OPERATING EXPENSES	3,619,567	3,739,834	3,739,834	3,179,404	3,179,404		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	240,000	240,000	240,000	210,000	210,000		
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$26,772,148	\$26,967,583	\$27,453,632	\$25,207,176	\$25,207,176	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	24,875,532	25,148,675		23,388,268	23,388,268		
17 EDUCATIONAL EXCELLENCE TRUST FUND	1,896,616	1,818,908		1,818,908	1,818,908		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$26,772,148	\$26,967,583		\$25,207,176	\$25,207,176	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FORUMLA WITHOUT REVISIONS
2009-11 BIENNIUM**

FUND CGA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION 616

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	16,834,446	17,000,140	17,186,190	17,624,402	17,927,522		
2 EXTRA HELP WAGES	749,990	794,765	794,764	823,950	838,121		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	4,328,145	4,192,844	4,492,844	4,346,809	4,421,570		
5 OPERATING EXPENSES	3,619,567	3,739,834	3,739,834	3,913,885	3,998,399		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	240,000	240,000	240,000	248,813	253,092		
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$26,772,148	\$26,967,583	\$27,453,632	\$27,957,859	\$28,438,703	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	24,875,532	25,148,675		26,138,951	26,619,795		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,896,616	1,818,908		1,818,908	1,818,908		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$26,772,148	\$26,967,583		\$27,957,859	\$28,438,703	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2020000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION B13

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	26,244,895	26,701,216	26,701,216	27,715,862	28,242,464		
2 EXTRA HELP WAGES	1,922,748	4,000,000	4,000,000	4,152,000	4,230,888		
3 OVERTIME		4,200,000	4,200,000	4,359,600	4,442,432		
4 PERSONAL SERVICES MATCHING	6,496,162	8,498,784	8,498,784	8,821,738	8,989,351		
5 OPERATING EXPENSES	18,712,465	13,200,000	13,200,000	13,701,600	13,961,930		
6 CONFERENCE FEES & TRAVEL	507,030	2,150,000	2,150,000	2,231,700	2,274,102		
7 PROFESSIONAL FEES AND SERVICES	1,972,530	2,150,000	2,150,000	2,231,700	2,274,102		
8 DATA PROCESSING	0	2,900,000	2,900,000	3,010,200	3,067,394		
9 CAPITAL OUTLAY	5,232,048	13,150,000	13,150,000	13,649,700	13,909,044		
10 CAPITAL IMPROVEMENTS		20,600,000	20,600,000	21,382,800	21,789,073		
11 DEBT SERVICE	363,237	3,350,000	3,350,000	3,477,300	3,543,369		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		9,000,000	9,000,000	9,342,000	9,519,498		
13 PROMOTIONAL ITEMS		100,000	100,000	103,800	105,772		
14 FUNDED DEPRECIATION	1,000,000						
15							
16 CONTINGENCY							
17 TOTAL APPROPRIATION	\$62,451,115	\$110,000,000	\$110,000,000	\$114,180,000	\$116,349,420	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	15,500,527	50,000,000		55,000,000	57,000,000		
20 FEDERAL CASH FUNDS	46,950,588	60,000,000		59,180,000	59,349,420		
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$62,451,115	\$110,000,000		\$114,180,000	\$116,349,420	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED		LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	677	681	975	928	925		
TOBACCO POSITIONS							
EXTRA HELP **	363	363	924	924	924		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS AT PINE BLUFF
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	2,658,381	4,366,554	528,219	(2,236,392)	4,405,453	5,097,766	760,000	(1,452,313)
2 RESIDENCE HALL	4,373,043	2,820,711	828,975	723,357	3,692,000	2,418,225	992,789	280,986
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	3,208,061	2,102,350		1,105,711	2,610,932	2,339,562		271,370
6 COLLEGE UNION	40	321,167		(321,127)	0	300,835		(300,835)
7 BOOKSTORE	151,100	1,964		149,136	130,000			130,000
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	44,125	32,184		11,941				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER	276,911	452,986	80,137	(256,212)	267,500	459,154		(191,654)
11 SUBTOTAL	\$10,711,661	\$10,097,916	\$1,437,331	(\$823,586)	\$11,105,885	\$10,615,542	\$1,752,789	(\$1,262,446)
12 ATHLETIC TRANSFER **	1,078,234			1,078,234	1,078,234			1,078,234
13 OTHER TRANSFERS ***	184,212			184,212	184,212			184,212
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$11,974,107	\$10,097,916	\$1,437,331	\$438,860	\$12,368,331	\$10,615,542	\$1,752,789	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

****OTHER: TRAFFIC FINES, DECALS, TRANSIT FEES AND SPECIAL EVENTS**

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT PINE BLUFF
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>663</u>
						(As of November 1, 2007)
Nonclassified Administrative Employees:						
White Male:	<u>21</u>	Black Male:	<u>69</u>	Other Male:	<u>5</u>	Total Male: <u>95</u>
White Female:	<u>9</u>	Black Female:	<u>81</u>	Other Female:	<u>4</u>	Total Female: <u>94</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>8</u>	Black Male:	<u>102</u>	Other Male:	<u>1</u>	Total Male: <u>111</u>
White Female:	<u>8</u>	Black Female:	<u>159</u>	Other Female:	<u>1</u>	Total Female: <u>168</u>
Faculty:						
White Male:	<u>43</u>	Black Male:	<u>51</u>	Other Male:	<u>18</u>	Total Male: <u>112</u>
White Female:	<u>22</u>	Black Female:	<u>57</u>	Other Female:	<u>4</u>	Total Female: <u>83</u>
Total White Male:	<u>72</u>	Total Black Male:	<u>222</u>	Total Other Male:	<u>24</u>	Total Male: <u>318</u>
Total White Female:	<u>39</u>	Total Black Female:	<u>297</u>	Total Other Female:	<u>9</u>	Total Female: <u>345</u>
Total White:	<u>111</u>	Total Black:	<u>519</u>	Total Other:	<u>33</u>	Total Employees: <u>663</u>
				Total Minority:	<u>552</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas – Pine Bluff
June 30, 2007

<p><i>Finding:</i></p>	<p><u>Disaster Recovery or Business Continuity Plans</u> There is no formal documented and approved Disaster Recovery Plan. This situation could cause the University to be without computer-processing abilities for an extended period of time in the event of a disaster or major interruption; no disaster recovery testing has been done. Without adequate testing of the plan, there is no way to ensure the plan will work as designed.</p> <p>There is no formal documented and approved Business Continuity Plan. Application users have no manual procedures to activate in a disaster situation. Without manual procedures, operations could not continue in a disaster. Similar findings were reported in fiscal years 2003, 2005 and 2006.</p>
<p><i>Recommendation:</i></p>	<p>The University of Arkansas Pine Bluff develop a formal Disaster Recovery Plan, have the plan approved by management, and conduct formal testing of the plan on a regular basis.</p>
<p>Institution's Response:</p>	<p>A central component to day to day operations at University of Arkansas at Pine Bluff is the consistent availability of IT resources. Any event that interrupts the availability of those resources or the associated data could be referred to as a disaster. Disaster could be as relatively simple as data recovery due to a system failure or as complex as restoration of operations following large scale natural disaster or act of terrorism. Depending on level of disaster, some or all of the following may be required.</p> <p><u>Communications</u> In order to resume operations, connections to telecommunications providers must be established or restored. Initial contact can be made via PSTN (Public Switched Telephone Network) phone service or cellular service if it is available. Initial contact would be AT&T then contact would be made to DIS. First priority would be to re-establish PRI (Primary Rate Interface) connectivity for the purpose of providing voice communications to the campus VoIP network. At the same time, if possible, Frame Relay circuits to allow communications via electronic media such as email would be re-established.</p> <p>Next communication would be to Cisco Systems with whom coordination of acquiring necessary telecommunications equipment e.g. 6500 series and 3750</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas – Pine Bluff
June 30, 2007

	<p>switchgear, routers, call manager servers, ASAs, Unity Exchange voicemail servers and handsets would take place. The initial equipment would be used to re-establish telephone communications for the administration, campus police, physical plant, and the radio station areas on campus. After initial communication is re-established, a second phase would focus on communications for residence halls, classroom buildings and other areas.</p> <p>A value added reseller would be engaged to help facilitate the acquisition and implementation of the telecommunications and network infrastructure gear. An authorized Cisco reseller would be engaged to assist in the acquisition and implementation of Cisco equipment, based on a predetermined SLA or Service Level Agreement. The SLA would outline required equipment and services and the timeframe in which said equipment and services would be delivered.</p> <p><u>Administrative System (ERP)</u> The system central to the business on campus is the Colleague system developed by Datatel. Data is backed up daily and stored offsite weekly so most recent available backup tape would be identified and secured. Next, contact with Hewlett Packard and an authorized value added reseller would be made. Based on predefined SLA, an Intel Itanium server running HP UNIX (whose specs would be defined in the SLA) that is capable of running the Colleague system would be acquired. With the new server onsite, Datatel would be engaged, again based on SLA with Datatel, to install the current version of Colleague based on campus needs and specifications. Once the OS and Application are installed, the most recent backup data would be restored to the server.</p> <p><u>Active Directory</u> In the event that local or wide area network services are interrupted due to failure or loss of primary or secondary domain controllers, a value added reseller would be engaged. Based on predefined SLA, replacement servers would be acquired and configured to provide Active Directory services.</p> <p><u>Domain Name Server</u> In the event that Internet services or Electronic mail services are interrupted due to loss or failure of Domain Name Services, a value added reseller would be engaged. Based on predefined SLA, replacement server would be acquired and configured to provide Domain Name Services.</p>
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DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas – Pine Bluff
June 30, 2007

	<p><u>Electronic Mail</u> In the event that Electronic mail services are interrupted, a value added reseller would be engaged, and based on predefined SLA; replacement servers would be acquired and configured to provide MS Exchange email services. Most recent available backup would be restored to the new server to minimize data loss.</p> <p><u>End User Workstations</u> In order to provide workstations for staff to resume duties as quickly as possible, a value added reseller would be engaged and based on predefined SLA provide the necessary number of PC workstations to the campus. Reseller would also be engaged to assist in setup and configuration of the aforementioned PC workstations. Workstation connectivity to the LAN and appropriate applications would need to be established and tested.</p>
<p><i>Finding:</i></p>	<p>To be considered administratively capable, an institution must have a cohort default rate of 15% or less. The current year cohort default was 57.14%. A similar finding has been reported in previous audits of Federal Perkins Loan Program – Federal Capital Contributions.</p>
<p><i>Recommendation:</i></p>	<p>We again recommend the University establish procedures to lower the University's cohort default rate to an acceptable level.</p>

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UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

INTRODUCTION

The University of Arkansas for Medical Sciences (UAMS), Arkansas's only academic health sciences center, is the primary source of health care professionals for the state. Initial steps have been taken to establish the institution's first satellite campus in order to increase the number of health care providers in the state. Arkansas ranks 48th in the nation in physicians per 100,000 people. There are similar shortages in pharmacy, nursing and Allied Health. Although lack of space does prohibit the central campus from adequately increasing the number of graduates, the primary reason is that clinical sites in central Arkansas are saturated. UAMS provides high-level tertiary and quaternary care at University Hospital; serves the state, nation, and world through research discovery; and provides numerous related services to Arkansas and its citizens.

Talented and dedicated faculty and staff are the core for carrying out the institution's four-part mission: To Teach, To Heal, To Search, To Serve. Among its many strengths are six outstanding centers of excellence: the Winthrop P. Rockefeller Cancer Institute, the Harvey and Bernice Jones Eye Institute, the Donald W. Reynolds Institute on Aging, the Jackson T. Stephens Spine and Neurosciences Institute, the Myeloma Institute of Research and Therapy, and the Psychiatric Research Institute. The eight regional Area Health Education Centers (AHECs) of UAMS combine with central resources to form an Area Health Education Program that is annually ranked as one of the top two in the nation. With these and other world class clinical services, such as ANGELS, the Arkansas Aging Initiative, the Cancer Screening Outreach Program, a new Arkansas Stroke Program and Kids First, UAMS and its programs are in great demand. This increasing demand is an important opportunity for growth and expansion.

As the only academic health sciences center in the state, UAMS has many opportunities to create "magnet" areas of excellence in education, clinical care, and research. Interdisciplinary practice and programs provide for partnership in our education, clinical and research missions.

The primary challenge for UAMS will always be to deliver excellent services throughout the state. In an effort to continue to improve, UAMS has expanded our internet technology resources through grants and other non-state funds. UAMS, with the limited state-funded base that it has, continues to be one of the very lowest state funded teaching hospitals in the

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

nation. The current level of state financial support makes it difficult for the University to absorb the significant educational costs tuition fails to cover and the substantial indigent care costs that are not reimbursed.

The major institutional threat is the considerable dependence on clinical revenue to support other UAMS missions. This is occurring at the same time that the number of uninsured patients continues to increase and UAMS, as the state's "safety net" hospital facility, incurs the added expense of their care. Even for insured patients, there is concern. It is anticipated that third party payors, including Medicare and Medicaid, will be providing less support throughout the years to come.

Despite completion of the Harry P. Ward Tower in 1999, the hospital's core inpatient facilities remained outmoded and in need of replacement. The old hospital's limited bed capacity and related services restrict the ability to accommodate the number of patients who seek admission. Inpatient volumes have increased and, even with a continued emphasis on reducing the overall length of stay, more beds were needed. These are bases on which the new patient bed tower was constructed. The 50 year old hospital will be replaced in January of 2009 when the new hospital (333 adult beds and 64 nursery beds) will open its doors to patients. In addition, in December of 2008, the new Psychiatry Research Institute (PRI) will also open to provide much needed inpatient and outpatient care for Arkansans of child thru adult ages. The PRI will allow the increase of cutting edge research and teaching opportunities for medical students.

UAMS continues to target additional untapped financial resources at the federal level for clinical and grant support. There have been significant increases in federal resources at the clinical level but this is jeopardized due to an overall reduction in NIH and other federal budgets. The Institution is working to identify and successfully pursue these sources. Efforts to be awarded grants from private and public entities are also being made. There continues to be an increase in philanthropic support, such as the gifts from Harvey and Bernice Jones, Pat and Willard Walker, the Jackson T. Stephens family and the Donald W. Reynolds Foundation.

UAMS continues to grow as a dynamic and respected institution despite its challenges and threats. There continues to be a sense of optimism among faculty and staff and a shared vision of an institution that is excellent in every regard.

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The University of Arkansas for Medical Sciences has the four-part mission to Teach, Heal, Search and Serve. Our goals, objectives and strategies relating to this responsibility during the 2009-11 biennium include:

Goal 1. Education

To educate graduates who are compassionate, provide quality care and meet the needs of the state, and who embrace life-long learning

Objective 1. Strengthen the impact of our graduates on the state

Strategies:

1. Increase the number of graduates staying in the state
2. Assure that rural and underserved areas have practitioners
3. Work to assure improved patient outcomes through use of evidence-based medicine and protocols

Objective 2. Identify and respond to Arkansas' health workforce needs especially as it relates to an aging population

Strategies:

1. Each college will determine the demand for its graduates from their programs and its new educational programs.
2. Adjust enrollment levels in existing programs and create new programs as indicated by

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

healthcare workforce needs.

Objective 3. To recruit and retain productive faculty and staff for educational programs

Strategies:

1. Select educational areas for development
2. Ensure that salaries of productive faculty and university leaders are competitive for the purpose of recruitment and retention
3. Identify and prioritize infrastructure needs to support the educational mission

Objective 4. To attract outstanding students, including those from under-represented minorities to careers in healthcare

Strategies:

1. Strengthen supportive pre-college and pre-admission programs that will serve as a source of underrepresented students
2. Consolidate pre-college student development programs to achieve greater synergy among the existing programs sponsored by various units.
3. Improve promotion of creative programs both on campus and throughout the state that will foster interest in health care careers
4. Evaluate the present support system available to UAMS students to identify needed areas of improvement
5. Expose gifted and talented students to experiences that will encourage them to choose a career in academic health sciences.
6. Market the educational programs at UAMS

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Objective 5. Provide suitable education sites and facilities to educate increasing numbers of students

Strategies:

1. Build additional lecture and small group teaching space on the UAMS campus
2. Establish a satellite campus in Northwest Arkansas for the clinical education of additional students in Medicine, Pharmacy, Health Related Professions, Nursing and Public Health

Objective 6. To increase financial support for education [In cooperation with Governmental Affairs]

Strategies:

1. Create a strategic plan to increase the financial support for the educational mission of each college, including strategies for the Development Office, Legislative Affairs Office, etc.
2. Create a capital funding initiative for construction, maintenance and operation of facilities to support education throughout the state
3. Increase endowments to support UAMS scholarship programs
4. Upon approval, participate in the Campus Comprehensive Campaign, including all phases of study, planning and operations of such a campaign designed to raise funds for educational facilities, endowments and programs

Goal 2.

Clinical Programs

To provide outstanding, patient-centered health care

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Objective 1. Improve access to clinical care

Strategies:

1. Re-engineer inpatient and outpatient processes to increase the efficient access to patient care facilities.
2. Implement mechanisms to ensure accountabilities related to an efficient and cost-effective performance
3. Measure performance using standard metrics of utilization of clinics, operating suites, etc.

Objective 2. Develop and optimize clinical services

Strategies:

1. Expand selected clinical programs
2. Define development processes in an action plan which features personal and unit-level accountability

Objective 3. Continuously improve the quality and safety of patient care

Strategies:

1. Integrate and improve existing hospital/departmental quality-monitoring programs
2. Participate in appropriate external quality-monitoring programs
3. Maintain a Patient Safety Program that exceeds acceptable national standards
4. Implement information systems that enhance the provision of high quality, safe patient care; including an integrated computer-based medical record
5. Make regular visits to the offices of physicians and other health professionals who refer patients in order to gather information to improve services

Objective 4. To improve the satisfaction of patients/families, staff and referring health care providers

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Strategies:

1. Develop a patient satisfaction plan that involves all caregivers of UAMS Medical Center
2. Complete a staff survey every two years and utilize findings to develop specific goals to address concerns/issues
3. Utilize feedback (surveys and office visits), from referring health providers to improve their relationship with UAMS Medical Center

Objective 5. Expand the capital capacities of UAMS Medical Center pursuant to the Strategic Financial Plan

Strategies:

1. Establish performance goals and benchmarks
2. Target and execute key initiatives for cost improvement
3. Redefine UAMS Medical Center budgeting and ensure adequate capital planning and funding
4. Continue fund raising efforts to support capital need

Objective 6. Complete the replacement/expansion plan of clinical facilities

Strategies:

1. Complete construction
2. Remain within budget

Objective 7. To recruit and retain the workforce necessary to accomplish the clinical goal and objectives in this plan

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Strategies:

1. Maintain salaries at a competitive level
2. Develop a formal comprehensive compensation plan that provides financial incentives for performance
3. Develop and implement a rewards/incentive program for employees that focus on the clinical objectives
4. Develop a more routine review of specific vacancy and turnover rates. Implement actions to improve areas that are higher than the goals of the organization
5. Complete an employee survey every two years and use the findings to develop specific goals to address concerns/issues raised by the employees to improve their work environment

Goal 3.

Research

To conduct high impact collaboration research relevant to human health

Objective 1. Increase federal funding for research at a rate substantially higher than that for NIH

Strategies:

1. Increase aggregate funding of the present faculty
2. Recruit new funded and fundable faculty
3. Utilize research space to maximize productivity
4. Provide pilot study and bridging support that lead to extramural funding
5. Retain highly productive faculty by ensuring that their salaries match national benchmarks
6. Develop a business plan to evaluate the impact of increased research funding
7. Enhance the institutional research infrastructure (e.g. biometry, grants management, animal facilities, and the grant writing group) to support the planned expansion of research, including implementation of an information system that would allow accurate identification, tracking and analysis of issues related to research faculty

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

8. Plan coordinated improvements in research core facilities necessary to support research expansion
9. Collaborate with other Arkansas institutions on efforts to strengthen and promote research activities, e.g., National Center for Toxicological Research, other institutions of higher education, and appropriate state agencies such as ASTA

Objective 2. Continue and expand space designated for research at UAMS, at the Central Arkansas Veterans Healthcare System (CAVHS), and Arkansas Children's Hospital Research Institute (ACHRI)

Strategies:

1. Complete shelled space remaining
2. Expand the Winthrop P. Rockefeller Cancer Institute
3. Obtain non-NIH federal support for programmatic expansion
4. Obtain philanthropic support for research programs
5. Begin plans for an additional Biomedical Research Building

Objective 3. Achieve research objectives of selected colleges, centers and institutes within five years

Strategies:

1. Obtain designation as a National Cancer Institute Clinical Cancer Center
2. Continue development of the four areas of research emphasis identified by the College of Nursing
3. Encourage the preparation of large collaborative research grants among colleges
4. Increase funded clinical trials by at least 50 percent
5. Develop nationally or internationally recognized collaborative research areas in several of the departments of the College of Medicine

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Objective 4. Use appropriated tobacco settlement funds as one of the sources to increase external funding for tobacco-related research

Strategies:

1. Emphasize tobacco-related research (e.g. cancer, public health, cardiovascular and pediatric disease research)
2. Support successful current investigators with transitional funding to develop new areas of research that will lead to new grant support in tobacco related research
3. Recruit new faculty to augment tobacco-related research

Objective 5. Increase the number of patents having commercial value and form additional UAMS BioVentures companies

Strategies:

1. Complete the Arkansas BioVentures building and raise operational funds for subsidizing the early development of these companies
2. Strengthen the efforts of the Biomedical Biotechnology Center to facilitate disclosure of inventions, patent applications and retention, licensing, and company development

Objective 6. Maintain research compliance at levels that meet federal requirements regarding financial grants accounting, human volunteer safety, informed consent and privacy

Strategies:

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

1. Assure that UAMS meets or exceeds all applicable federal regulations and accrediting body standards, especially those related to the welfare of human volunteers

Goal 4. Outreach

To improve the health of Arkansans by delivering UAMS programs off-campus

Objective 1. To educate clinically-based practitioners who work effectively as a part of a unified health care team in a rural setting

Strategies:

1. Enhance our system which includes the AHEC System and expertise in distance learning
2. Work effectively with governmental agencies
3. Continue to focus on effective programs

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Objective 2. To increase community partnerships

Strategies:

1. Increase collaborative role of UAMS programs with other healthcare providers
2. Increase collaborative role of UAMS programs with non-healthcare partners

Objective 3. To offer more educational programs to students and health care professionals

Strategies:

1. Increase the number of courses for academic credit delivered off-campus
2. Increase the number of continuing education programs to meet the needs of health care professionals

Objective 4. To increase emphasis on prevention of disease and modification of unhealthy behaviors

Strategies:

1. To continue to work with the state to develop excellent state policies

Objective 5. To increase the number of graduates who choose to practice in underserved areas of the state. (All colleges will address this issue.)

Strategies:

1. Assist all UAMS colleges to improve the effectiveness of existing loan and scholarship programs designed for students committed to practice in underserved areas
2. Increase off-campus educational opportunities for UAMS students
3. Increase access to educational programs for practitioners and students living in underserved areas of the

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

state

4. Increase number of outreach programs in partnership with K-12 programs, colleges and universities, which encourage students to choose health careers
5. Increase the number of programs that assist students from underserved areas in preparation for admission to UAMS colleges
6. To increase the number of UAMS educational programs, selected by demand and/or need, which will provide access to students who cannot participate in traditional on-campus programs, thereby increasing the health professional workforce and upgrading health care professional credentials throughout the state

Objective 6. Develop communication strategies that promote partnerships among health care and non-health care providers

Strategies:

1. Develop and implement a cohesive and consistent way of offering UAMS programs to all appropriate audiences
2. Maintain a web presence that summarizes the various outreach activities and can be accessed through the primary UAMS internet site
3. Using information on outreach programs, promote these programs through ongoing media placements such as the Arkansas Broadcasters' Association, the Arkansas Municipal League Magazine, and the Electric Cooperatives Magazine
4. Request that each AHEC director appoint a communications liaison for each AHEC area, so that information can flow accurately between the Office of Communications and Marketing
5. For marketing and public relations purposes, develop periodic reports which highlight outreach activities

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY UAMS State Appropriation	104,471,994		103,706,359		108,403,514		131,240,691		114,184,212		131,618,399		116,615,082	
2 STATE TREASURY Rural Advanced Prac:Community Match	325,076		325,076		325,076		450,000		450,000		450,000		450,000	
3 STATE TREASURY Rural Advanced Nursing	200,000		200,000		200,000		300,000		300,000		300,000		300,000	
4 STATE TREASURY Poison & Drug Info: Disease State Mgmt.	299,959		299,959		299,959		299,959		299,959		299,959		299,959	
5 STATE TREASURY Breast Cancer Research	1,076,639		1,194,216		1,194,216		1,194,216		1,194,216		1,194,216		1,194,216	
6 STATE TREASURY Breast Cancer Research - Komen	109,548		500,000		500,000		500,000		500,000		500,000		500,000	
7 STATE TREASURY Domestic Violence Shelter Programs	577,712		6,100,000		6,100,000		6,100,000		6,100,000		6,100,000		6,100,000	
8 STATE TREASURY Tobacco Funding: Institute on Aging	1,306,293		1,818,265		1,690,161		1,690,161		1,690,161		1,690,161		1,690,161	
9 STATE TREASURY Tobacco Funding: Col. of Public Health	2,119,073		2,727,397		2,450,749		2,450,749		2,450,749		2,450,749		2,450,749	
10 STATE TREASURY Tobacco Funding: Delta AHEC	1,608,736		2,563,348		1,873,719		1,873,719		1,873,719		1,873,719		1,873,719	
11 STATE TREASURY Tobacco Funding: Ark. Biosciences	3,494,016		6,180,957		6,180,957		6,180,957		6,180,957		6,180,957		6,180,957	
12 CASH	825,339,358		1,905,405,000		1,905,405,000		1,715,365,000		1,715,365,000		1,905,365,000		1,905,365,000	
13														
14														
15														
16 TOTAL	\$940,928,404	8,764	\$2,031,020,577	8,790	\$2,013,808,514	8,955	\$1,867,645,452	12,017	\$1,850,588,973	11,158	\$2,058,023,160	12,017	\$2,043,019,843	11,158
17 FUNDING SOURCES		%		%				%		%		%		%
18 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
19 GENERAL REVENUE	94,411,062	10%	93,004,051	5%			119,838,383	6%	102,781,904	6%	120,216,091	6%	105,212,774	5%
20 EDUCATIONAL EXCELLENCE TRUST FUND	9,595,453	1%	9,202,308	0%			9,202,308	0%	9,202,308	0%	9,202,308	0%	9,202,308	0%
21 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
22 CASH FUNDS	747,028,170	79%	1,605,405,000	79%			1,415,365,000	76%	1,415,365,000	76%	1,605,365,000	78%	1,605,365,000	79%
23 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
24 FEDERAL FUNDS	78,311,188	8%	300,000,000	15%			300,000,000	16%	300,000,000	16%	300,000,000	15%	300,000,000	15%
25 TOBACCO SETTLEMENT FUNDS	8,528,118	1%	13,289,967	1%			12,195,586	1%	12,195,586	1%	12,195,586	1%	12,195,586	1%
26 OTHER FUNDS	4,663,472	0%	10,119,251	0%			11,044,175	1%	11,044,175	1%	11,044,175	1%	11,044,175	1%
27 TOTAL INCOME	\$942,537,463	100%	\$2,031,020,577	100%			\$1,867,645,452	100%	\$1,850,588,973	100%	\$2,058,023,160	100%	\$2,043,019,843	100%
28 EXCESS (FUNDING)/APPROPRIATION	(1,609,059)		0				0		0		0		0	

form 09-1

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$157,146,114
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$100,499,987
INVENTORIES	\$8,388,915
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$0
MAJOR CRITICAL SYSTEMS FAILURES	\$0
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$96,729,001
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	\$0
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$48,471,789)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 COM-College of Medicine	44,114,997	38,267,047	48,605,572	47,317,039	51,226,814	49,544,167
2 CON-College of Nursing	7,019,834	6,789,150	9,825,461	9,564,988	10,136,908	9,803,941
3 COP-College of Pharmacy	8,602,340	8,916,354	10,101,803	9,834,004	10,453,485	10,110,119
4 CHP-College of Health Related Professions	5,721,746	5,646,885	5,889,992	5,733,848	6,357,131	6,148,319
5 CPH-College of Public Health	2,720,845	2,665,637	3,245,606	3,159,565	3,342,974	3,233,168
6 GRS-Graduate School	711,326	580,639	598,058	582,204	616,000	595,766
7 INA-Institutional Administration (includes Chan. Cont., Utilities)	(20,907,630)	27,817,656	33,787,469	32,891,763	34,801,093	33,657,982
9 CHA-Chancellor	2,542,077	1,176,958	1,212,267	1,180,130	1,248,635	1,207,621
10 VCA-Vice Chancellor, Academic Affairs	9,864,878	11,026,126	11,356,910	11,055,838	11,697,617	11,313,385
11 VCF-Vice Chancellor, Finance	6,547,512	6,642,948	6,842,236	6,660,849	7,047,504	6,816,014
12 VAD-Vice Chancellor, Administration	2,795,163	2,738,909	2,821,076	2,746,290	2,905,709	2,810,265
13 OPS-Campus Operations	13,358,670	13,519,172	13,924,747	13,555,602	14,342,490	13,871,382
14 VCC-Vice Chancellor, Communications	2,564,796	2,518,684	2,594,245	2,525,471	2,672,072	2,584,302
15 DAA-Vice Chancellor, Development and Alumni Affairs	1,966,487	1,856,986	1,912,696	1,861,990	1,970,076	1,905,365
16 VCI-Vice Chancellor, Institutional Compliance	263,496	267,459	275,483	268,180	283,747	274,427
17 CIS-Information Technology	17,850,853	18,511,638	19,066,987	18,561,521	19,638,997	18,993,915
18 CLP-Clinical Programs	399,204,481	419,657,603	432,247,331	420,788,454	439,275,016	430,590,782
19 REP-Regional Programs	14,086,999	13,623,628	17,433,434	16,971,274	19,687,616	19,040,937
20 ACC-Winthrop P. Rockefeller Cancer Center	6,735,586	6,440,341	7,967,751	7,756,526	8,335,432	8,061,638
21 MYE-Myeloma Institute	283,567	254,480	262,114	255,166	269,978	261,110
22 JEI-Jones Eye Institute	478,777	486,981	501,590	488,293	516,638	499,668
23 IOA-Institute on Aging	525,410	498,929	513,897	500,273	529,314	511,927
24 SPI-Spine/Neurosciences Institute	364,459	368,927	379,995	369,921	391,395	378,539
25 VCR-Vice Chancellor, Research	1,814,971	415,847	428,322	416,968	441,172	426,681
26 PRI- Psychiatric Research Institute	39	3,421,634	3,650,483	3,553,709	3,778,012	3,654,145
27 Funded Depreciation (Included in INA)	-	-	-	-	-	-
28 MANDATORY TRANSFERS (debt service in 111 & 113)	14,585,871	16,693,375	17,194,176	16,738,350	17,710,002	17,128,281
29 AUXILIARY TRANSFERS	-	-	-	-	-	-
30 NON-MANDATORY TRANSFERS	13,142,408	2,554,157	2,630,782	2,561,040	2,709,705	2,620,699
31 Funded Depreciation (Included in INA)	-	-	-	-	-	-
33 AR Children's Hospital Indigent Care (B)	-	1,852,618	1,908,197	1,857,610	1,965,443	1,900,885
34 Total Unrestricted E & G Expense	\$556,959,958	\$615,210,766	657,178,679	\$639,756,985	674,350,974	\$657,945,430
35 NET LOCAL INCOME (A)	513,474,018	539,604,447	561,369,439	560,526,773	578,669,195	577,266,968
36 PRIOR YEAR BALANCE***						
37 STATE FUNDS:						
38 GENERAL REVENUE (includes Child Safety Center funding)	89,012,106	87,669,522	114,260,144	97,254,714	114,463,802	99,670,789
39 EDUCATIONAL EXCELLENCE	9,595,454	9,202,308	9,202,308	9,202,308	9,202,308	9,202,308
40 WORKFORCE 2000	0					
41 INDIGENT CARE(B)	5,398,955	5,334,529	5,578,239	5,527,190	5,752,289	5,541,985
42 MEDICAID MATCH	(63,323,285)	(31,800,000)	(32,754,000)	(32,754,000)	(33,736,620)	(33,736,620)
43 OTHER STATE FUNDS (C)	5,353,303	5,199,960				
44 TOTAL SOURCES OF INCOME	\$559,510,551	\$615,210,766	\$657,656,130	\$639,756,985	674,350,974	\$657,945,430

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

(A) Includes Tuition and Fees, Hospital and Clinic Income, Hospital Medicaid Match, Indirect Cost Recovery, Investment Income, Miscellaneous Revenue

(B) 33.3% of UAMS Indigent Care appropriation goes to ACH.

(C) Poison Control & Disease State Mgt., Act 1201, Collection Agency, GIF Funds.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	17,824,480	22,658,963	23,462,671	24,283,864
2 ALL OTHER FEES	204,306			
3 HOSPITAL AND CLINIC REVENUE	478,757,905	500,784,781	520,816,172	536,440,657
4 INDIRECT COST RECOVERY	14,287,222	14,456,144	14,500,000	14,750,000
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	1,076,434	928,000	955,840	984,515
7 OTHER CASH INCOME (A):	1,323,671	776,559	792,090	807,932
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	513,474,018	539,604,447	560,526,773	577,266,968
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$513,474,018	\$539,604,447	\$560,526,773	\$577,266,968

FORM 09-3

(A) Includes traffic violations, vending machines, Dental Hygiene Clinic, CARTI lease and miscellaneous revenue

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CCA0000
UAMS State Appropriation

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 429

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	83,020,677	84,187,149	86,334,064	90,192,060	92,204,271		
2 EXTRA HELP WAGES	0						
3 OVERTIME	0						
4 PERSONAL SERVICES MATCHING (benefits)	11,670,000	9,880,871	11,855,673	14,088,809	14,410,994		
5 GRANTS/AID (Indigent Care)	5,457,507	5,334,529	5,457,507	5,457,507	5,457,507		
6 BIOMED NOTE			432,460				
7 OPERATING EXPENSES							
8 CONFERENCE FEES & TRAVEL							
9 PROFESSIONAL FEES AND SERVICES (summer internships)	20,000		20,000				
10 CAPITAL OUTLAY							
11 DATA PROCESSING SERVICES							
12 FUNDED DEPRECIATION	1,094,543	1,094,543	1,094,543	1,130,663	1,155,198		
13 TEXARKANA AHEC	240,000	240,000	240,000	247,920	253,300		
14 POISON & DRUG INFORMATION	760,000	760,000	760,000	785,080	802,116		
15 ADDITIONAL AHEC SUPPORT	2,209,267	2,209,267	2,209,267	2,282,173	2,331,696		
16							
17							
18 TOTAL APPROPRIATION	\$104,471,994	\$103,706,359	\$108,403,514	\$114,184,212	\$116,615,082	\$0	\$0
19 PRIOR YEAR FUND BALANCE**							
20 GENERAL REVENUE	87,762,107	86,995,397		95,998,783	98,412,381		
21 UAMS INDIGENT CARE APPROPRIATION	5,398,955	5,334,529		5,527,190	5,541,985		
22							
23 UAMS EDUC EXCEL TRUST FUND	9,362,478	8,978,879		8,978,879	8,978,879		
24 UAMS EDUC EXCEL TRUST-INDIGENT CARE	232,975	223,429		223,429	223,429		
25 M & R PROCEEDS							
26 SPECIAL REVENUES * [WF2000]							
27 FEDERAL FUNDS IN STATE TREASURY							
28 CHILD SAFETY CENTERS	1,250,000	674,125		1,255,931	1,258,408		
29 OTHER STATE TREASURY FUNDS***	2,074,538	1,500,000		2,200,000	2,200,000		
30 TOTAL INCOME	\$106,081,053	\$103,706,359		\$114,184,212	\$116,615,082	\$0	\$0
31 EXCESS (FUNDING)/APPROPRIATION	(\$1,609,059)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Mixed Drink Revenue

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CCA0000
UAMS State Appropriation

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 429

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	83,020,677	84,187,149	86,334,064	84,887,149	84,887,149		
2 EXTRA HELP WAGES	0			0	0		
3 OVERTIME	0			0	0		
4 PERSONAL SERVICES MATCHING (benefits)	11,670,000	9,880,871	11,855,673	9,880,871	9,880,871		
5 GRANTS/AID (Indigent Care)	5,457,507	5,334,529	5,457,507	5,334,529	5,334,529		
6 BIOMED NOTE			432,460	0	0		
7 OPERATING EXPENSES				0	0		
8 CONFERENCE FEES & TRAVEL				0	0		
9 PROFESSIONAL FEES AND SERVICES (summer internships)	20,000		20,000	0	0		
10 CAPITAL OUTLAY				0	0		
11 DATA PROCESSING SERVICES				0	0		
12 FUNDED DEPRECIATION	1,094,543	1,094,543	1,094,543	1,094,543	1,094,543		
13 TEXARKANA AHEC	240,000	240,000	240,000	240,000	240,000		
14 POISON & DRUG INFORMATION	760,000	760,000	760,000	760,000	760,000		
15 ADDITIONAL AHEC SUPPORT	2,209,267	2,209,267	2,209,267	2,209,267	2,209,267		
16							
17							
18 TOTAL APPROPRIATION	\$104,471,994	\$103,706,359	\$108,403,514	\$104,406,359	\$104,406,359	\$0	\$0
19 PRIOR YEAR FUND BALANCE**							
20 GENERAL REVENUE	87,762,107	86,995,397		86,995,397	86,995,397		
21 UAMS INDIGENT CARE APPROPRIATION	5,398,955	5,334,529		5,334,529	5,334,529		
22				0	0		
23 UAMS EDUC EXCEL TRUST FUND	9,362,478	8,978,879		8,978,879	8,978,879		
24 UAMS EDUC EXCEL TRUST-INDIGENT CARE	232,975	223,429		223,429	223,429		
25 M & R PROCEEDS				0	0		
26 SPECIAL REVENUES * [WF2000]				0	0		
23 FEDERAL FUNDS IN STATE TREASURY				0	0		
24 CHILD SAFETY CENTERS	1,250,000	674,125		674,125	674,125		
25 OTHER STATE TREASURY FUNDS***	2,074,538	1,500,000		2,200,000	2,200,000		
26 TOTAL INCOME	\$106,081,053	\$103,706,359		\$104,406,359	\$104,406,359	\$0	\$0
27 EXCESS (FUNDING)/APPROPRIATION	(\$1,609,059)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Mixed Drink Revenue

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CCA0000
UAMS State Appropriation

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 429

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	83,020,677	84,187,149	86,334,064	79,743,210	79,743,210		
2 EXTRA HELP WAGES	0			0	0		
3 OVERTIME	0			0	0		
4 PERSONAL SERVICES MATCHING (benefits)	11,670,000	9,880,871	11,855,673	9,189,210	9,189,210		
5 GRANTS/AID (Indigent Care)	5,457,507	5,334,529	5,457,507	4,961,112	4,961,112		
6 BIOMED NOTE			432,460	0	0		
7 OPERATING EXPENSES				0	0		
8 CONFERENCE FEES & TRAVEL				0	0		
9 PROFESSIONAL FEES AND SERVICES (summer internships)	20,000		20,000	0	0		
10 CAPITAL OUTLAY				0	0		
11 DATA PROCESSING SERVICES				0	0		
12 FUNDED DEPRECIATION	1,094,543	1,094,543	1,094,543	1,017,925	1,017,925		
13 TEXARKANA AHEC	240,000	240,000	240,000	223,200	223,200		
14 POISON & DRUG INFORMATION	760,000	760,000	760,000	706,800	706,800		
15 ADDITIONAL AHEC SUPPORT	2,209,267	2,209,267	2,209,267	2,054,618	2,054,618		
16							
17							
18 TOTAL APPROPRIATION	\$104,471,994	\$103,706,359	\$108,403,514	\$97,896,075	\$97,896,075	\$0	\$0
19 PRIOR YEAR FUND BALANCE**							
20 GENERAL REVENUE	87,762,107	86,995,397		80,905,719	80,905,719		
21 UAMS INDIGENT CARE APPROPRIATION	5,398,955	5,334,529		4,961,112	4,961,112		
22				0	0		
23 UAMS EDUC EXCEL TRUST FUND	9,362,478	8,978,879		8,978,879	8,978,879		
24 UAMS EDUC EXCEL TRUST-INDIGENT CARE	232,975	223,429		223,429	223,429		
25 M & R PROCEEDS				0	0		
26 SPECIAL REVENUES * [WF2000]				0	0		
27 FEDERAL FUNDS IN STATE TREASURY				0	0		
28 CHILD SAFETY CENTERS	1,250,000	674,125		626,936	626,936		
25 OTHER STATE TREASURY FUNDS***	2,074,538	1,500,000		2,200,000	2,200,000		
26 TOTAL INCOME	\$106,081,053	\$103,706,359		\$97,896,075	\$97,896,075	\$0	\$0
27 EXCESS (FUNDING)/APPROPRIATION	(\$1,609,059)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Mixed Drink Revenue

CARRY FORWARD OF ANY UNEXPENDED BALANCE OF APPROPRIATION AND/OR FUNDING FROM FISCAL YEAR 2008 TO FISCAL YEAR 2009

Agency: University of Arkansas at Medical Sciences Campus

Program Operations-Gen Revenue

Act #: 1255 of 2007 Section(s) #: 6, 17 & 27

Estimated Carry Forward \$ 43,246.00 Appropriation Funds

Funding Source: General, Educational Ex Trust

Accounting Information:

Business Area: 0150 Funds Center: 429 Fund: CCA Functional Area: UNIV

Line Item	Commitment Item	Estimated Carry Forward Amount	Actual Carry Forward Amount
BioMed Note Payment	5900050	43,246.00	43,246.00
Total		\$ 43,246.00	\$ 43,246.00

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward appropriation and/or funding for a program or a specific line item within a program from the first fiscal year of the biennium to the second fiscal year of the biennium.

Justification for carry forward of unexpended balance of appropriation and/or funding:

The Biomed Note has been paid in full. No additional qualifying expenses are allocated. Section 27 of Act 1255 of 2007 states that any unexpended balances, not to exceed ten percent (10%) of funded appropriations, shall be carried forward and used for the same purposes for the fiscal year ending June 30, 2009. The carry forward amount reflected above represents 10% of the unexpended balance for the Biomed Note Payment line item estimated to be available on June 30, 2008.

Actual Funding Carry Forward \$ 43,246.00

Current status of carry forward appropriation/funding:

The Biomed Note Payment has been paid in full and no additional qualifying expenses are expected.

Dr. I. Dodd Wilson
Chancellor

08-13-2008
Date

CARRY FORWARD OF ANY UNEXPENDED BALANCE OF APPROPRIATION AND/OR FUNDING FROM FISCAL YEAR 2008 TO FISCAL YEAR 2009

Agency: University of Arkansas at Medical Sciences Campus

Program UAMS-CA/R/DV Commission-Child Abuse

Act #: 1255 of 2007 Section(s) #: 15 & 27

Estimated Carry Forward \$ 91,550.00 Appropriation Funds

Funding Source: General

Accounting Information:

Business Area: 0150 Funds Center: 38E Fund: CCA Functional Area: UNIV

Line Item	Commitment Item	Estimated Carry Forward Amount	Actual Carry Forward Amount
Child Abuse & Neglect	5900046	91,550.00	120,244.93
Total		\$ 91,550.00	\$ 120,244.93

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward appropriation and/or funding for a program or a specific line item within a program from the first fiscal year of the biennium to the second fiscal year of the biennium.

Justification for carry forward of unexpended balance of appropriation and/or funding:

Carry forward is requested due to delays in setting up Child Advocacy Center programs & location of appropriate centers, agencies, and other eligible entities to which grant funds may be allocated.

Actual Funding Carry Forward \$ 120,244.93

Current status of carry forward appropriation/funding:

Carry forward is requested to maintain service continuity for on-going programs.

Dr. I. Dodd Wilson
Chancellor

08-25-2008
Date

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND HUA1501
Rural Advanced Practice: Community Match

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 464

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 RURAL MEDICAL PRACTICE	200,000	200,000	200,000	300,000	300,000		
12 COMMUNITY MATCH STUDENT	125,076	125,076	125,076	150,000	150,000		
13							
14 TOTAL APPROPRIATION	\$325,076	\$325,076	\$325,076	\$450,000	\$450,000	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	325,076	325,076		450,000	450,000		
22 TOTAL INCOME	\$325,076	\$325,076		\$450,000	\$450,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Miscellaneous Agencies Fund

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND HUA1501
Rural Advanced Practice: Community Match

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 464

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 RURAL MEDICAL PRACTICE	200,000	200,000	200,000	200,000	200,000		
12 COMMUNITY MATCH STUDENT	125,076	125,076	125,076	125,076	125,076		
13							
14 TOTAL APPROPRIATION	\$325,076	\$325,076	\$325,076	\$325,076	\$325,076	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	325,076	325,076		325,076	325,076		
22 TOTAL INCOME	\$325,076	\$325,076		\$325,076	\$325,076	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Miscellaneous Agencies Fund

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND HUA1501
Rural Advanced Practice: Community Match

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 464

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 RURAL MEDICAL PRACTICE	200,000	200,000	200,000	186,000	186,000		
12 COMMUNITY MATCH STUDENT	125,076	125,076	125,076	116,321	116,321		
13							
14 TOTAL APPROPRIATION	\$325,076	\$325,076	\$325,076	\$302,321	\$302,321	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	325,076	325,076		302,321	302,321		
22 TOTAL INCOME	\$325,076	\$325,076		\$302,321	\$302,321	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Miscellaneous Agencies Fund

CARRY FORWARD OF ANY UNEXPENDED BALANCE OF APPROPRIATION AND/OR FUNDING FROM FISCAL YEAR 2008 TO FISCAL YEAR 2009

Agency: University of Arkansas at Medical Sciences Campus

Program Rural Medical Practice

Act #: 1255 of 2007 Section(s) #: 9 & 27

Estimated Carry Forward \$ 0.00 Appropriation Funds

Funding Source: Miscellaneous Agencies

Accounting Information:

Business Area: 0150 Funds Center: 464 Fund: HUA Functional Area: UNIV

Line Item	Commitment Item	Estimated Carry Forward Amount	Actual Carry Forward Amount
Total		\$ 0.00	\$ 0.00

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward appropriation and/or funding for a program or a specific line item within a program from the first fiscal year of the biennium to the second fiscal year of the biennium.

Justification for carry forward of unexpended balance of appropriation and/or funding:

All funds provided are expected to be spent by year end. No carry forward is anticipated.

Actual Funding Carry Forward \$ 0.00

Current status of carry forward appropriation/funding:

All funds and appropriation were expended by year end. There is no carry forward.

Dr. I. Dodd Wilson
Chancellor

08-13-2008
Date

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND HUA1502
Rural Advanced Nursing: Advanced Nursing Practice

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 461

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 RURAL ADVANCED NURSING	100,000	100,000	100,000	150,000	150,000		
12 ADVANCED NURSING PRACTICE	100,000	100,000	100,000	150,000	150,000		
13							
14 TOTAL APPROPRIATION	\$200,000	\$200,000	\$200,000	\$300,000	\$300,000	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	200,000	200,000		300,000	300,000		
22 TOTAL INCOME	\$200,000	\$200,000		\$300,000	\$300,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Miscellaneous Agencies Fund

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND HUA1502
Rural Advanced Nursing: Advanced Nursing Practice

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 461

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 RURAL ADVANCED NURSING	100,000	100,000	100,000	100,000	100,000		
12 ADVANCED NURSING PRACTICE	100,000	100,000	100,000	100,000	100,000		
13							
14 TOTAL APPROPRIATION	\$200,000	\$200,000	\$200,000	\$200,000	\$200,000	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	200,000	200,000		200,000	200,000		
22 TOTAL INCOME	\$200,000	\$200,000		\$200,000	\$200,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Miscellaneous Agencies Fund

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND HUA1502
Rural Advanced Nursing: Advanced Nursing Practice

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 461

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 RURAL ADVANCED NURSING	100,000	100,000	100,000	93,000	93,000		
12 ADVANCED NURSING PRACTICE	100,000	100,000	100,000	93,000	93,000		
13							
14 TOTAL APPROPRIATION	\$200,000	\$200,000	\$200,000	\$186,000	\$186,000	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	200,000	200,000		186,000	186,000		
22 TOTAL INCOME	\$200,000	\$200,000		\$186,000	\$186,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Miscellaneous Agencies Fund

CARRY FORWARD OF ANY UNEXPENDED BALANCE OF APPROPRIATION AND/OR FUNDING FROM FISCAL YEAR 2008 TO FISCAL YEAR 2009

Agency: University of Arkansas at Medical Sciences Campus

Program Rural Advanced Nursing Practice Student Loan & Scholarship Program

Act #: 1255 of 2007 Section(s) #: 8 & 27

Estimated Carry Forward \$ 0.00 Appropriation Funds

Funding Source: Miscellaneous Agencies

Accounting Information:

Business Area: 0150 Funds Center: 461 Fund: HUA Functional Area: UNIV

Line Item	Commitment Item	Estimated Carry Forward Amount	Actual Carry Forward Amount
Total		\$ 0.00	\$ 0.00

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward appropriation and/or funding for a program or a specific line item within a program from the first fiscal year of the biennium to the second fiscal year of the biennium.

Justification for carry forward of unexpended balance of appropriation and/or funding:

All funds provided are expected to be spent by year end. No carry forward is anticipated.

Actual Funding Carry Forward \$ 0.00

Current status of carry forward appropriation/funding:

All funds and appropriation were expended by year end. There is no carry forward.

Dr. I. Dodd Wilson
Chancellor

08-13-2008
Date

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND HUA1503

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION _____

1UV

Poison & Drug Info: Disease State Mgmt.

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 POISON AND DRUG INFORMATION	139,959	139,959	139,959	139,959	139,959		
12 DISEASE STATE MANAGEMENT	160,000	160,000	160,000	160,000	160,000		
13							
14 TOTAL APPROPRIATION	\$299,959	\$299,959	\$299,959	\$299,959	\$299,959	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	299,959	299,959		299,959	299,959		
22 TOTAL INCOME	\$299,959	\$299,959		\$299,959	\$299,959	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Miscellaneous Agencies Fund

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND HUA1503

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION _____

1UV

Poison & Drug Info: Disease State Mgmt.

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 POISON AND DRUG INFORMATION	139,959	139,959	139,959	139,959	139,959		
12 DISEASE STATE MANAGEMENT	160,000	160,000	160,000	160,000	160,000		
13							
14 TOTAL APPROPRIATION	\$299,959	\$299,959	\$299,959	\$299,959	\$299,959	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	299,959	299,959		299,959	299,959		
22 TOTAL INCOME	\$299,959	\$299,959		\$299,959	\$299,959	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Miscellaneous Agencies Fund

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND HUA1503

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION _____

1UV

Poison & Drug Info: Disease State Mgmt.

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 POISON AND DRUG INFORMATION	139,959	139,959	139,959	130,162	130,162		
12 DISEASE STATE MANAGEMENT	160,000	160,000	160,000	148,800	148,800		
13							
14 TOTAL APPROPRIATION	\$299,959	\$299,959	\$299,959	\$278,962	\$278,962	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	299,959	299,959		278,962	278,962		
22 TOTAL INCOME	\$299,959	\$299,959		\$278,962	\$278,962	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Miscellaneous Agencies Fund

CARRY FORWARD OF ANY UNEXPENDED BALANCE OF APPROPRIATION AND/OR FUNDING FROM FISCAL YEAR 2008 TO FISCAL YEAR 2009

Agency: University of Arkansas at Medical Sciences Campus

Program Poison & Drug Information Center & Disease Management

Act #: 1255 of 2007 Section(s) #: 11 & 27

Estimated Carry Forward \$ 0.00 Appropriation Funds

Funding Source: Miscellaneous Agencies

Accounting Information:

Business Area: 0150 Funds Center: 1UV Fund: HUA Functional Area: UNIV

Line Item	Commitment Item	Estimated Carry Forward Amount	Actual Carry Forward Amount
Total		\$ 0.00	\$ 0.00

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward appropriation and/or funding for a program or a specific line item within a program from the first fiscal year of the biennium to the second fiscal year of the biennium.

Justification for carry forward of unexpended balance of appropriation and/or funding:

All funds provided are expected to be spent by year end. No carry forward is anticipated.

Actual Funding Carry Forward \$ 0.00

Current status of carry forward appropriation/funding:

All funds and appropriation were expended by year end. There is no carry forward.

Dr. I. Dodd Wilson
Chancellor

08-13-2008
Date

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND MBR0100
Breast Cancer Research

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 231

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 BREAST CANCER RES.(02 GRANTS/AID)	1,076,639	1,194,216	1,194,216	1,194,216	1,194,216		
12							
13							
14 TOTAL APPROPRIATION	\$1,076,639	\$1,194,216	\$1,194,216	\$1,194,216	\$1,194,216	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	1,076,639	1,194,216		1,194,216	1,194,216		
22 TOTAL INCOME	\$1,076,639	\$1,194,216		\$1,194,216	\$1,194,216	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

*** Breast Cancer Research Fund

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND MBR0200

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION _____

231

Breast Cancer Research - Komen

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 BREAST CANCER RESEARCH - KOMEN	109,548	500,000	500,000	500,000	500,000		
12							
13							
14 TOTAL APPROPRIATION	\$109,548	\$500,000	\$500,000	\$500,000	\$500,000	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	109,548	500,000		500,000	500,000		
22 TOTAL INCOME	\$109,548	\$500,000		\$500,000	\$500,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

*** Breast Cancer Research Fund

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND SML0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION _____

1PQ

Domestic Violence Shelter Programs

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 DOMESTIC VIOLENCE SHELTER PROGRAMS	577,712	6,100,000	6,100,000	6,100,000	6,100,000		
12							
13							
14 TOTAL APPROPRIATION	\$577,712	\$6,100,000	\$6,100,000	\$6,100,000	\$6,100,000	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	577,712	6,100,000		6,100,000	6,100,000		
22 TOTAL INCOME	\$577,712	\$6,100,000		\$6,100,000	\$6,100,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

***Domestic Peace Fund

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND TSE0201

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION

322

Tobacco Funding: Institute on Aging

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09***	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	795,126	1,111,869	936,343	1,033,533	1,033,533		
2 EXTRA HELP WAGES		280,013		260,285	260,285		
3 OVERTIME		416,383		387,047	387,047		
4 PERSONAL SERVICES MATCHING	201,415	10,000	223,351	9,296	9,296		
5 OPERATING EXPENSES	299,480		481,413				
6 CONFERENCE FEES & TRAVEL	10,272		42,242				
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY			6,812				
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$1,306,293	\$1,818,265	\$1,690,161	\$1,690,161	\$1,690,161	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS	1,306,293	1,818,265		1,690,161	1,690,161		
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$1,306,293	\$1,818,265		\$1,690,161	\$1,690,161	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Authorized Appropriation is less than budgeted due to carryforward

CARRY FORWARD OF ANY UNEXPENDED BALANCE OF APPROPRIATION AND/OR FUNDING FROM FISCAL YEAR 2008 TO FISCAL YEAR 2009

Agency: University of Arkansas at Medical Sciences Campus

Program UAMS-Donald W Reynolds Center on Aging

Act #: 1293 of 2007 Section(s) #: 2 & 6 & 8

Estimated Carry Forward \$ 0.00 Appropriation Funds

Funding Source: Tobacco Settlement

Accounting Information:

Business Area: 0150 Funds Center: 322 Fund: TSE Functional Area: UNIV

Line Item	Commitment Item	Estimated Carry Forward Amount	Actual Carry Forward Amount
Regular Salaries	5010000	0.00	504,874.00
Personal Services Matching	5010003	0.00	123,585.00
Operating Expenses	5020002	0.00	260,520.00
Conference & Travel Expenses	5050009	0.00	39,728.00
Capital Outlay	5120011	0.00	55,746.00
Total		\$ 0.00	\$ 984,453.00

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward appropriation and/or funding for a program or a specific line item within a program from the first fiscal year of the biennium to the second fiscal year of the biennium.

Justification for carry forward of unexpended balance of appropriation and/or funding:

All funds provided are expected to be spent by year end. No carry forward is anticipated.

Actual Funding Carry Forward \$ 0.00

Current status of carry forward appropriation/funding:

Carry forward amounts shown above include unfunded appropriation. Carry forward is requested to provide flexibility in support of program activities.

Dr. I. Dodd Wilson
Chancellor

400

08-21-2008
Date

UAMS

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND TSE0202

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION

347

Tobacco Funding: College of Public Health

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09***	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	1,705,698	2,195,555	1,770,755	1,972,853	1,972,853		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	360,573	463,657	348,448	416,627	416,627		
5 OPERATING EXPENSES	52,802	68,185	253,110	61,269	61,269		
6 CONFERENCE FEES & TRAVEL			38,048				
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY			40,388				
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$2,119,073	\$2,727,397	\$2,450,749	\$2,450,749	\$2,450,749	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS	2,119,073	2,727,397		2,450,749	2,450,749		
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$2,119,073	\$2,727,397		\$2,450,749	\$2,450,749	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Authorized Appropriation is less than budgeted due to carryforward

CARRY FORWARD OF ANY UNEXPENDED BALANCE OF APPROPRIATION AND/OR FUNDING FROM FISCAL YEAR 2008 TO FISCAL YEAR 2009

Agency: University of Arkansas at Medical Sciences Campus

Program UAMS-School of Public Health

Act #: 1293 of 2007 Section(s) #: 3 & 8

Estimated Carry Forward \$ 0.00 Appropriation Funds

Funding Source: Tobacco Settlement

Accounting Information:

Business Area: 0150 Funds Center: 347 Fund: TSE Functional Area: UNIV

Line Item	Commitment Item	Estimated Carry Forward Amount	Actual Carry Forward Amount
Regular Salaries	5010000	0.00	730,336.00
Personal Services Matching	5010003	0.00	156,658.00
Operating Expenses	5020002	0.00	225,308.00
Conference & Travel Expenses	5050009	0.00	38,048.00
Capital Outlay	5120011	0.00	40,388.00
Total		\$ 0.00	\$ 1,190,738.00

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward appropriation and/or funding for a program or a specific line item within a program from the first fiscal year of the biennium to the second fiscal year of the biennium.

Justification for carry forward of unexpended balance of appropriation and/or funding:

All funds provided are expected to be spent by year end. No carry forward is anticipated.

Actual Funding Carry Forward \$ 0.00

Current status of carry forward appropriation/funding:

Carry forward amounts shown above includes unfunded appropriation. Carry forward is requested to provide flexibility in support of program activities.

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND TSE0203
Tobacco Funding: Delta AHEC

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 368

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09***	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	863,299	1,548,920	1,154,291	1,031,862	1,031,862		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	210,214	367,910	292,910	238,193	238,193		
5 OPERATING EXPENSES	532,949	595,938	385,938	601,846	601,846		
6 CONFERENCE FEES & TRAVEL		18,160	18,160				
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	2,274	32,420	22,420	1,818	1,818		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$1,608,736	\$2,563,348	\$1,873,719	\$1,873,719	\$1,873,719	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS	1,608,736	2,563,348		1,873,719	1,873,719		
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$1,608,736	\$2,563,348		\$1,873,719	\$1,873,719	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

*** Authorized Appropriation is less than budgeted due to carryforward

CARRY FORWARD OF ANY UNEXPENDED BALANCE OF APPROPRIATION AND/OR FUNDING FROM FISCAL YEAR 2008 TO FISCAL YEAR 2009

Agency: University of Arkansas at Medical Sciences Campus

Program UAMS-Area Health Education Center in Helena

Act #: 1293 of 2007 Section(s) #: 5 & 8

Estimated Carry Forward \$ 0.00 Appropriation Funds

Funding Source: Tobacco Settlement

Accounting Information:

Business Area: 0150 Funds Center: 368 Fund: TSE Functional Area: UNIV

Line Item	Commitment Item	Estimated Carry Forward Amount	Actual Carry Forward Amount
Regular Salaries	5010000	0.00	663,123.63
Personal Services Matching	5010003	0.00	151,953.00
Operating Expenses	5020002	0.00	62,989.00
Conference & Travel Expenses	5050009	0.00	18,160.00
Capital Outlay	5120011	0.00	30,146.00
Total		\$ 0.00	\$ 926,371.63

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward appropriation and/or funding for a program or a specific line item within a program from the first fiscal year of the biennium to the second fiscal year of the biennium.

Justification for carry forward of unexpended balance of appropriation and/or funding:

All funds provided are expected to be spent by year end. No carry forward is anticipated.

Actual Funding Carry Forward \$ 0.00

Current status of carry forward appropriation/funding:

Carry forward amounts shown above includes unfunded appropriation. Carry forward is requested to provide flexibility in support of program activities.

Dr. I. Dodd Wilson
Chancellor

08-13-2008
Date

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND TSF0300

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION _____

365

Tobacco Funding: Arkansas Biosciences

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	459,109	1,407,267	1,407,267	1,407,267	1,407,267		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	101,659	337,875	337,875	337,875	337,875		
5 OPERATING EXPENSES	308,877	1,042,499	1,042,499	1,042,499	1,042,499		
6 CONFERENCE FEES & TRAVEL	23,960	23,960	23,960	23,960	23,960		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	5,564	37,940	37,940	37,940	37,940		
8 CAPITAL OUTLAY	1,192,083	1,434,680	1,434,680	1,434,680	1,434,680		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 ARKANSAS CHILDRENS HOSPITAL	1,402,764	1,896,736	1,896,736	1,896,736	1,896,736		
12							
13							
14 TOTAL APPROPRIATION	\$3,494,016	\$6,180,957	\$6,180,957	\$6,180,957	\$6,180,957	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE							
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS	3,494,016	6,180,957		6,180,957	6,180,957		
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$3,494,016	\$6,180,957		\$6,180,957	\$6,180,957	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

CARRY FORWARD OF ANY UNEXPENDED BALANCE OF APPROPRIATION AND/OR FUNDING FROM FISCAL YEAR 2008 TO FISCAL YEAR 2009

Agency: University of Arkansas at Medical Sciences Campus

Program UAMS-AR Biosciences Institute

Act #: 1293 of 2007 Section(s) #: 4 & 7 & 8

Estimated Carry Forward \$ 550,000.00 Appropriation Funds

Funding Source: Tobacco Settlement

Accounting Information:

Business Area: 0150 Funds Center: 365 Fund: TSF Functional Area: UNIV

Line Item	Commitment Item	Estimated Carry Forward Amount	Actual Carry Forward Amount
Regular Salaries	5010000	200,000.00	928,541.00
Personal Services Matching	5010003	210,000.00	231,552.00
Operating Expenses	5020002	70,000.00	733,622.00
Conference & Travel Expenses	5050009	10,000.00	0.00
Professional Fees	5060010	10,000.00	32,376.00
Capital Outlay	5120011	50,000.00	242,597.00
Children's Hospital Research	5900046	0.00	1,896,736.00
Total		\$ 550,000.00	\$ 4,065,424.00

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward appropriation and/or funding for a program or a specific line item within a program from the first fiscal year of the biennium to the second fiscal year of the biennium.

Justification for carry forward of unexpended balance of appropriation and/or funding:

Carry forward is requested in the personal services and operating expenses line items to provide operational support for the awarding and continuation of scientific research grants associated with tobacco-related illnesses and other biomedical areas.

Actual Funding Carry Forward \$ 0.00

Current status of carry forward appropriation/funding:

Carry forward amounts shown above includes unfunded appropriation. Carry forward is requested to provide flexibility in support of program activities.

Dr. I. Dodd Wilson
Chancellor

08-13-2008
Date

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2040000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION A85

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	426,902,280	805,200,000	805,200,000	600,000,000	700,000,000		
2 EXTRA HELP WAGES	921,029	25,000,000	25,000,000	20,000,000	25,000,000		
3 OVERTIME	8,612,365	20,000,000	20,000,000	20,000,000	25,000,000		
4 PERSONAL SERVICES MATCHING	99,429,743	201,262,000	201,262,000	160,000,000	175,000,000		
5 OPERATING EXPENSES	228,653,991	513,643,000	513,643,000	500,000,000	550,000,000		
6 CONFERENCE FEES & TRAVEL	3,538,794	20,000,000	20,000,000	20,000,000	20,000,000		
7 PROFESSIONAL FEES AND SERVICES	12,394,748	45,000,000	45,000,000	45,000,000	50,000,000		
8 PROMOTIONAL ITEMS				65,000	65,000		
9 CAPITAL OUTLAY	44,581,518	85,000,000	85,000,000	100,000,000	100,000,000		
10 CAPITAL IMPROVEMENTS		110,000,000	110,000,000	100,000,000	100,000,000		
11 DEBT SERVICE	4,890	30,000,000	30,000,000	30,000,000	30,000,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		40,000,000	40,000,000	40,000,000	40,000,000		
13 CHILDREN'S JUSTICE ACT	250,000	250,000	250,000	250,000	250,000		
14 WAR MEMORIAL PARKING	50,000	50,000	50,000	50,000	50,000		
15 GRANTS/AID		10,000,000	10,000,000	10,000,000	10,000,000		
16 CONTINGENCY				70,000,000	80,000,000		
17 TOTAL APPROPRIATION	\$825,339,358	\$1,905,405,000	\$1,905,405,000	\$1,715,365,000	\$1,905,365,000	\$0	\$0
18 ACTUAL PRIOR YEAR FUND BALANCE							
19 LOCAL CASH FUNDS	747,028,170	1,605,405,000		1,415,365,000	1,605,365,000		
20 FEDERAL CASH FUNDS	78,311,188	300,000,000		300,000,000	300,000,000		
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$825,339,358	\$1,905,405,000		\$1,715,365,000	\$1,905,365,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

REGULAR POSITIONS	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS: Non-Classified	5,911	5,931	5,968	8,973	8,114		
REGULAR POSITIONS: Classified	2,753	2,753	2,839	2,922	2,922		
COLLEGE OF PHARMACY: Non-Classified	9	9	9	9	9		
TOBACCO POSITIONS: Center on Aging	16	18	27	27	27		
TOBACCO POSITIONS: College of Public Health	20	21	24	20	20		
TOBACCO POSITIONS: Biosciences Institute	34	37	59	45	45		
TOBACCO POSITIONS: AHEC, Helena	21	21	29	21	21		
EXTRA HELP **	559	494	625	625	625		
EXTRA HELP: NCTR **	56	56	56	56	56		
EXTRA HELP: PRN **	262	273	410	410	410		
EXTRA HELP: UAMS TEMPS **	434	313	530	530	530		
EXTRA HELP: HOUSESTAFF **	599	599	735	795	795		
Totals	10,674	10,525	11,311	14,433	13,574	0	0

**The total number of Extra-Help shown in the requested column will be the total extra-help position (General Revenue and Cash) requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that year's budget.

**** "AUTHORIZED 2007-09" regular positions are net of growth positions authorized during FY08. "ACTUAL 2:007-08" and "REQUESTED 2009-11" include these growth positions.

The OPM Classified Position recommendation has not been received as of 9/1/08.

09-5

UAMS

CARRY FORWARD OF ANY UNEXPENDED BALANCE OF APPROPRIATION AND/OR FUNDING FROM FISCAL YEAR 2008 TO FISCAL YEAR 2009

Agency: University of Arkansas at Medical Sciences Campus

Program Operations-Cash

Act #: 1255 of 2007 Section(s) #: 7, 18 & 27

Estimated Carry Forward \$ 89,910,000.00 Appropriation Funds

Funding Source: Cash

Accounting Information:

Business Area: 0150 Funds Center: A85 Fund: 204 Functional Area: UNIV

Line Item	Commitment Item	Estimated Carry Forward Amount	Actual Carry Forward Amount
Regular Salaries	5010000	31,350,000.00	31,309,771.95
Extra Help	5010001	1,500,000.00	1,907,897.10
Personal Services Matching	5010003	8,310,000.00	7,867,025.73
Overtime	5010006	1,130,000.00	1,138,763.47
Operating Expenses	5020002	19,250,000.00	18,824,600.88
Conference & Travel Expenses	5050009	1,670,000.00	1,646,120.63
Professional Fees	5060010	3,170,000.00	3,260,525.23
Capital Improvements	5090005	11,000,000.00	11,000,000.00
Grants and Aid	5100004	1,000,000.00	1,000,000.00
Refunds/Investments/Transfers	5110020	4,000,000.00	4,000,000.00
Capital Outlay	5120011	4,530,000.00	4,041,848.21
Debt Service	5120019	3,000,000.00	2,999,511.02
Total		\$ 89,910,000.00	\$ 88,996,064.22

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward appropriation and/or funding for a program or a specific line item within a program from the first fiscal year of the biennium to the second fiscal year of the biennium.

Justification for carry forward of unexpended balance of appropriation and/or funding:

As the sole teaching institution in Arkansas, carry forward is requested to avoid possible disruption of medical/educational services and to provide support for a smooth transition between years of the biennium.

Actual Funding Carry Forward \$ 408 88,996,064.22

Current status of carry forward appropriation/funding:

Carry forward is requested to avoid possible disruption of medical/educational services and to provide a smooth transition between years of the biennium.

Dr. I. Dodd Wilson
Chancellor

08-13-2008
Date

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL	973,209	482,724	490,801	(316)	1,071,160	338,454	732,706	0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES (A)	3,910,324	4,489,011		(578,687)				0
6 COLLEGE UNION				0				0
7 BOOKSTORE	901,539	898,764		2,775	1,069,424	1,069,424		0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	117,831	70,904		46,927	107,325	107,325		0
9 STUDENT HEALTH SERVICES (B)	25,380	18,340		7,040	25,380	25,380		0
10 OTHER - (C)	409,426,175	374,623,764	2,020,298	32,782,113	408,305,016	406,525,116	1,779,900	0
11 SUBTOTAL	\$415,354,458	\$380,583,507	\$2,511,099	\$32,259,852	\$410,578,305	\$408,065,699	\$2,512,606	\$0
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$415,354,458	\$380,583,507	\$2,511,099	\$32,259,852	\$410,578,305	\$408,065,699	\$2,512,606	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

(A) Food Services moved to Hospital general fund in 2008-09.

(B) Student Health (\$110,410)and Student Mental Health (\$ 237,500) in General Funds, Academic Affairs division, and therefore not included on this form.

(C) Includes Professional Service Funds, Contractual Service Funds, Budgeted Research Funds, Continuing Education Funds.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08* = 10,096 (As of August 25, 2008)						
Nonclassified Administrative Employees:						
White Male:	<u>577</u>	Black Male:	<u>81</u>	Other Male:	<u>103</u>	
White Female:	<u>1,541</u>	Black Female:	<u>329</u>	Other Female:	<u>147</u>	Total Male: <u>761</u>
						Total Female: <u>2,017</u>
Nonclassified Health Care Employees:						
White Male:	<u>717</u>	Black Male:	<u>141</u>	Other Male:	<u>144</u>	Total Male: <u>1,002</u>
White Female:	<u>2,220</u>	Black Female:	<u>872</u>	Other Female:	<u>176</u>	Total Female: <u>3,268</u>
Classified Employees:						
White Male:	<u>222</u>	Black Male:	<u>172</u>	Other Male:	<u>18</u>	Total Male: <u>412</u>
White Female:	<u>715</u>	Black Female:	<u>585</u>	Other Female:	<u>48</u>	Total Female: <u>1,348</u>
Faculty:						
White Male:	<u>624</u>	Black Male:	<u>10</u>	Other Male:	<u>139</u>	Total Male: <u>773</u>
White Female:	<u>408</u>	Black Female:	<u>27</u>	Other Female:	<u>80</u>	Total Female: <u>515</u>
Totals by Race and Gender:						
Total White Male:	<u>2,140</u>	Total Black Male:	<u>404</u>	Total Other Male:	<u>404</u>	Total Male: <u>2,948</u>
Total White Female:	<u>4,884</u>	Total Black Female:	<u>1,813</u>	Total Other Female:	<u>451</u>	Total Female: <u>7,148</u>
Totals by Race:						
Total White:	<u>7,024</u>	Total Black:	<u>2,217</u>	Total Other:	<u>855</u>	Total Employees: <u>10,096</u>
				Total Minority:	<u>3,072</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas – Medical Sciences
June 30, 2007

<i>Finding:</i>	A University of Arkansas for Medical Sciences (UAMS) Police Department incident report indicated theft of property (\$879 of cash) occurred, without forced entry, in the Treasurer's Office on August 8, 2006.
<i>Institution's Response:</i>	On August 8, 2006, a sealed deposit bag containing \$879 in cash was identified as missing in the UAMS Treasurer's Office. After a search within the department, the incident was reported to the UAMS Police Department. The UAMS Police conducted an investigation into the loss and found that one of the Treasurer's Office cashiers was responsible. The cashier was asked to resign and agreed to repay the missing cash. Her final payroll check totaling \$474.19 was signed over to UAMS to apply against the theft. No additional payments have been received.

KPMG FEDERAL AUDIT
AUDIT OF University of Arkansas – Medical Sciences
June 30, 2007

Section I11 -Federal Award Findings and Questioned Costs

Finding # : 07-01 -Equipment and Real Property Management
Type of Finding : Noncompliance and control deficiency
CFDA Title : Research and Development Cluster
CFDA Number : 93.866, 93.389, 93.395
Federal Award Number : N/A
Federal Award Year : July 1,2006 to June 30,2007
Federal Agency : Department of Health and Human Services

Criteria or Specific Requirement

Per Circular A-110, Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations, Subpart C Post-Award Requirements, Sec. 34 Equipment, the recipient's property management standards for equipment acquired with Federal funds and federally owned equipment shall include all of the following.

- (1) Equipment records shall be maintained accurately and shall include the following information.
 - (i) A description of the equipment.
 - (ii) Manufacturer's serial number, model number, Federal stock number, national stock number, or other identification number.
 - (iii) Source of the equipment, including the award number.
 - (iv) Whether title vests in the recipient or the Federal Government.
 - (v) Acquisition date (or date received, if the equipment was furnished by the Federal Government) and cost.

KPMG FEDERAL AUDIT
AUDIT OF University of Arkansas – Medical Sciences
June 30, 2007

- (vi) Information from which one can calculate the percentage of Federal participation in the cost of the equipment (not applicable to equipment furnished by the Federal Government).
- (vii) Location and condition of the equipment and the date the information was reported.
- (viii) Unit acquisition cost.
- (ix) Ultimate disposition data, including date of disposal and sales price or the method used to determine current fair market value where a recipient compensates the Federal awarding agency for its share.

Condition Found

During our testwork, we noted five exceptions out of 30 sample items as follows:

One out of the 30 equipment purchases was improperly capitalized. The total amount of the invoice was \$247,004.93, which included two items equaling \$224,647.43 and 22,357.50. However, when one of the items was capitalized, the total amount of the invoice was capitalized, instead of the cost of the item which was \$22,357.50. The amount expensed to the grant was correct.

One out of the 30 equipment purchases had an acquisition date of October 3, 2005; however, the asset was not set up in the fixed asset subledger until July 1, 2006, which was the next fiscal year.

One out of the 30 equipment purchases had an incorrect location. The asset had been moved from the 9th floor to the 4th floor without updating its location within the University's records.

Two out of the 30 equipment purchases did not include shipping charges into the total amounts capitalized.

Questioned Cost (including how computed)

None -Costs appropriately charged to grants, exceptions relate to equipment records.

Possible Asserted Effect

The Department of Health and Human Services has the right to wholly or partly suspend or terminate the current grant award, condition a future grant or elect not to provide future grant funds, and/or withhold further awards for the program when there is reason to believe through periodic monitoring or review that the University is not in compliance with the requirements established.

KPMG FEDERAL AUDIT
AUDIT OF University of Arkansas – Medical Sciences
June 30, 2007

Cause

These exceptions should have been identified through the fixed asset reconciliation process, physical inventories, and management review of purchases to ensure that all shipping costs are added to the amount capitalized.

Recommendations

We recommend that management perform a detailed reconciliation of asset additions on a monthly basis so that corrections are identified and made in a timely manner. Assets not capitalized when acquired will be identified and recorded in the proper period during this process. Shipping costs should be reviewed on a monthly basis to ensure that these charges are added to the asset's total cost. In addition, we recommend that the University implement a policy to identify when assets are moved so that the equipment records can get updated properly.

Views of Responsible Officials

For item one, the procedure prior to FY 2008 was to reconcile all asset general ledger accounts (54xxxx) to the purchasing documents as of fiscal year end. The above noted item was identified during interim audit work prior to the reconciliation for the year ended June 30, 2007. Since the reconciliation had not been performed at the time of interim audit, this asset had not been tied to the purchasing document. Beginning with FY 2008, this review will be made quarterly so that any differences can be identified and corrected in a timely manner.

For item 2, this was originally capitalized as one asset in FY 2006. During fiscal inventory, Property Services discovered the item was actually two pieces of equipment. This discovery was made after FY 2006 was closed; therefore, the correction was made in FY 2007.

For item 3, the inventory performed in August 2007 located the item and noted the change in location. A review of the process to update location areas in SAP will be undertaken.

For item 4, the vendor involved in these two items provides electronic invoicing which is automatically uploaded into SAP. Shipping charges are not always included in the electronic invoice; therefore, a manual correction must be made to the previously capitalized asset. The Fixed Asset Department will work with Property Services to develop a process to monitor shipping charges.

KPMG FEDERAL AUDIT
AUDIT OF University of Arkansas – Medical Sciences
June 30, 2007

Finding # : 07-02 -Activities Allowed or Unallowed and Allowable Costs|Cost Principles
Type of Finding : Noncompliance
CFDA Title : Research and Development Cluster
CFDA Number : 93.279 & 93.242
Federal Award Number : NIA
Federal Award Year : July 1,2006 to June 30,2007
Federal Agency : Department of Health and Human Services

Criteria or Specific Requirement

OMB Circular A-21 (A-21) establishes principles for determining the costs applicable to research and development, training, and other sponsored work performed by educational institutions under grants, contracts, and other agreements with the Federal Government. These agreements are referred to as sponsored agreements. These principles shall be used in determining the allowable direct and indirect costs under those agreements. At educational institutions, indirect costs are accounted for through Facilities & Administrative (F&A) Cost Proposals. F&A costs, for the purpose of A-21, mean costs that are incurred for common or joint objectives and, therefore, cannot be identified readily and specifically with a particular sponsored project, an instructional activity, or any other institutional activity. F&A costs are synonymous with "indirect" costs, as previously used in A-21 and as currently used in Appendices A and B of A-21. As described in A-21, section F.I, the F&A cost categories include: building and equipment depreciation or use allowance; operation and maintenance expenses; interest expenses; general administrative expenses; departmental administration expenses; sponsored project administration expenses; library expenses; and student administration expenses. F&A costs will be referred to as "indirect costs" in this section.

Condition Found

During our testwork, we noted that 2 of the 25 indirect costs improperly included rent expense in the calculations.

Questioned Cost (including how computed)

KPMG FEDERAL AUDIT
AUDIT OF University of Arkansas – Medical Sciences
June 30, 2007

None -There were no questioned costs associated with these grants in the current year because the errors were corrected during the grant close-out process.

Possible Asserted Effect

The Department of Health and Human Services has the right to wholly or partly suspend or terminate the current grant award, condition a future grant or elect not to provide future grant funds, and/or withhold further awards for the program when there is reason to believe through periodic monitoring or review that the University is not in compliance with the requirements established.

Cause

The rent expenditures were not properly excluded from direct cost when the IDC rate was applied during the month or during the end of budget period close out.

Recommendations

We recommend management consider periodic training for department grant administrators and Grants Accounting personnel responsible for the close out process on the rules and regulations surrounding indirect cost calculations.

Views of Responsible Officials

Added emphasis on the specific rules and regulations surrounding the indirect cost calculations will be included in the annual training presented as part of the Grants Management Training Program scheduled for January 2008. This training is provided by the Director of Cost Accounting. In addition, the department specifically involved in this error has been provided individual training to assist in preventing this error from reoccurring.

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UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

History of the University

The University of Central Arkansas was established as Arkansas State Normal School by the General Assembly of Arkansas in 1907, with statewide responsibility for preparing citizens to teach Arkansas children. Summer sessions were started in 1910.

The name of the institution was changed to Arkansas State Teachers College in 1925. By legislative enactment, the Board of Trustees was given authority to grant appropriate degrees. In January 1967, the name of the institution was again changed by the state legislature to the State College of Arkansas, expanding its statewide role to a multipurpose institution. On January 21, 1975, the governor of Arkansas signed a bill granting university status to the institution and naming it the University of Central Arkansas.

The first diploma granted by Arkansas State Normal School was the Licentiate of Instruction, and in 1922 the first baccalaureate degrees were granted. Today the university's comprehensive undergraduate curriculum comprises more than 70 major programs and nine different degrees. A program of study leading to the Master of Science in Education degree was inaugurated in 1955, and the Educational Specialist degree was begun in 1980. Since that time, UCA has developed a variety of high quality offerings in graduate studies, with more than 30 graduate program options and 10 different graduate degrees, including doctoral programs in physical therapy, school psychology, and—in a consortium with UALR and UAMS—communication sciences and disorders. Extension classes were first offered through Correspondence Study courses in 1919–1920 and Study Clubs in 1920–1921. Academic outreach, including extended-learning opportunities for undergraduate and graduate credit and in non-credit courses, continues to play an important role in fulfilling the university's twenty-first century mission.

Description of the University

The University of Central Arkansas is a comprehensive university offering degree programs at the associate, bachelor's, master's, specialist, and doctoral levels. The University offers a variety of undergraduate and graduate programs in the liberal and fine arts, the basic sciences, business, and technical and professional fields in addition to its historical emphasis in the field of education. UCA strives to maintain the highest academic quality by supporting the professional development of its teaching faculty and by ensuring that its curriculum remains current and responsive to the needs of those it serves.

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

UCA fosters learning and the advancement of knowledge both through research and other scholarly and creative activities and through its support for the personal, social, and intellectual growth of its students. Faculty scholarship—including faculty-student collaboration in a wide range of research and scholarly and creative activities—is an integral part of the teaching-and-learning mission of the University. These activities are encouraged at UCA in many ways, including active support for grant-development, a variety of University grant opportunities for both faculty and students, faculty summer research stipends, sabbatical leaves, reassigned time, and up-to-date technological support. UCA supports student learning and growth by providing comprehensive student services and rich on-campus extracurricular programming, by developing learning communities on campus, and by encouraging students to be learners in a wider community through cooperative and service-learning opportunities and international experiences.

UCA serves its public constituencies with for-credit course offerings, both in off-campus class settings around the state and in other extended-study opportunities; a variety of non-credit leisure and community education activities; and seminars, conferences, workshops, in-service training activities, and consulting services designed to meet the needs of business and industry, public schools, and state and local government.

Vision

The University of Central Arkansas will be the center of learning in Arkansas.

Mission and Principles

The mission of the University of Central Arkansas is to maintain the highest academic quality and to ensure that its programs remain current and responsive to the diverse needs of those it serves. A partnership of excellence among students, faculty, and staff in benefit to the global community, the University is committed to the intellectual, social, and personal development of its students; the advancement of knowledge through excellence in teaching and research; and service to the community. As a leader in 21st-century higher education, the University of Central Arkansas is dedicated to intellectual vitality, diversity, and integrity.

In carrying out this mission, the university is guided by the following principles:

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Teaching and learning flourish where disciplinary integrity and the focused explorations it allows are balanced by the connection-building of interdisciplinary collaboration.
- The search for knowledge entails both interaction and solitary reflection.
- Students accrue the greatest benefits when they master both trans-disciplinary skills—such as communication, critical thinking, and information literacy—and the specific bodies of information and ways of knowing particular to individual disciplines.
- Individual faculty may employ radically different methods in their teaching, scholarship, and other professional practice and be equally effective.
- Embracing diversity—e.g., political, racial, intellectual, cultural—strengthens and enriches a university.
- A vital component of a student's experience in the university is the development of personal and social well being and maturity.
- A university maintains its viability by sharing the talents and resources of the university with the global community.

Implementation Guidelines

The University of Central Arkansas implements its mission in the context of the following guidelines:

- University resources will be allocated in a manner that will carry out the mission of the university and the goals of the plan. To that end, the university strives to provide optimal facilities and infrastructure to support the work of its faculty, staff, and students.
- The university's strategic units (academic, administrative, auxiliary) will develop plans that complement the University of Central Arkansas' mission and strategic goals.
- A university planning and assessment group will revisit the plan annually to evaluate progress toward stated goals and objectives and to consider revision or addition of goals and objectives.
- Measures of performance stated in the plan are illustrative. University planning will be based on performance on stated measures as well as any additional evidence that helps evaluate progress toward goals and objectives.

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Measures will provide quantitative and qualitative evidence—e.g., counts, percentages, narratives, documents, etc. Unless otherwise indicated, student-related measures refer to both undergraduate and graduate students.

Strategic Goals

Goal 1: Deliver excellent curricula in general education, in degree programs at the under-graduate and graduate levels, and in continuing education.

- Support the General Education Program in fulfilling its purposes.
- Maintain and improve quality of degree programs at the undergraduate and graduate levels.
- Maintain an active academic outreach program that includes courses taught by qualified UCA faculty members and meets the needs of the community in its content and scope.
- Market and maintain the university's unique position as a teaching institution in the community.
- Develop and implement an academic plan providing guidelines for addition and deletion of programs, resource allocation for existing programs, and other programmatic decisions.

Status: (1) Program quality is actively monitored through the review of existing programs. Accredited programs reviewed during the past biennium have all maintained their accredited status, and the BS in Computer Science has achieved accreditation by the Computing Accreditation Commission of ABET. All academic units have developed academic plans, and these plans are used annually to evaluate progress and set future direction. (2) The university has updated its campus master plan, including an ambitious but carefully considered construction and renovation plan for instructional facilities, to address the needs created by significant planned growth.

Goal 2: Foster learning and the advancement of knowledge through research and other scholarly and creative activities on the part of both faculty and students.

- Increase support for research and creative activities.

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Transform the existing Sponsored Programs Office to be a Center for Research, Scholarship, and Creative Activity, charged with administering support for faculty work in these areas and with facilitating appropriate technology- and knowledge-transfer to the public and private sectors.
- Increase opportunities for students and faculty to participate in scholarly and creative collaborative endeavors such as research projects, writing for publication, public performances, presentations at professional meetings, exhibitions, and formal mentoring programs.
- Support an atmosphere of collegiality in which faculty have enhanced professional opportunities to interact with one another, with students, and with non-academic professionals.

Status: (1) Collaboration among the Sponsored Programs Office, other campus entities, and individual faculty and staff members has resulted in significantly increased external funding since 2002. (2) Careful reallocation of existing resources has resulted in targeted internal funding for faculty development. (3) The university actively promotes faculty-student collaboration in a wide range of research and scholarly and creative activities. At the undergraduate level, UCA students have over the past five years attracted more than \$128,000 of funding from the SURF and NASA/ASGC grant programs alone.

Goal 3: Create a campus environment that supports students in their intellectual, social, and personal development.

- Engage academics, student services, financial services, and other parts of the permanent campus community in addressing the goal stated above.
- Ensure that faculty and staff roles and rewards structures recognize the value of engagement in addressing the goal stated above.
- Ensure that students understand the university's commitment to the goal stated above and consider themselves involved in its implementation.
- Support intellectual development by an emphasis on high academic achievement.

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Foster a campus-wide community of learners by providing programs/learning community opportunities such as residential colleges, honors college, university college, clustered learning, first-year experience programs, and departmental outreach programs.
- Provide a safe environment.
- Provide an environment to support student health and well being, including physical and mental health, freedom from substance abuse, and programs to promote physical fitness.
- Create a campus that models and sustains responsible environmental practices.
- Develop and implement an enrollment plan which incorporates and reflects market research, demographic trends, allocation of resources, employment trends, and student academic interests and goals.
- Develop and maintain comprehensive retention programs and co-curricular initiatives, including ensured availability of courses and services that meet students' academic, intellectual, social, and personal/individual needs.

Status: The university engaged during 2005-2006 and 2006-2007 in a campus-wide study of its support of students in their first college year. The project, Foundations of Excellence, focused on the first-year student, but has implications for the university's support of students at all levels. Recommendations of the Foundations of Excellence task force will help focus and extend significant on-campus programming, including the UCA Living/Learning Communities initiative with its successful Residential Colleges, the Sophomore Year Experience project, UCAN ("Unlocking College Academics Now"), which helps at-risk students become academically successful, and the newer Satellite Minton (SAM), a learning community designed to support commuter students.

Goal 4: Enhance interaction and understanding among diverse groups and cultivate global perspectives.

- Increase the diversity of the student population.
- Retain underrepresented students.
- Recruit and retain increased numbers of international students.
- Provide planned opportunities for interaction and cultural exchange among diverse groups.

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Infuse the values inherent in the goal stated above into undergraduate and graduate curricula.
- Increase the engagement of scholarship, service, and other professional practice at the university in the values stated above.
- Enhance enriched learning opportunities such as internships, travel abroad, service learning, and exchange programs.

Status: Strong minority enrollment, particularly at the undergraduate level, has continued at UCA during the past biennium. International student enrollment is also strengthening. Campus support services for such students are active and successful, and the campus community increasingly engages in productive conversations about diversity and the relationship of UCA to its global context. On-campus programming includes an annual Diversity Month, mentoring and other support programs for minority students, campus-wide diversity training for all employees throughout the regular academic year, and special training events as requested by campus groups (e.g., Resident Assistants in residence halls, residential college mentors, fraternities and sororities, and Student Ambassadors).

Goal 5: Attract and retain high-quality faculty and staff.

- Provide competitive and equitable salaries and benefits.
- Provide long-term stability for the university by maintaining an appropriate number of tenured/tenure-track faculty positions to meet the academic mission of the university.
- Develop and implement hiring, loading, and evaluation systems to allow appropriately flexible faculty emphasis on teaching, research, and service.
- Support the professional practice of faculty and staff—e.g., teaching, scholarship, inquiry, creative endeavor, interdisciplinary activity, and other professional development.

Status: The university has for four years conducted annual salary equity studies and has actively addressed the issues revealed by these studies active allocation of available resources. These studies now routinely address the dimension of competitiveness in their recommendations.

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Goal 6: Be a recognized leader in the innovative and intelligent use of academic and administrative technology.

- Integrate technology into curricula across campus—including major programs and general education—and assist students to become leaders in the use of technology in their fields.
- Provide technological infrastructure, technology tools, and support systems that enhance the work of students, faculty, and staff.
- Implement a comprehensive information/communication system that effectively serves multiple purposes and constituencies.

Status: UCA continues to invest in its faculty and in the infrastructure they need to achieve this goal. The successful Faculty Technology Associates program annually trains a cohort of existing faculty in the use of instructional technology. The university's Laptop Program (piloted in 2004) makes available to participating students laptop computers with wireless connectivity. The university has creatively generated resources from summer programming and other sources to fund infrastructure and equipment improvements and has invested significant resources in the conversion of its administrative computing system to a more efficient and user-friendly system. The new system is integrated with a student/faculty portal that includes a content management system and enhances the usability of the university's course management system.

Goal 7: Seize appropriate opportunities for outreach to the public and private sectors.

- Offer resources (e.g., expertise, facilities) to the public and private sectors.
- Establish and increase short- and long-term relationships with entities in the public and private sectors.
- Increase and coordinate both strategic and tactical participation of members of the university community in targeted markets.
- Develop and maintain a comprehensive, accessible, and useful database of private and public funding sources.

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Approach and engage organizations where alumni are employed in collaborating with university outreach initiatives.
- Foster and maintain on-going alumni relations.

Status: The university actively implements this strategy primarily through its Division of Academic Outreach and its Alumni Services organization. UCA outreach takes many forms, including the Community Development Institute, the Insurance Institute, and the Safe Schools Institute. The university is also an active participant in the state of Arkansas' work on concurrent enrollment initiatives.

New Programming

New programs approved by the Arkansas Higher Education Coordinating Board in 2006-2007 and 2007-2008 include the following: BA in International Studies, Master of Geographic Information Systems (MGIS), PhD in Leadership Studies, and MS in Instructional Technology. New programs are always developed on the bases of opportunity, strength, and need; current areas of strength include health care, education, business (including accounting, finance, insurance, and management), information technology (including motion picture production), mathematics, and the arts/communication.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	57,159,225		54,690,112		59,234,323		77,415,963		62,844,443		79,843,502		64,969,838	
2 CASH	81,304,981		210,584,462		210,584,462		212,610,000		212,610,000		214,610,000		214,610,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$138,464,206	1,706	\$265,274,574	1,760	\$269,818,785	2,091	\$290,025,963	2,262	\$275,454,443	2,201	\$294,453,502	2,262	\$279,579,838	2,201
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	52,427,363	38%	50,170,787	19%			72,896,638	25%	58,325,118	20%	75,324,177	21%	60,450,513	21%
14 EDUCATIONAL EXCELLENCE TRUST FUND	4,712,402	3%	4,519,325	2%			4,519,325	2%	4,519,325	2%	4,519,325	2%	4,519,325	2%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	81,304,981	59%	210,584,462	79%			212,610,000	73%	212,610,000	73%	214,610,000	77%	214,610,000	74%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS	19,460	0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$138,464,206	100%	\$265,274,574	100%			\$290,025,963	100%	\$275,454,443	95%	\$294,453,502	100%	\$279,579,838	96%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$1,052,015
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$6,054,772
INVENTORIES	\$371,554
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$31,500
MAJOR CRITICAL SYSTEMS FAILURES	\$0
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$11,860,957
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	\$0
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$17,266,768)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF CENTRAL ARKANSAS
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	56,533,513	54,642,480	61,685,030	63,760,950
2 RESEARCH	686,219	684,751	1,000,000	1,030,000
3 PUBLIC SERVICE	1,358,496	802,138	1,000,000	1,030,000
4 ACADEMIC SUPPORT	9,254,539	9,145,751	10,000,000	10,300,000
5 STUDENT SERVICES	5,797,451	5,524,442	6,000,000	6,180,000
6 INSTITUTIONAL SUPPORT	11,163,160	10,784,413	11,160,000	11,494,800
7 PHYSICAL PLANT M&O	11,214,175	12,311,946	14,000,000	14,420,000
8 SCHOLARSHIPS & FELLOWSHIPS	19,708,830	18,743,633	17,617,298	18,145,817
9				
10				
11				
12				
13 MANDATORY TRANSFERS	2,788,532	4,552,089	4,800,000	5,000,000
14 AUXILIARY TRANSFERS	(1,326,430)	1,376,926	1,376,926	1,376,926
15 NON-MANDATORY TRANSFERS	100,000			
16 TOTAL UNREST. E&G EXP.	117,278,485	\$118,568,569	\$128,639,254	\$132,738,493
17 NET LOCAL INCOME	64,521,885	63,878,457	65,794,811	67,768,655
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	52,427,363	50,170,787	58,325,118	60,450,513
20 EDUCATIONAL EXCELLENCE	4,712,402	4,519,325	4,519,325	4,519,325
21 WORKFORCE 2000				
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	699,208			
24 TOTAL SOURCES OF INCOME	122,360,858	\$118,568,569	\$128,639,254	\$132,738,493

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF CENTRAL ARKANSAS

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	55,256,689	58,366,829	60,117,834	61,921,369
2 ALL OTHER FEES	587,967	1,073,175	1,105,370	1,138,531
3 OFF-CAMPUS CREDIT	4,897,607	1,576,282	1,623,570	1,672,278
4 NON-CREDIT INSTRUCTION	1,388,521	982,296	1,011,765	1,042,118
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	303,364	158,981	0	0
6 INVESTMENT INCOME	186,440	332,000	163,750	168,663
7 OTHER CASH INCOME:	186,440	332,000	341,960	352,219
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	1,901,296	1,388,894	1,430,561	1,473,478
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES	64,521,885	63,878,457	65,794,811	67,768,655
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	64,521,885	\$63,878,457	\$65,794,811	\$67,768,655

FORM 09-3

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CUA0000

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION 310

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	38,493,867	36,581,810	39,671,425	40,000,000	41,500,000		
2 EXTRA HELP WAGES	1,636,987	2,000,000	2,000,000	3,000,000	3,250,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	7,750,691	7,189,478	8,093,312	8,344,443	8,469,838		
5 OPERATING EXPENSES	6,880,729	7,919,025	8,469,787	10,000,000	10,000,000		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	797,152	800,000	800,000	1,000,000	1,250,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	199,799	199,799	199,799	500,000	500,000		
11 CLAIMS AND AWARDS	1,400,000						
12 CONTINGENCY							
13							
14 TOTAL APPROPRIATION	\$57,159,225	\$54,690,112	\$59,234,323	\$62,844,443	\$64,969,838	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	52,427,363	50,170,787		58,325,118	60,450,513		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	4,712,402	4,519,325		4,519,325	4,519,325		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	19,460						
22 TOTAL INCOME	\$57,159,225	\$54,690,112		\$62,844,443	\$64,969,838	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

21. Other State Treasury Funds
M&R 17,853
Tuition Adjustment 1,607

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CUA0000

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION 310

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	38,493,867	36,581,810	39,671,425	36,581,810	36,581,810		
2 EXTRA HELP WAGES	1,636,987	2,000,000	2,000,000	2,000,000	2,000,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	7,750,691	7,189,478	8,093,312	7,189,478	7,189,478		
5 OPERATING EXPENSES	6,880,729	7,919,025	8,469,787	7,919,025	7,919,025		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	797,152	800,000	800,000	800,000	800,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	199,799	199,799	199,799	199,799	199,799		
11	1,400,000						
12 CONTINGENCY							
13							
14 TOTAL APPROPRIATION	\$57,159,225	\$54,690,112	\$59,234,323	\$54,690,112	\$54,690,112	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	52,427,363	50,170,787		50,170,787	50,170,787		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	4,712,402	4,519,325		4,519,325	4,519,325		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	19,460						
22 TOTAL INCOME	\$57,159,225	\$54,690,112		\$54,690,112	\$54,690,112	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

21. Other State Treasury Funds
M&R 17,853
Tuition Adjustment 1,607

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CUA0000

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION 310

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	38,493,867	36,581,810	39,671,425	34,998,855	34,998,855		
2 EXTRA HELP WAGES	1,636,987	2,000,000	2,000,000	1,875,000	1,875,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	7,750,691	7,189,478	8,093,312	6,659,478	6,659,478		
5 OPERATING EXPENSES	6,880,729	7,919,025	8,469,787	7,345,025	7,345,025		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	797,152	800,000	800,000	100,000	100,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	199,799	199,799	199,799	199,799	199,799		
11	1,400,000						
12 CONTINGENCY							
13							
14 TOTAL APPROPRIATION	\$57,159,225	\$54,690,112	\$59,234,323	\$51,178,157	\$51,178,157	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	52,427,363	50,170,787		46,658,832	46,658,832		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	4,712,402	4,519,325		4,519,325	4,519,325		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	19,460						
22 TOTAL INCOME	\$57,159,225	\$54,690,112		\$51,178,157	\$51,178,157	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

21. Other State Treasury Funds
M&R 17,853
Tuition Adjustment 1,607

**APPROPRIATION ACT FORM - STATE TREASURY - FORMULA WITHOUT REVISIONS
2009-11 BIENNIUM**

FUND CUA0000

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION 310

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	38,493,867	36,581,810	39,671,425	40,000,000	41,500,000		
2 EXTRA HELP WAGES	1,636,987	2,000,000	2,000,000	3,000,000	3,250,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	7,750,691	7,189,478	8,093,312	8,354,224	8,521,384		
5 OPERATING EXPENSES	6,880,729	7,919,025	8,469,787	10,000,000	10,000,000		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	797,152	800,000	800,000	1,000,000	1,213,264		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	199,799	199,799	199,799	500,000	500,000		
11	1,400,000						
12 CONTINGENCY							
13							
14 TOTAL APPROPRIATION	\$57,159,225	\$54,690,112	\$59,234,323	\$62,854,224	\$64,984,648	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	52,427,363	50,170,787		58,334,899	60,465,323		
17 EDUCATIONAL EXCELLENCE TRUST FUND	4,712,402	4,519,325		4,519,325	4,519,325		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	19,460						
22 TOTAL INCOME	\$57,159,225	\$54,690,112		\$62,854,224	\$64,984,648	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

21. Other State Treasury Funds
M&R 17,853
Tuition Adjustment 1,607

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2070000

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION A75

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	25,231,538	32,819,200	32,819,200	65,000,000	66,500,000		
2 EXTRA HELP WAGES	2,280,421	7,080,000	7,080,000	3,000,000	3,000,000		
3 OVERTIME	269,792	500,000	500,000	550,000	575,000		
4 PERSONAL SERVICES MATCHING	7,899,241	8,909,400	8,909,400	20,000,000	21,000,000		
5 OPERATING EXPENSES	30,247,664	40,000,000	40,000,000	40,000,000	42,000,000		
6 CONFERENCE FEES & TRAVEL	1,002,630	1,500,000	1,500,000	3,000,000	3,100,000		
7 PROFESSIONAL FEES AND SERVICES	2,361,771	2,770,000	2,770,000	3,000,000	3,200,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	2,447,811	19,225,862	19,225,862	7,000,000	7,250,000		
10 CAPITAL IMPROVEMENTS	1,304,239	77,100,000	77,100,000	42,000,000	43,000,000		
11 DEBT SERVICE	8,170,550	11,250,000	11,250,000	10,000,000	10,000,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		8,100,000	8,100,000	8,500,000	8,500,000		
13 PROMOTIONAL ITEMS	89,324	1,330,000	1,330,000	60,000	60,000		
14							
15							
16 CONTINGENCY				10,500,000	6,425,000		
17 TOTAL APPROPRIATION	81,304,981	210,584,462	210,584,462	212,610,000	214,610,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	81,304,981	210,584,462		212,610,000	214,610,000		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$81,304,981	\$210,584,462		\$212,610,000	\$214,610,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	1,706	1,760	2,091	2,262	2,201		
TOBACCO POSITIONS							
EXTRA HELP **	1,214	1,200	1,600	1,600	1,600		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF CENTRAL ARKANSAS
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	5,886,949	6,645,550	316,399	(1,075,000)	5,922,313	6,559,100	487,613	(1,124,400)
2 RESIDENCE HALL	13,896,797	10,638,055	3,258,742	-	13,354,898	9,511,086	3,843,812	0
3 MARRIED STUDENT HOUSING				-				0
4 FACULTY HOUSING				-				0
5 FOOD SERVICES	6,579,097	6,579,097	-	-	7,002,496	5,880,740	1,121,756	0
6 COLLEGE UNION	1,379,604	944,336	575,268	(140,000)	1,320,000	782,853	677,147	(140,000)
7 BOOKSTORE	515,000	515,000	-	-	350,000	350,000	0	0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				-				0
9 STUDENT HEALTH SERVICES	1,598,689	1,223,377	375,312	-	1,582,000	1,158,592	423,408	0
10 OTHER	1,961,657	1,599,940	472,112	(110,395)	1,996,474	1,270,298	838,702	(112,526)
11 SUBTOTAL	31,817,792	28,145,354	4,997,833	(1,325,395)	\$31,528,181	\$25,512,669	\$7,392,438	(\$1,376,926)
12 ATHLETIC TRANSFER **	1,075,000			1,075,000	1,124,400			1,124,400
13 OTHER TRANSFERS ***	250,395			250,395	252,526			252,526
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	33,143,187	28,145,354	4,997,833	0	\$32,905,107	\$25,512,669	\$7,392,438	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF CENTRAL ARKANSAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>1431</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>103</u>	Black Male:	<u>9</u>	Other Male:	<u>4</u>	Total Male: <u>116</u>
White Female:	<u>104</u>	Black Female:	<u>14</u>	Other Female:	<u>5</u>	Total Female: <u>123</u>
Nonclassified Health Care Employees:						
White Male:	<u>1</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>1</u>
White Female:	<u>9</u>	Black Female:	<u>2</u>	Other Female:	<u>0</u>	Total Female: <u>11</u>
Classified Employees:						
White Male:	<u>154</u>	Black Male:	<u>28</u>	Other Male:	<u>6</u>	Total Male: <u>188</u>
White Female:	<u>249</u>	Black Female:	<u>41</u>	Other Female:	<u>6</u>	Total Female: <u>296</u>
Faculty:						
White Male:	<u>297</u>	Black Male:	<u>17</u>	Other Male:	<u>22</u>	Total Male: <u>336</u>
White Female:	<u>325</u>	Black Female:	<u>14</u>	Other Female:	<u>21</u>	Total Female: <u>360</u>
Total White Male:	<u>555</u>	Total Black Male:	<u>54</u>	Total Other Male:	<u>32</u>	Total Male: <u>641</u>
Total White Female:	<u>687</u>	Total Black Female:	<u>71</u>	Total Other Female:	<u>32</u>	Total Female: <u>790</u>
Total White:	<u>1242</u>	Total Black:	<u>125</u>	Total Other:	<u>64</u>	Total Employees: <u>1431</u>
				Total Minority:	<u>189</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Central Arkansas
June 30, 2007

<p><i>Finding:</i></p>	<p>Good IT management includes establishing controls over access to programs and data, system modification, and logging, to provide reasonable assurance that unauthorized or erroneous disclosure, modification, or destruction of information will be prevented or timely detected. The SCT Banner System is a comprehensive software package that is used by the University of Central Arkansas to administer student financial aid, finance, human resources, and payroll functions. A review of the Banner System security revealed the following:</p> <ul style="list-style-type: none"> • An employee has excessive access rights to the SCT Banner finance-related functions, which allows the employee to perform unauthorized transactions in the system. • The University does not monitor SCT Banner application security events. SCT Banner application and the Oracle database had logging options (audit trails) enabled; however, the University does not have procedures established to routinely monitor the logs. <p>Without adequate controls to safeguard the Banner Financials System and its data from unauthorized and erroneous changes, the University may not adequately safeguard the integrity of its Banner Financial System and data.</p>
<p><i>Recommendation:</i></p>	<p>The University should strengthen its access control procedures including documenting access authorizations, reviewing the ongoing appropriateness of access privileges, and monitoring significant security events.</p>
<p><i>Institution's Response:</i></p>	<p>The University will review the information technology access process that includes the authorization and the review of security events. That process will include a written process to authorize the potential changes in programming as well as the review of the audit trails that are created by the software itself. We will also review access privileges and seeking to limit those to only essential staff who are working under a set of appropriate segregation controls.</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Central Arkansas
June 30, 2007

<i>Finding:</i>	The University's Internal Auditor Office (IAO) conducted an investigation relating to misappropriation of vendor funds during the period November 10, 2006 through June 20, 2007. According to the IAO, a former employee, Ms. Ava Reed of the Academic Outreach and Extended Program (AOEP), improperly received and cashed a check for \$1,987. This check, from an AOEP participant, was intended to pay the University's food/catering services vendor. Ms. Reed was charged with and pled guilty to theft of property. As of report date, Ms. Reed has paid restitution of \$457, leaving an amount of \$1,530 due the food/catering services vendor.
<i>Institution's Response:</i>	This investigation involved funds that were due to the University's food service vendor from another outside vendor who requested the food service. The University's only connection was that University facilities were being rented by the outside vendor and that the University had an employee that was also connected to the vendor that requested the food services. The University employee was terminated and pleaded guilty to theft of property and has paid restitution of the full amount to the University's food service vendor.
<i>Finding:</i>	Due to the implementation of a new software system and a lack of management oversight, the financial statements were not completed until November 5, 2007. As a result, the audit process was not completed in an efficient and timely manner.
<i>Recommendation:</i>	Complete financial statements on a timely basis.
<i>Institution's Response:</i>	The installation of a new administrative software system did create substantial challenges this year in preparation of the University financials. This issue was complicated by the relative inexperience of our information technology staff and the fact that the primary individual who had managed, written and maintained the prior administration software was lost by the University at an early age of 52. We are now in the process of reviewing the challenges we faced in the development of the 2007 financials and making changes that should allow the University to receive the financials in a timely manner.

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